

MODULE 1 NORMAL SUBGROUPS, GROUP HOMOMORPHISMS, PERMUTATION GROUP AND FINITE GROUPS

Unit 1	Normal Subgroups
Unit 2	Group Homomorphisms
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UNIT 1 NORMAL SUBGROUPS

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1.0 INTRODUCTION

In MTH 211, you studied subgroups and cosets. We start this unit by discussing a special class of subgroups, called normal subgroups. You will see that the sets of such a subgroup form a group with respect to a suitably defined operation. These groups are called quotient groups we will discuss them in some detail in sec. 1.3.

Once you are comfortable with normal subgroups and quotient groups, you will find it easier to understand the concepts and results that are presented in the next unit. So make sure that you have met the following objectives before going to the next unit.

2.0 OBJECTIVES

After reading this unit, you should be able to:

- Verify whether a subgroup is normal or not; and
- Obtain a quotient group corresponding to a given normal subgroup.

3.0 MAIN CONTENT

3.1 Normal Subgroups

In E 1 of Unit 4 you saw that a left coset of a subgroup H , aH , need not be same as the right coset Ha . But, there are certain subgroups for which the right and left cosets represented by the same element coincide. This type of subgroups are very important in group theory, and we give them a special name.

Definition: A subgroup N of a group G is called a **normal subgroup** of G if $Nx = xN \forall x \in G$, and we write this as $N \triangleleft G$.

For example, any group G has two normal subgroups, namely, $\{e\}$ and G itself. Can you see why? Well, $\{e\}x = \{x\} = x\{e\}$, for any $x \in G$, and $Gx = G = xG$, for any $x \in G$. Let us consider another example.

Example 1: Show that every subgroup of Z is normal in Z .

Solution: from Example 4 of unit 3, you know that if H is a subgroup of Z , then $H = mZ$, for some $m \in Z$. Now, for any $x \in Z$, and $Gx = G = xG$, for any $x \in Z$,
 $H + z = \{\dots, -3m + z, -2m + z, -m + z, z, m + z, 2m + z, \dots\}$
 $= \{\dots, -3m, z - 2m, z - m, z, z, + m, z + 2m \dots\}$ (since $+$ is commutative)
 $= z + H$.
 $\therefore H \triangleleft Z$.

Example 1 is a special case of the fact that every subgroup of a commutative group is a normal subgroup. We will prove this fact later (in Theorem 2).

Try the following exercise now.

SELF ASSESSMENT EXERCISE 1

Show that $A_3 \triangleleft S_3$ (see Example 3 of unit 4).

Let us now prove a result that give equivalent conditions for a subgroups to be normal

Theorem 1: Let H be a subgroup of a group G . The following statement are equivalent

- a) H is normal in G
- b) $g^{-1}Hg \subseteq H \forall g \in G$.
- c) $g^{-1}Hg = H \forall g \in G$.

Proof: We will show that (a) \Rightarrow (b) \Rightarrow (c) \Rightarrow (a). This will show that the three statements are equivalent.

(a) \Rightarrow (b) : Since (a) is true, $Hg = gH \ \forall g \in G$. We want to prove (b). For this, consider $g^{-1}hg \in g^{-1}Hg$ for $g \in G$ and $h \in H$. Let $g^{-1}Hg$.

Since $hg \in Hg = gH, \exists h_1 \in H$ such that $hg = gh_1$.

$$\therefore g^{-1}hg = g^{-1}gh_1 = h_1 \in H.$$

\therefore (b) holds.

(b) \Rightarrow (c): Now, we know that (b) holds, i.e., for $g \in G, g^{-1}Hg \subseteq H$. We want to show that $H \subseteq g^{-1}Hg$. Let $h \in H$. Then.

$$h = ehe = (g^{-1}g)h(g^{-1}g)$$

$$= g^{-1}(ghg^{-1})g$$

$$= g^{-1}\{(g^{-1})^{-1}hg^{-1}\}g \in g^{-1}Hg, \text{ since } (g^{-1})^{-1}hg^{-1} \in (g^{-1})^{-1}H(g^{-1}) \subseteq H$$

$$\therefore H \subseteq g^{-1}Hg.$$

$$\therefore g^{-1}Hg = H \ \forall g \in G$$

(c) \Rightarrow (a): for any $g \in G$, we know that $g^{-1}Hg = H$.

$$\therefore g(g^{-1}Hg) = gH, \text{ that is, } Hg = gH$$

$\therefore H \trianglelefteq G$, that is, (a) holds.

We should like to make the following remark about Theorem 1

Remark: Theorem 1 says that $H \trianglelefteq G \Leftrightarrow g^{-1}Hg = H \ \forall g \in G$. This does not mean that $g^{-1}hg = h \ \forall h \in H$ and $g \in G$.

For example, in E1 you have shown that $A_3 \trianglelefteq S_3$. Therefore, by theorem 1,

$$(1\ 2)^{-1}A_3(1\ 2)^{-1}(1\ 3\ 2)(1\ 2) \neq (1\ 3\ 2). \text{ In fact, it is } (1\ 2\ 3).$$

Try the following exercise now.

SELF ASSESSMENT EXERCISE 2

Consider the subgroup $SL_2(\mathbb{R}) = \{A \in GL_2(\mathbb{R}) \mid \det(A) = 1\}$ of $GL_2(\mathbb{R})$ (see Example 5 of unit 2). Using the facts that

$$\det(AB) = \det(A)\det(B) \text{ and } \det(A)^{-1} = \frac{1}{\det(A)},$$

prove that $AL_2(\mathbb{R}) \trianglelefteq GL_2(\mathbb{R})$.

We now prove a simple result that we have stated after Example 1. it is actually a corollary to Theorem 1.

Theorem 2: Every subgroup of a commutative group is normal.

Prove: Let G be an abelian group, and $H \leq G$. For any $g \in G$ and $h \in H$, $G^{-1}hg = (g^{-1}g)h = h \in H$. $\therefore G^{-1}Hg \subseteq H$. Thus, $H \trianglelefteq G$.

Theorem 2 says if G is an abelian, then all its subgroups are normal. Unfortunately, the converse of this is not true. That is, there are non commutative groups where subgroups are all normal. We will give you an example after doing Theorem 3. Let us first look at another example of a normal subgroup.

Example 2: Consider the Klein 4-group, K_4 , given in Example 7 of unit 3. Show that both its subgroups $\langle a \rangle$ and $\langle b \rangle$ are normal.

Solution: Consider the table of the operation given in Example 7 of Unit 3. Note that a and b are of order 2. Therefore, $a = a^{-1}$ and $b = b^{-1}$. Also note that $ba = ab$.

Now, let $H = \langle a \rangle = \{e, a\}$. We will check that $H \trianglelefteq K_4$, that is, $g^{-1}hg \in H \forall g \in K_4$ and $h \in H$.

Now, $g^{-1}eg = e \in H \forall g \in K_4$.

Further, $e^{-1}ae = a \in H$, $a^{-1}aa = a \in H$, $b^{-1}ab = bab = a \in H$ and $(ab)^{-1}a(ab) = b^{-1}(a^{-1}aa)b = bab = a \in H$.
 $\therefore H \trianglelefteq K_4$.

By a similar proof we can show that $\langle b \rangle \trianglelefteq K_4$.

In Example 2, both $\langle a \rangle$ and $\langle b \rangle$ are of index 2 in K_4 . We have the following result about such subgroups.

Theorem 3: Every subgroup of a group G of index 2 is normal in G .

Proof: Let $N \leq G$ such that $|G:N| = 2$. Let the two right cosets of N be N and Nx , and the two left cosets be N and yN .

Now, $G = N \cup yN$, and $x \in G$. $\therefore x \in N$ or $x \in yN$.

Since $N \cap Nx = \emptyset$, $x \notin N$. $\therefore xN = yN$.

To show that $N \trianglelefteq G$, we need to show that $Nx = xN$.

Now, for any $n \in N$, $nx \in G = N \cup xN$. Therefore, $nx \in N$ or $nx \in xN$.

But $nx \notin N$, since $x \notin N$. $\therefore nx \in xN$.

Thus, $Nx \subseteq xN$.

By a similar argument we can show that $xN \subseteq Nx$.

$\therefore Nx = xN$, and $N \trianglelefteq G$.

We will use this theorem in Unit 7 to show that, for any $n \geq 2$, the alternating group A_n is a normal subgroup of S_n .

In fact, if you go back to the end of sec. 4.3, you can see that $A_4 \trianglelefteq S_4$, since Lagrange's theorem implies that

$$|S_4:A_4| = \frac{o(S_4)}{o(A_4)} = \frac{4!}{12} = 2.$$

Now let us look at an example to show that the converse of Theorem 2 is not true.

Consider the quaternion group Q_8 , which we discussed in Example 4 of unit 4. It has the following 6 subgroups: $H_0 = \{1\}$, $H_1 = \{1, -1\}$, $H_2 = \{1, -1, A, -A\}$, $H_3 = \{1, -1, B, -B\}$, $H_4 = \{1, -1, C, -C\}$, $H_5 = Q_8$.

You know that H_0 and H_5 are normal in Q_8 . Using theorem 3, you can see that H_2 , H_3 and H_4 are normal in Q_8 .

By actual multiplication you can see that

$$g^{-1}H_1g \subseteq H_1 \quad \forall g \in Q_8 \quad \therefore H_1 \trianglelefteq Q_8.$$

Therefore, all the subgroups of Q_8 are normal.

But you know that Q_8 is non-abelian (for instance, $AB = -BA$).

So far we have given examples of normal subgroups. Let us look at an example of a subgroup that isn't normal.

Example 3: show that the subgroup $\langle (1\ 2) \rangle$ of S_3 is not normal.

Solution: we have to find $g \in S_3$ such that $g^{-1}(1\ 2)g \notin \langle (1\ 2) \rangle$.

Let us try $g = (1\ 2\ 3)$.

$$\begin{aligned} \text{Then, } g^{-1}(1\ 2)g &= (3\ 2\ 1)(1\ 2)(1\ 2\ 3) \\ &= (3\ 2\ 1)(2\ 3) = (1\ 3) \notin \langle (1\ 2) \rangle \end{aligned}$$

Therefore, $\langle (1\ 2) \rangle$ is not normal in S_3 .

Try the following exercise now.

SELF ASSESSMENT EXERCISE 3

Consider the group of all 2×2 diagonal matrices over R^* , with respect to multiplication. How many of its subgroups are normal?

SELF ASSESSMENT EXERCISE 4

Show that $Z(G)$, the center of G , is normal in G . (Remember that $Z(G) = \{x \in G \mid xg = gx \forall g \in G\}$.)

SELF ASSESSMENT EXERCISE 5

Show that $\langle (2\ 3) \rangle$ is not normal in S_3 .

In Unit 3 we prove that if $H \leq G$ and $K \leq H$, then $K \leq G$. That is, ' \leq ' is a transitive relation. But ' \trianglelefteq ' is not a transitive relation. That is, if $H \trianglelefteq N$ and $N \trianglelefteq G$, it is not necessary that $H \trianglelefteq G$. We'll give you an example in Unit 7. But, corresponding to the property of subgroups given in Theorem 4 of unit 3, we have the following result,

Theorem 4: Let H and K be normal subgroups of a group G . Then $H \cap K \trianglelefteq G$.

Proof: From Theorem 4 of unit 3, you know that $H \cap K \leq G$. We have to show that $g^{-1}xg \in H \cap K \forall x \in H \cap K$ and $g \in G$.

Now, let $x \in H \cap K$ and $g \in G$. then $x \in H$ and $H \trianglelefteq G$. $\therefore g^{-1}xg \in H$.

Similarly, $g^{-1}xg \in K$. $\therefore g^{-1}xg \in H \cap K$.

Thus, $H \cap K \trianglelefteq G$.

In the following exercise we ask you to prove an important property of normal subgroups.

SELF ASSESSMENT EXERCISE 6

a) Prove that if $H \trianglelefteq G$ and $K \leq G$, then $HK \leq G$.

(**Hint:** Use Theorem 5 unit 3.)

b) prove that if $H \trianglelefteq G$, $K \trianglelefteq G$ then $HK \trianglelefteq G$.

Now consider an important group, which is the product of two subgroups, of which only one normal.

SELF ASSESSMENT EXERCISE 7

Let G be the group generated by

$\{x, y \mid x^2 = e, y^4 = e, xy = y^{-1}x\}$.

Let $H = \langle x \rangle$ and $K = \langle y \rangle$.

Then show that $K \trianglelefteq G$, $H \trianglelefteq G$ and $G = HK$.

Solution: Note that the elements of G are of the form $x^i y^j$, where $i = 0, 1$ and $j = 0, 1, 2, 3$.

$\therefore G = \{e, x, xy, xy^2, xy^3, y, y^2, y^3\}$.
 $\therefore |G:K| = 2$. Thus, by Theorem 3, $K \trianglelefteq G$.

Note that we can't apply Theorem 2, since G is non-abelian (as $xy = y^{-1}$ and $y \neq y^{-1}$).

Now let us see if $H \trianglelefteq G$.

Consider $y^{-1}xy$. Now $y^{-1}xy = xy^2$, because $y^{-1}x = xy$.

If $xy^2 \in H$, then $xy^2 = e$ or $xy^2 = x$. (Remember $o(x) = 2$, so that $x^{-1} = x$.)

Now, $xy^2 = e \Rightarrow y^2 = x^{-1} = x$
 $\Rightarrow y^3 = xy = y^{-1}x$
 $\Rightarrow y^4 = x$
 $\Rightarrow e = x$, a contradiction.

Again $xy^2 = x \Rightarrow y^2 = e$, a contradiction.

$\therefore y^{-1}xy = xy^2 \notin H$, and hence, $H \not\trianglelefteq G$.

Finally, from the definition of G you see that $G = HK$.

The group G is of order 8 and is called the dihedral group, D_8 . It is the group of symmetries of a square, that is, its elements represent the different ways in which two copies of a square can be placed so that one covers the other. A geometric interpretation of its generators is the following (see Fig. 1):

Take y to be a rotation of the Euclidean plane about the origin through

$\frac{\pi}{2}$, and x the reflection about the vertical axis.

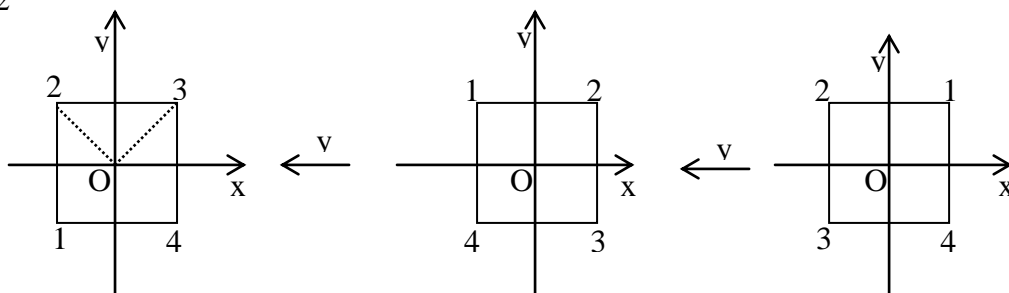


Fig. 1: Geometric representation of the generators of D_8 .

We can generalize D_8 to the dihedral group

$$D_{2n} = \langle \{x, y \mid x^2 = e, y^n = e, xy = y^{-1}x\} \rangle, \text{ for } n > 2.$$

Try the following exercise now.

E7) Describe D_6 and give its geometric interpretation.

Let us now utilize normal subgroups to form new algebraic structures.

3.2 Quotient Groups

In this section we will use a property of normal subgroups to create a new group. This group is analogous to the concept of quotient spaces given in the Linear Algebra course.

Let H be a normal subgroup of a group G . Then $gH = Hg$ for every $g \in G$. Consider the collection of all cosets of H in G . (Note that since $H \trianglelefteq G$, we need not write 'left coset' or 'right coset; simply 'coset' is enough.) We denote this set by G/H . Now, for $x, y \in G$, we have

$$\begin{aligned} (Hx)(Hy) &= H(xH)y, \text{ using associativity,} \\ &= HHxy, \text{ using normality of } H, \\ &= Hxy, \text{ since } HH = H \text{ because } H \text{ is a subgroup.} \end{aligned}$$

Now, we define the product of two cosets Hx and Hy and G/H by $(Hx)(Hy) = Hxy$ for all x, y in G .

Our definition seems to depend on the way in which we represent a coset. Let us explain this. Suppose C_1 and C_2 are two cosets, say $C_1 = Hx$ and $C_2 = Hy$. Then $C_1C_2 = Hxy$. But C_1 and C_2 can be written in the form Hx and Hy in several ways so, you may think: Does C_1C_2 depends on the particular way of writing C_1 and C_2 ?

In other words if $C_1 = Hx = Hx_1$ and $C_2 = Hy = Hy_1$, then is $Hxy = Hx_1y_1$? That is, the product of cosets is well defined.

$$\begin{aligned} \text{Since } Hx &= Hx_1 \text{ and } Hy = Hy_1, \quad xx_1^{-1} \in H, \quad yy_1^{-1} \in H \\ \therefore (xy)(x_1y_1)^{-1} &= (xy)(y_1^{-1}x_1^{-1}) = x(yy_1^{-1})x_1^{-1} \\ &= x(yy_1^{-1}x_1^{-1})x_1^{-1}(xx_1^{-1}) \in H, \text{ since } xx_1^{-1} \in H \text{ and } H \trianglelefteq G. \end{aligned}$$

$$\begin{aligned} \text{i.e., } (xy)(x_1y_1)^{-1} &\in H. \\ \therefore Hxy &= Hx_1y_1. \end{aligned}$$

We will now show that $(G/H, \cdot)$ is a group.

Theorem 5: Let H be a normal subgroup of a group G and G/H denote the set of all cosets of H in G . Then G/H becomes a group under multiplication of cosets defined by $Hx \cdot Hy = Hxy$, for all $x, y \in G$.

The coset $H = He$ is the identity of G/H and the inverse of Hx is the coset Hx^{-1} .

Proof: We have already observed that the product of two cosets is a coset.

This multiplication is also associative, since

$$\begin{aligned}
 ((Hx) (Hy)) (Hz) &= (Hxy) (Hz) \\
 &= Hxyz, \text{ (product in } G \text{ is associative)} \\
 &= Hx (yz) \\
 &= (Hx) (Hyz) \\
 &= (Hx) ((Hy) (Hz)) \text{ for } x, y, z \in G.
 \end{aligned}$$

Now, if e is the identity of G , then $Hx, He = Hxe = Hx$ and $He Hx = Hex = Hx$ for every $x \in G$. Thus, $He = H$ is the identity element of G/H . Also, for any $x \in G$, $(Hx)^{-1} = Hx^{-1}$.

So, we have prove that G/H , the set of all cosets of a normal subgroup H in G forms a group with respect to the multiplication defined by $Hx.Hy = Hxy$. This group is called the **quotient group (or factor group)** of G by H .

Note that the order of the quotient group G/H is the index of H in G . thus, by Lagrange’s theorem you know that if G is a finite group, then

$$o(G/H) = \frac{o(G)}{o(H)}$$

Also note that if $(G, +)$ is an abelian group and $H \leq G$, then $H \trianglelefteq G$. Further, the operation on G/H is defined by $(H + x) + (H + y) = H + (x + y)$.

Let us look at a few examples of quotient groups.

Example 4: Obtain the group G/H , where $G = S_3$ and $H = A_3 = \{1, (1\ 2\ 3), (1\ 3\ 2)\}$

Solution: Firstly, note that $A_3 \trianglelefteq S_3$, since $|S_3:A_3| = 2$.

From example 3 of unit 4 you that G/H is a group of order 2 whose elements are H and $(1\ 2)H$.

Example 5: Show that the group Z/nZ is of order n .

Solution: The elements of Z/nZ are of the form $a + nZ = \{a + kn|k \in Z\}$.

Thus, the elements of Z/nZ are precisely the congruence classes modulo n , that is, the elements of Z_n (see Sec. E.5.1). Thus, $Z/nZ = \{\bar{0}, \bar{1}, \bar{2}, \dots, \overline{n-1}\}$. Therefore, $o(Z/nZ) = n$. Note that addition in Z/nZ is given by $\bar{a} + \bar{b} = \overline{a+b}$.

Try these simple exercises now.

SELF ASSESSMENT EXERCISE 8

For any group G , determine the quotient groups corresponding to $\{e\}$ and G .

SELF ASSESSMENT EXERCISE 9

Show that the quotient group of a cyclic group is cyclic.

(**Hint:** if $G = \langle x \rangle$, then show that $G/H = \langle Hx \rangle$)

Now, do G and G/H always have the same algebraic properties?

On solving the following exercise you will see that if G is abelian, then so is G/H ; but the converse need not be true. That is, G may not be so. Thus, G and G/H need not have the same algebraic properties.

SELF ASSESSMENT EXERCISE 10

Show that if a group G is commutative, then so is G/H , for any $H \trianglelefteq G$.

SELF ASSESSMENT EXERCISE 11

Take the group D_8 of Example 4. Show that D_8/K is abelian, even though D_8 is non-abelian.

You may be surprised to know that given a group G , we can always define a normal subgroup H , such that G/H is abelian. This subgroup is the commutator subgroup.

Definition: Let G be a group and $x, y \in G$, Then $x^{-1}y^{-1}xy$ is called the commutator of x and y . It is denoted by $[x, y]$.

The subgroup of G generated by the set of all commutator is called the commutator of G . It is denoted by $[G, G]$

For example, if G is a commutative group, then $x^{-1}y^{-1}xy = x^{-1}xy^{-1} = e \forall x, y \in G. \therefore [G, G] = \{e\}$.

Try this exercise now.

SELF ASSESSMENT EXERCISE 12

Obtain $[G, G]$, where G is cyclic.

Now, let us prove the commutativity of the factor group corresponding to the commutator subgroup.

Theorem 6: Let G be a group. Then $[G, G]$ is a normal subgroup of G . further, $G/[G, G]$ is commutative.

Proof: We must show that, for any commutator $x^{-1}y^{-1}xy \in [G, G]$ and for any $g \in G$, $g^{-1}(x^{-1}y^{-1}xy)g \in [G, G]$.

Now $g^{-1}(x^{-1}y^{-1}xy)g = (g^{-1}xg)^{-1} (g^{-1}yg)^{-1} (g^{-1}xg) (g^{-1}yg) \in [G, G]$. Therefore $[G, G] \trianglelefteq G$.

Now let $H = [G, G]$, for $x, y \in G$,

$$\begin{aligned} HxHy = HyHx &\Leftrightarrow Hxy = Hyx \Leftrightarrow (yx)(yx)^{-1} \in H \\ &\Leftrightarrow xyx^{-1}y^{-1} \in H. \end{aligned}$$

Thus, since $xyx^{-1}y^{-1} \in H \forall x, y \in G$, $HxHy = HyHx \forall x, y \in G/H$ is abelian.

Note that we have defined the quotient group G/H only if $H \trianglelefteq G$. But if $H \not\trianglelefteq G$ we can still define G/H to be the set of all left (or right) cosets of H in G . But, in this case G/H will not be a group. The following exercise will give you an example.

ACTIVITY 1

For $G = S_3$ and $H = \langle (12) \rangle$, show that the product of right cosets in G/H is not well defined.

(Hint: Show that $H(1\ 2\ 3) = H(2\ 3) = H(2\ 3)$ and $H(1\ 3\ 2) = H(1\ 3)$, but $H(1\ 2\ 3)(1\ 3\ 2) \neq H(2\ 3)(1\ 3)$)

E13 leads us to the following remark.

Remark: if H is a subgroup of G , then the product of cosets of H is defined only when $H \trianglelefteq G$. This is because, if $HxHy = Hxy \forall x, y \in G$, then, in particular,

$$Hx^{-1}Hx = Hx^{-1}x = He = H \forall x \in G.$$

Therefore, for any $h \in H$, $x^{-1}hx = ex^{-1}hx \in Hx^{-1}Hx = H$.

That is, $x^{-1}Hx \subseteq H$ for any $x \in G$. Therefore $H \trianglelefteq G$.

Let us now summarise what we have done in this unit.

4.0 SUMMARY

In this unit we have brought out the following points.

1. The definition and examples of a normal subgroup.
2. Every subgroup of an abelian group is normal.
3. Every subgroup of index 2 is normal.
4. If H and K are normal subgroups of a group G , then so is $H \cap K$.
5. The product of two normal subgroups is a normal subgroup.
6. If $H \trianglelefteq N$ and $N \trianglelefteq G$, then H need not be normal in G .
7. The definition and examples of a quotient group.

8. If G is abelian, then every quotient group of G is abelian. The converse is not true.
9. The quotient group corresponding to the commutator subgroup is commutative.
10. The set of left (or right) cosets of H in G is a group if and only if $H \trianglelefteq G$.

5.0 SOLUTIONS/ANSWERS

SELF ASSESSMENT EXERCISE 1

$$S_3 = \{1, (1\ 2), (1\ 3), (2\ 3), (1\ 2\ 3), (1\ 3\ 2)\}$$

$$A_3 = \{1, (1\ 2\ 3), (1\ 3\ 2)\}$$

You can check that

$$A_3 1 = A_3 = 1 A_3, A_3 (1\ 2) = (1\ 2) A_3, \text{ and so on. Therefore, } A_3 \trianglelefteq S_3.$$

SELF ASSESSMENT EXERCISE 2

For any $A \in GL_2(\mathbb{R})$ and $B \in SL_2(\mathbb{R})$,

$$\det(A^{-1}BA) = \det(A^{-1}) \det(B) \det(A)$$

$$= \frac{1}{\det(A)} \det(A), \text{ since } \det(B) = 1$$

$$= 1$$

$$\therefore A^{-1}BA \in SL_2(\mathbb{R}).$$

$$\therefore SL_2(\mathbb{R}) \trianglelefteq GL_2(\mathbb{R}).$$

SELF ASSESSMENT EXERCISE 3

All, since this group is abelian.

SELF ASSESSMENT EXERCISE 4

Let $g \in G$ and $x \in Z(G)$. Then

$$g^{-1}xg = g^{-1}gx, \text{ since } x \in Z(G)$$

$$= x \in Z(G)$$

$$\therefore g^{-1}Z(G)g \subseteq Z(G) \quad \forall g \in G.$$

$$\therefore Z(G) \trianglelefteq G.$$

SELF ASSESSMENT EXERCISE 5

$$\text{Since } (1\ 2\ 3)^{-1} (2\ 3) (1\ 2\ 3) = (1\ 2) \notin \langle (2\ 3) \rangle, \langle (2\ 3) \rangle \not\trianglelefteq S_3$$

SELF ASSESSMENT EXERCISE 6

- a) Take any element $hk \in HK$. Since $H \trianglelefteq G$, $k^{-1}hk \in H$. Let $k^{-1}hk = h_1$. Then $hk = kh_1 \in KH$.

$\therefore hk \in KH \ \forall hk \in HK \ \therefore HK \subseteq KH$.
 Again, for any $kh \in KH$, $khk^{-1} \in H$. Let $khk^{-1} = h_2$. Then $kh = h_2k \in HK$.
 $\therefore kh \in HK \ \forall kh \in KH$.
 $\therefore KH \subseteq HK$.

Thus, we have shown that $HK = KH$.

$\therefore HK \leq G$.

b) From (a) we know that $HK \leq G$. To show that $Hk \trianglelefteq G$, consider $g \in G$ and $hk \in HK$. Then $G^{-1}hkg = g^{-1}h(gg^{-1})kg = (g^{-1}hg)(g^{-1}kg) \in HK$, since $H \trianglelefteq G$, $K \trianglelefteq G$.
 $\therefore g^{-1}HKg \subseteq HK \ \forall g \in G$.
 $\therefore HK \trianglelefteq G$.

D_6 is generated by x and y , where $x^2 = e, y^2 = e, y^3 = e$ and $y = y^{-1}x$.

This is the group of symmetries of an equilateral triangle. Its generators are x and y , where x corresponds to the reflection about the altitude through a fixed vertex and y corresponds to a rotation about the centroid through 120° (see fig. 2).

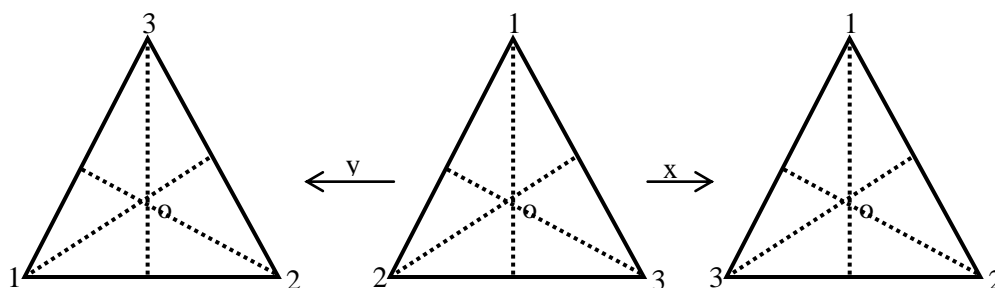


Fig.2: Generators of D_6

SELF ASSESSMENT EXERCISE 8

$G/\{e\} = \{ \{e\} g \mid g \in G \} = \{ \{g\} \mid g \in G \}$
 $G/G = \{Gg \mid g \in G\} = \{G\}$, since $Gg = G \ \forall g \in G$.
 So G/G consists of only one element, namely, the identity.

SELF ASSESSMENT EXERCISE 9

Let $G = \langle x \rangle$ and G/H be a quotient group of G . any element of G/H is of the form $Hx^n = (Hx)^n$, since any element of G is of the form x^n , $\therefore G/H = \langle Hx \rangle$.

SELF ASSESSMENT EXERCISE 10

for any two elements Hx and Hy in G/H ,
 $(Hx)(Hy) = Hxy = Hyx$, since G is abelian
 $= (Hy)(Hx)$.
 $\therefore G/H$ is abelian.

SELF ASSESSMENT EXERCISE 11

$D_8/K = \{K, Kx\}$, you can check that this is abelian. You have already seen that $xy \neq yx$, $\therefore D_8$ is not abelian.

SELF ASSESSMENT EXERCISE 12

Since G is cyclic, it is abelian. $\therefore [G, G] = \{e\}$

ACTIVITY 1

Now, $(1\ 2\ 3)(1\ 3\ 2) = 1$, $(2\ 3)(1\ 3) = (1\ 2\ 3)$.

$\therefore H(1\ 2\ 3)(1\ 3\ 2) = H1 = H = \{1, (1\ 2)\}$, and
 $H(2\ 3)(1\ 3) = H(1\ 2\ 3) = \{(1\ 2\ 3), (2\ 3)\}$.

So $H(1\ 2\ 3) = H(2\ 3)$ and $H(1\ 3\ 2) = H(1\ 3)$, but
 $H(1\ 2\ 3)(1\ 3\ 2) \neq H(2\ 3)(1\ 3)$.

6.0 REFERENCES

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UNIT 2 GROUP HOMOMORPHISMS

CONTENTS

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1.0 INTRODUCTION

So far in this course we have not discussed functions from one group to another. You may have wondered why we reviewed various aspects of functions. In this unit you will see why.

In sec. 3.1 we will discuss various properties of those functions between groups, which preserve the algebraic structure of their domain groups. These functions are called group homomorphisms, a term introduced by the mathematician Klein in 1893. This concept is analogous to the concept of a vector space homomorphism that you studied in the Linear Algebra course.

In Sec. 4.0 we will introduce you to a very important mathematical idea, an isomorphism. You will see that an isomorphism is a bijective homomorphism. The importance of isomorphisms lies in the fact that two groups are isomorphic if and only if they have exactly the same algebraic properties.

In Sec. 4.0 we will prove a very basic theorem of group theory, namely, the Fundamental Theorem of Homomorphism. We will also give some of its important consequences.

Finally, in sec. 5.0 we will discuss automorphisms, which are isomorphisms of a group onto itself. We shall look at the group of inner automorphisms in particular. This allows us to have an insight into the structure of the quotient group of G by its center, for any group G .

Before starting this unit, we suggest that you go through sec. 1.5 and unit 5.

2.0 OBJECTIVES

After reading this unit you should be able to

- Verify whether a function between groups is a homomorphism or not;
- Obtain the kernel and image of any homomorphisms;
- Check whether a function between groups is an isomorphism or not;
- State, prove and apply the Fundamental Theorem of Homomorphism; and
- Prove that $\text{Inn } G \trianglelefteq \text{Aut } G$ and $G/Z(G) \cong \text{Inn } G$, for any group G .

3.0 MAIN CONTENT

3.1 Homomorphisms

Let us start our study of functions from one group to another with an example.

Consider the groups $(\mathbb{Z}, +)$ and $(\{1, -1\}, \cdot)$. If we define

$$f: \mathbb{Z} \rightarrow \{1, -1\} \text{ by } f(n) = \begin{cases} 1, & \text{if } n \text{ is even} \\ -1, & \text{if } n \text{ is odd,} \end{cases}$$

Then you can see that $f(a + b) = f(a) \cdot f(b) \forall a, b \in \mathbb{Z}$. What we have just seen is an example of a homomorphism, a function that preserves the algebraic structure of its domain.

Definition: Let $(G_1, *_1)$ and $(G_2, *_2)$ be two groups. A mapping $f: G_1 \rightarrow G_2$ is said to be a group homomorphism (or just a homomorphism), if.

$$f(x *_1 y) = f(x) *_2 f(y) \forall x, y \in G_1.$$

Note that a homomorphism f from G_1 to G_2 carries the product $x *_1 y$ in G_1 to the product $f(x) *_2 f(y)$ in G_2 .

Before discussing examples, let us define two sets related to a given homomorphism.

Definition: Let $(G_1, *_1)$ and $(G_2, *_2)$ be two groups and $f: G_1 \rightarrow G_2$ be a homomorphism.

Then we define

- i) The image of f to be the set
 $\text{Im } f = \{f(x) \mid x \in G_1\}.$
- ii) The kernel of f to be the set
 $\text{Ker } f = \{x \in G_1 \mid f(x) = e_2\},$ where e_2 is the identity of G_2 .

Note that $\text{Im } f \subseteq G_2$, and $\text{Ker } f = f^{-1}(\{e_2\}) \subseteq G_1$.

Now let us consider some examples.

Example 1: Consider the two groups $(\mathbb{R}, +)$ and (\mathbb{R}^*, \cdot) . Show that the map $\exp: (\mathbb{R}, +) \rightarrow (\mathbb{R}^*, \cdot) : \exp(r) = e^r$ is a group homomorphism. Also find $\text{Im } \exp$ and $\text{Ker } \exp$.

Solution: For any $r_1, r_2 \in \mathbb{R}$, we know that $\exp(r_1 + r_2) = \exp(r_1) \cdot \exp(r_2)$.

Hence, \exp is a homomorphism from the additive group of real numbers to the multiplicative group of non-zero real numbers.

Now, $\text{Im } \exp = \{\exp(r) \mid r \in \mathbb{R}\} = \{\exp(r) \mid r \in \mathbb{R}\}$.

Also, $\text{Ker } \exp = \{r \in \mathbb{R} \mid \exp(r) = 1\} = \{0\}$.

Note that \exp takes the identity 0 of \mathbb{R} to the identity 1 of \mathbb{R}^* . \exp also carries the additive inverse $-r$ of r to the multiplicative inverse of $\exp(r)$.

Example 2: Consider the groups $(\mathbb{R}, +)$ and $(\mathbb{C}, +)$ and define $f: (\mathbb{C}, +) \rightarrow (\mathbb{R}, +)$ by $f(x + iy) = x$, the real part of $x + iy$. Show that f is a homomorphism. What are $\text{Im } f$ and $\text{Ker } f$?

Solution: Take any two elements $a + ib$ and $c + id$ in \mathbb{C} . Then,

$$f((a + ib) + (c + id)) = f((a + c) + i(b + d)) = a + c = f(a + ib) + f(c + id)$$

Therefore, f is a group homomorphism.

$$\text{Im } f = \{f(x + iy) \mid x, y \in \mathbb{R}\} = \{x \mid x \in \mathbb{R}\} = \mathbb{R}.$$

So, f is a surjective function (see sec. 1.5).

$$\begin{aligned} \text{Ker } f &= \{x + iy \in \mathbb{C} \mid f(x + iy) = 0\} = \{x + iy \in \mathbb{C} \mid x = 0\} \\ &= \{iy \mid y \in \mathbb{R}\}, \text{ the set of purely imaginary numbers.} \end{aligned}$$

Note that f carries the additive identity of \mathbb{C} to the additive identity of \mathbb{R} and $(-z)$ to $-f(z)$, for any $z \in \mathbb{C}$.

The following exercise will help you to see if you have understood what we have covered so far.

SELF ASSESSMENT EXERCISE 1

Show that $\ln: (\mathbb{I}^*, \cdot) \rightarrow (\mathbb{R}, +)$ is defined by $f(x) = \ln x$, the natural logarithm of x , is a group homomorphism. Find $\text{Ker } f$ and $\text{Im } f$ also.

SELF ASSESSMENT EXERCISE 2

Is $f: (\text{GL}_3(\mathbb{R}), \cdot) \rightarrow (\mathbb{R}^*, \cdot)$ given by $f(A) = \det(A)$ a homomorphism? If so, obtain $\text{Ker } f$ and $\text{Im } f$.

In Examples 1 and 2 we observed that the homomorphisms carried identity to identity and inverses to the inverses. In fact, these observations can be proved for any group homomorphism.

Theorem 1: Let $f : (G_1, *_1) \rightarrow (G_2, *_2)$ be a group homomorphism. Then

- a) $f(e_1) = e_2$, where e_1 is the identity of G_1 and e_2 is the identity of G_2 .
- b) $f(x^{-1}) = [f(x)]^{-1}$ for all x in G_1 .

Proof: (a) Let $x \in G_1$. Then we have $e_1 *_1 x = x$. Hence, $f(x) = f(e_1 *_1 x) = f(e_1) *_2 f(x)$, since f is a homomorphism. But $f(x) = e_2 *_2 f(x)$ in G_2 . Thus, $f(e_1) *_2 f(x) = e_2 *_2 f(x)$.

So, by the right cancellation law in G_2 $f(e_1) = e_2$.

(b) Now, for any $x \in G_1$, $f(x) f(x^{-1}) = f(x *_1 x^{-1}) = f(e_1) = e_2$.

Similarly, $f(x^{-1}) *_2 f(x) = e_2$.

Hence, $f(x^{-1}) = [f(x)]^{-1} \forall x \in G_1$.

Note that the converse of Theorem 1 is false. That is, if $f: G_1 \rightarrow G_2$ is a function such that $f(e_1) = e_2$ and $[f(x)]^{-1} = f(x^{-1}) \forall x \in G_1$, then f need not be a homomorphism. For example, consider $f: \mathbb{Z} \rightarrow \mathbb{Z}$ given by $f(0) = 0$ and

$$f(n) = \begin{cases} n+1, & \text{if } n > 0 \\ n-1, & \text{if } n < 0, \end{cases}$$

Since $f(1+1) \neq f(1) + f(1)$, f is not a homomorphism. But $f(e_1) = e_2$ and $f(n) = -f(-n) \forall n \in \mathbb{Z}$.

Let us look at a few more examples of homomorphisms now. We can get one important class of homomorphisms from quotient groups.

Example 3: let $H \trianglelefteq G$. Consider the map $p: G \rightarrow G/H : p(x) = Hx$. Show that p is a homomorphism. (p is called the natural or canonical group homomorphism.) also show that p is onto. What is $\text{Ker } p$?

Solution: for $x, y \in G$, $p(xy) = Hxy = Hx Hy = p(x) p(y)$. therefore, p is a homomorphism.

Now, $\text{Im } p = \{p(x) \mid x \in G\} = \{Hx \mid x \in G\} = G/H$. therefore, p is onto.

$\text{Ker } p = \{x \in G \mid p(x) = H\}$ (remember, H is the identity of G/H)

$$= \{x \in G \mid Hx = H\}$$

$$= \{x \in G \mid x \in H\}, \text{ by theorem 1 unit 4.}$$

$$= H.$$

In this example you can see that $\text{Ker } p \trianglelefteq G$. You can also check that theorem 1 is true here.

Before looking at more examples try the following exercises.

SELF ASSESSMENT EXERCISE 3

Define the natural homomorphism p from S_3 to S_3/A_3 . Does $(1\ 2) \in \text{Ker } p$? Does $(1\ 2) \in \text{Im } p$?

SELF ASSESSMENT EXERCISE 4

Let $S = \{z \in \mathbb{C} \mid |z| = 1\}$ (see Example 1 of Unit 3).

Define f : $(\mathbb{R}, +) \rightarrow (S, \cdot) : f(x) = e^{inx}$, where n is a fixed positive integer. Is f a homomorphism? If so, find $\text{Ker } f$.

SELF ASSESSMENT EXERCISE 5

Let G be a group and $H \trianglelefteq G$. Show that there exists a group G_1 and a homomorphism $f: G \rightarrow G_1$ such that $\text{Ker } f = H$.
(**Hint:** Does Example 3 help?)

Another class of examples of homomorphisms concerns the inclusion map.

Example 4: Let H be a subgroup of a group G . Show that the map $i: H \rightarrow G$, $i(h) = h$ is a homomorphism. This function is called the **inclusion map**.

Solution: Since $i(h_1h_2) = h_1h_2 = i(h_1)i(h_2) \forall h_1, h_2 \in H$, i is a group homomorphism.

Let us briefly look at the inclusion map in the context of symmetric groups. Consider two natural numbers m and n , where $m \leq n$.

Then, we can consider $S_m \leq S_n$, where any $\sigma \in S_m$, written as

$$\begin{bmatrix} 1 & 2 & \dots & m \\ \sigma(1) & \sigma(2) & \dots & \sigma(m) \end{bmatrix}, \text{ is considered to be the same as } \begin{bmatrix} 1 & 2 & \dots & m & m+1 & \dots & n \\ \sigma(1) & \sigma(2) & \dots & \sigma(m) & m+1 & \dots & n \end{bmatrix}, \in S_n, \text{ i.e., } \sigma(k) = k \text{ for } m+1 \leq k \leq n.$$

Then we can define an inclusion map $i: S_m \rightarrow S_n$.

For example, under $i: S_3 \rightarrow S_4$ $(1\ 2)$ goes to $\begin{bmatrix} 1 & 2 & 3 & 4 \\ 2 & 1 & 3 & 4 \end{bmatrix}$

Try this exercise now.

SELF ASSESSMENT EXERCISE 6

What are the kernel and image of the inclusion map $i: 3\mathbb{Z} \rightarrow \mathbb{Z}$?

We will now prove some results about homomorphisms. Henceforth, for convenience, we shall drop the notation for the binary operation, and write $a * b$ as ab .

Now let us look at the composition of two homomorphisms. Is it a homomorphism? Let us see.

Theorem 2: If $f: G_1 \rightarrow G_2$ and $g: G_2 \rightarrow G_3$ are two group homomorphisms, then the composite map $g \circ f: G_1 \rightarrow G_3$ is also a group homomorphism.

Proof: Let $x, y \in G_1$. Then

$$\begin{aligned} g \circ f(xy) &= g(f(xy)) \\ &= g(f(x)f(y)), \text{ since } f \text{ is a homomorphism.} \\ &= g(f(x))g(f(y)), \text{ since } g \text{ is a homomorphism.} \\ &= g \circ f(x) \cdot g \circ f(y). \end{aligned}$$

Thus, $g \circ f$ is a homomorphism.

Now, using Theorem 2, try and solve the following exercise.

SELF ASSESSMENT EXERCISE 7

Let $n \in \mathbb{N}$. Show that the composition of $f: \mathbb{Z} \rightarrow \mathbb{Z}$ given by $f(x) = nx$ and $g: \mathbb{Z} \rightarrow \mathbb{Z}/n\mathbb{Z}$ given by $g(x) = x$ is a homomorphism. What are $\text{Ker}(g \circ f)$ and $\text{Im}(g \circ f)$?

So far you have seen that the Kernel and image of a homomorphism are sets. In the examples we have discussed so far you may have noticed that they are subgroups. We will now prove that the kernel of a homomorphism is a normal subgroup, and the image is a subgroup.

Theorem 3: Let $f: G_1 \rightarrow G_2$ be a group homomorphism. Then

- $\text{Ker } f$ is a normal subgroup of G_1 .
- $\text{Im } f$ is a subgroup of G_2 .

Proof: a) since $f(e_1) = e_2$, $e_1 \in \text{Ker } f$. $\therefore \text{Ker } f \neq \emptyset$.

Now, if $x, y \in \text{Ker } f$, then $f(x) = e_2$ and $f(y) = e_2$

$$\therefore f(xy^{-1}) = f(x) f(y^{-1}) = f(x) [f(y)]^{-1} = e_2$$

$$\therefore xy^{-1} \in \text{Ker } f.$$

Therefore, by Theorem 1 of unit 3, $\text{Ker } f \leq G_1$. Now, for any $y \in G_1$ and $x \in \text{Ker } f$

$$\begin{aligned} f(y^{-1}xy) &= f(y^{-1}) f(x)f(y) \\ &= [f(y)]^{-1}e_1f(y), \text{ since } f(x) = e_2 \text{ and by Theorem 1} \\ &= e_2. \end{aligned}$$

$$\therefore \text{Ker } f \trianglelefteq G_1$$

b) $\text{Im } f \neq \emptyset$, since $f(e_1) \in \text{Im } f$.

Now, let $x_2, y_2 \in \text{Im } f$. Then $\exists x_1, y_1 \in G_1$ such that $f(x_1) = x_2$ and $f(y_1) = y_2$.

$$\therefore x_2y_2^{-1} = f(x_1) f(y_1^{-1}) = f(x_1y_1^{-1}) \in \text{Im } f.$$

$$\therefore \text{Im } f \leq G_2.$$

Using this result, from Example 2 we can immediately see that the set of purely imaginary numbers is a normal subgroup of C .

Let us also consider another example, which is a particular case of E 4 (when $n = 1$).

Consider $\phi : (\mathbb{R} +) \rightarrow (C^*, \cdot)$ given by $\phi(x) = \cos x + i \sin x$. We have seen that $\phi(xy) = \phi(x)\phi(y)$, that is, ϕ is a group homomorphism. Now $\phi(x) = 1$ iff $x = 2\pi n$ for some $n \in \mathbb{Z}$. Thus, by Theorem 3 $\text{Ker } \phi = \{2\pi n \mid n \in \mathbb{Z}\}$ is a normal subgroup of $(\mathbb{R}, +)$. Note that this is cyclic, and 2π is a generator.

Similarly, $\text{Im } \phi$ is a subgroup of C^* . This consists of all the complex numbers with absolute value 1, i.e., the complex numbers on the circle with radius 1 unit and center $(0,0)$.

You may have noticed that sometimes the kernel of a homomorphism is $\{e\}$ (as in Example 1), and sometimes it is a larger subgroup (as in Example 2), Does the singletness of the kernel indicate anything? We will prove that a homomorphism is 1-1 iff its kernel is $\{e\}$.

Theorem 4: Let $f : G_1 \rightarrow G_2$ be a group homomorphism. Then f is injective if and only if $\text{Ker } f = \{e_1\}$. Where e_1 is the identity element of the group G_1 .

Proof: Firstly, assume that f is injective. Let $x \in \text{Ker } f$. then $f(x) = e_2$ i.e., $f(x) = f(e_1)$. But f is 1-1. $\therefore x = e_1$.

Thus, $\text{Ker } f = \{e_1\}$.

Conversely, suppose $\text{Ker } f = \{e_1\}$. Let $x, y \in G_1$ such that $f(x) = f(y)$. then $f(xy^{-1}) = f(x)f(y^{-1}) = f(x)[f(y)]^{-1} = e_2$. Therefore, $xy^{-1} \in \text{Ker } f = \{e_1\}$. Thus $xy^{-1} = e_1$ and $x = y$. This shows that f is injective.

So, by using Theorem 4 and Example 4, we can immediately say that any inclusion $i : H \rightarrow G$ is 1-1, since $\text{Ker } i = \{e\}$.

Let us consider another example.

Example 5: Consider the group T of translations of \mathbb{R}^2 (Example 6, unit 2). We define a map $\phi : (\mathbb{R}^2, +) \rightarrow (T, \circ)$ by $\phi(a, b) = f_{a,b}$. Show that ϕ is an onto homomorphism, which is also 1-1.

Solution: For $(a,b), (c, d)$ in \mathbb{R}^2 , we have seen that $f_{a+c,b+d} = f_{a,b} \circ f_{c,d}$. Therefore, $\phi(a,b) \circ \phi(c,d) = \phi(a+c, b+d)$. Thus, ϕ is a homomorphism of groups.

Now, any element of T is $f_{a,b} = \phi(a, b)$. Therefore, ϕ is onto. We now show that ϕ is also injective. Let $(a,b) \in \text{Ker } \phi$. Then $\phi(a,b) = f_{0,0}$, that is, $f_{a,b} = f_{0,0}$. Therefore $f_{a,b}(0,0) = f_{0,0}(0,0)$, that is, $(a,b) = (0,0)$ and so $\text{Ker } \phi = \{(0,0)\}$. Thus, ϕ is 1-1. So we have proved that ϕ is a bijective homomorphism.

Try the following exercise now.

SELF ASSESSMENT EXERCISE 8

For any $n > 1$, consider Z_n and U_n (the group of n th roots of unity discussed in Example 5 of unit 3). Let ω denote an n th root of unity that generates U_n . Then $U_n = \{1, \omega, \omega^2, \dots, \omega^{n-1}\}$. Now, consider the map $f : Z_n \rightarrow U_n$ given by $f(r) = \omega^r$. Show that f is a group homomorphism. Is f 1-1? Is f surjective?

And now let us look at a very useful property of a homomorphism that is surjective.

Theorem 5: If $f : G_1 \rightarrow G_2$ is an onto group homomorphism and S is a subset that generates G_1 , then $f(S)$ generates G_2 .

Proof: We know that $G_1 = \langle S \rangle = \{x_1^{r_1} x_2^{r_2} \dots x_m^{r_m} \mid m \in \mathbb{N}, x_i \in S, r_i \in \mathbb{Z} \text{ for all } i\}$. We will show that $G_2 = \langle f(S) \rangle$.

Let $x \in G_2$. since f is surjective, there exists $y \in G_1$ such that $f(y) = x$. Since $y \in G_1$, $y = x_1^{r_1} \dots x_m^{r_m}$, for some $m \in \mathbb{N}$, where $x_i \in S$ and $r_i \in \mathbb{Z}$, $1 \leq i \leq m$. Thus, $x = f(y) = f(x_1^{r_1} \dots x_m^{r_m}) = (f(x_1))^{r_1} \dots (f(x_m))^{r_m}$, since f is a homomorphism. This implies that $x \in \langle f(S) \rangle$, since $f(x_i) \in f(S)$ for every $i = 1, 2, \dots, m$. Thus, $G_2 = \langle f(S) \rangle$.

In the following exercise we present an important property of cyclic groups which you can prove by using Theorem 5.

SELF ASSESSMENT EXERCISE 9

Show that the homomorphic image of a cyclic group is cyclic, i.e., if G is a cyclic group and $f : G \rightarrow G$ is a homomorphism, then $f(G)$ is cyclic.

Once you have solved E 9, you can immediately say that **any quotient group of a cyclic group is cyclic**. So far you have seen examples of various kinds of homomorphisms – injective, surjective and bijective. Let us now look at bijective homomorphisms in particular.

3.2 Isomorphism

In this section we will discuss homomorphisms that are 1-1 and onto. We start with some definitions.

Definitions: Let G_1 and G_2 be two groups. A homomorphism $f : G_1 \rightarrow G_2$ is called an isomorphism if f is 1-1 and onto.

In this case we say that the group G_1 is isomorphic to the group G_2 or G_1 and G_2 are isomorphic. We denote this fact by $G_1 \simeq G_2$.

An isomorphism of a group G onto itself is called an automorphism of G . For example, the identity function $I_G : G \rightarrow G : I_G(x) = x$ is an automorphism.

Let us look at another example of an isomorphism.

Example 6: Consider the set $G = \left\{ \begin{bmatrix} a & b \\ -b & a \end{bmatrix} \mid a, b \in \mathbb{R} \right\}$. Then G is a group with respect to matrix addition. Show that $f:G \rightarrow \mathbb{C}$ given by $f\left(\begin{bmatrix} a & b \\ -b & a \end{bmatrix}\right) = a + ib$ is an isomorphism.

Solution: Let us first verify that f is a homomorphism. Now, for any two elements

$$\begin{bmatrix} a & b \\ -b & a \end{bmatrix} \text{ and } \begin{bmatrix} c & d \\ -d & c \end{bmatrix} \text{ in } G,$$

$$\begin{aligned} f\left(\begin{bmatrix} a & b \\ -b & a \end{bmatrix} + \begin{bmatrix} c & d \\ -d & c \end{bmatrix}\right) &= f\left(\begin{bmatrix} a+c & b+d \\ -(b+d) & a+c \end{bmatrix}\right) = (a+c) + i(b+d) \\ &= (a+ib) + (c+id) \\ &= f\left(\begin{bmatrix} a & b \\ -b & a \end{bmatrix}\right) + f\left(\begin{bmatrix} c & d \\ -d & c \end{bmatrix}\right) \end{aligned}$$

There, f is a homomorphism.

$$\text{Now, Ker } f = \left\{ \begin{bmatrix} a & b \\ -b & a \end{bmatrix} a + ib = 0 \right\} = \left\{ \begin{bmatrix} a & b \\ -b & a \end{bmatrix} a = 0, b = 0 \right\} = \left\{ \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} \right\}$$

Therefore, by Theorem 4 f is 1-1

Finally, since $\text{Im } f = C$, f is surjective.

Therefore, f is an isomorphism.

We would like to make an important remark now.

Remark: If G_1 and G_2 are isomorphic groups, they must have the same algebraic structure and satisfy the same algebraic properties. For example, any group isomorphic to a finite group must be finite and the same order. Thus two isomorphic groups are algebraically indistinguishable systems.

The following result is one of the consequences of isomorphic groups being algebraically alike.

Theorem 6 If $f: G \rightarrow H$ is a group isomorphism and $x \in G$, then $\langle x \rangle \simeq \langle f(x) \rangle$

Therefore,

- i) if x is of finite order, then $o(x) = o(f(x))$.
- ii) if x is of infinite order, so is $f(x)$.

Proof: If we restrict f to any subgroup K of G , we have the function

$f|_K: K \rightarrow f(K)$. Since f is bijective, so is its restriction $f|_K$. Therefore, $K \simeq f(K)$ for any subgroup K of G . In particular, for any $x \in G$, $\langle x \rangle \simeq f(\langle x \rangle) = \langle f(x) \rangle$, by E9.

Now if x has finite order, then $o(x) = o(\langle x \rangle) = o(\langle f(x) \rangle) = o(f(x))$, proving (i).

To prove (ii) assume that x is of infinite order. Then $\langle x \rangle$ is an infinite group. Therefore, $\langle f(x) \rangle$ is an infinite group, and hence, $f(x)$ is of infinite order, so, we have proved (ii).

Try the following exercise now.

SELF ASSESSMENT EXERCISE 10

Show that $Z \simeq nZ$, for a fixed integer n .

(Hint: Consider $f: (Z, +) \rightarrow (nZ, +) : f(k) = nk$.)

SELF ASSESSMENT EXERCISE 11

Is $f: Z \rightarrow X: f(x) = 0$ a homomorphism? An isomorphism?

The next two exercises involve general properties of an isomorphism. E 12 is the isomorphism analogue of theorem 2 E 13 gives us another example to support that isomorphic groups have the same algebraic properties.

SELF ASSESSMENT EXERCISE 12

If $\phi : G \rightarrow H$ and $\theta : H \rightarrow K$ are two isomorphisms of groups, then show that $\theta \circ \phi$ is an isomorphism of G onto K .

SELF ASSESSMENT EXERCISE 13

If $f: G \rightarrow H$ is an isomorphism of groups and G is abelian, then show that H is also abelian.

So far we have seen examples of isomorphic groups. Now consider the following example.

Example 7: Show that (\mathbb{R}^*, \cdot) is not isomorphic to (\mathbb{C}^*, \cdot) .

Solution: Suppose they are isomorphic, and $f: \mathbb{C}^* \rightarrow \mathbb{R}^*$ is an isomorphism. Then $o(i) = o(f(i))$, by Theorem 6. Now $o(i) = 4$. Therefore $o(f(i)) = 4$.

However, the order of any real number different from ± 1 is infinite: and $o(1) = 1$, $o(-1) = 2$.

So we reach a contradiction. Therefore, our supposition must be wrong. That is, \mathbb{R}^* and \mathbb{C}^* are not isomorphic.

Try these exercises now.

ACTIVITY 2

Show that (\mathbb{C}^*, \cdot) is not isomorphic to $(\mathbb{R}, +)$.

SELF ASSESSMENT EXERCISE 14

Is $\mathbb{Z} \simeq \mathbb{Z}/n\mathbb{Z}$, for any $n \neq 1$?

You must have noticed that the definition of an isomorphism just says that the map is bijective, i.e., the inverse map exists. It does not tell us any properties of the inverse. The next result does so.

Theorem 7: If $f: G_1 \rightarrow G_2$ is an isomorphism of groups, then $f^{-1}: G_2 \rightarrow G_1$ is also an isomorphism.

Proof: From Unit 1 you know that f^{-1} is bijective. So, we only need to show that f^{-1} is a homomorphism. Let $a', b' \in G_2$ and $a = f^{-1}(a')$, $b = f^{-1}(b')$. Then $f(a) = a'$ and $f(b) = b'$. Therefore, $f(ab) = f(a)f(b) = a'b'$. On applying f^{-1} , we get $f^{-1}(a'b') = ab = f^{-1}(a')f^{-1}(b')$. Thus, $f^{-1}(a'b') = f^{-1}(a')f^{-1}(b')$ for all $a', b' \in G_2$. Hence, f^{-1} is an isomorphism.

From Example 5 and Theorem 7 we can immediately say that $\phi^1 : T \rightarrow R^2$ given by $\phi^1(f_{a,b}) = (a, b)$ is an isomorphism.

Theorem 7 says that if $G_1 \simeq G_2$, then $G_2 \simeq G_1$. We will be using this result quite often (e.g., while proving Theorem 9)

Let us now look at a very important theorem in group theory. In Block 3 you will study its analogue in ring theory and in the Linear Algebra course you have already studied its analogue for linear transformations.

3.3 The Isomorphism Theorems

In this section we shall prove some results about the relation between homomorphisms and quotient groups. The first result is the Fundamental Theorem of Homomorphism for groups. It is called 'fundamental' because a lot of group theory depends upon this result. This result is also called the first isomorphism theorem.

Theorem 8 (Fundamental Theorem of Homomorphism) Let G_1 and G_2 be two groups and $f: G_1 \rightarrow G_2$ be a group homomorphism. Then

$G_1/\text{Ker } f \simeq \text{Im } f$. In particular, if f is onto, then $G_1/\text{Ker } f \simeq G_2$.

Proof: Let $\text{Ker } f = H$. Note that $H \trianglelefteq G_1$. Let us define the function

$\psi : G_1/H \rightarrow \text{Im } f$ by $\psi(Hx) = f(x)$. At first glance it seems that the definition of ψ depends on the coset representative. But we will show that if $x, y \in G_1$ such that $Hx = Hy$, then $\psi(Hx) = \psi(Hy)$. This will prove that ψ is a well-defined function.

Now, $Hx = Hy \Rightarrow xy^{-1} \in H = \text{Ker } f \Rightarrow f(xy^{-1}) = e_2$, the identity of G_2 .
 $\Rightarrow f(x)[f(y)]^{-1} = e_2 \Rightarrow f(x) = f(y)$.
 $\Rightarrow \psi(Hx) = \psi(Hy)$.

Therefore, ψ is a well-defined function.

Now, let us check that ψ is a homomorphism. For $Hx, Hy \in G_1/H$,

$$\begin{aligned} \psi((Hx)(Hy)) &= \psi(Hxy) \\ &= f(xy) \\ &= f(x)f(y), \text{ since } f \text{ is a homomorphism.} \\ &= \psi(Hx)\psi(Hy). \end{aligned}$$

Therefore, ψ is a group homomorphism.

Next, Let us see whether ψ is bijective or not

Now, $\psi(Hx) = \psi(Hy)$ for Hx, Hy in G_1/H

$$\Rightarrow f(x) = f(y)$$

$$\Rightarrow f(x) [f(y)]^{-1} = e_2$$

$$\Rightarrow f(xy^{-1}) = e_2$$

$$\Rightarrow xy^{-1} \in \text{Ker } f = H.$$

$$\Rightarrow Hx = Hy$$

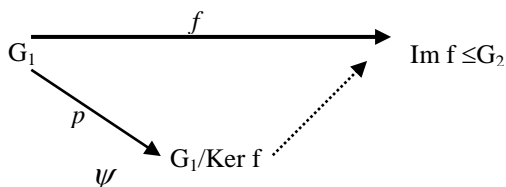
Thus, ψ is 1-1.

Also, any element of $\text{Im } f$ is $f(x) = \psi(Hx)$, where $x \in G_1$. Therefore, $\text{Im } \psi = \text{Im } f$.

So, we have proved that ψ is bijective, and hence, an isomorphism. Thus, $G_1/\text{Ker } f \simeq \text{Im } f$.

Now, if f is surjective, $\text{Im } f = G_2$. Thus, in this case $G_1/\text{Ker } f \simeq G_2$.

The situation in Theorem 8 can be shown in the following diagram.



Here, p is the natural homomorphism (see example 3).

The diagram says that if you first apply p , and then ψ , to the elements of G_1 , it is the same as applying f to them. That is, $\psi p = f$.

Also, note that Theorem 8 says that two elements of G_1 have the same image under f iff they belong to the same coset of $\text{Ker } f$.

Let us look at a few examples.

One of the simplest situations we can consider is $I_G: G \rightarrow G$. On applying theorem 8 here, we see that $G/\{e\} \simeq G$. We will be using this identification of $G/\{e\}$ and G quite often.

Now for some non-trivial examples.

Example 8: Prove that $C/R \simeq R$.

Solution: Define $f: \mathbb{C} \rightarrow \mathbb{R}$ given by $f(a + ib) = b$. Then f is a homomorphism, $\text{Ker } f = \mathbb{R}$ and $\text{Im } f = \mathbb{R}$. Therefore, on applying Theorem 8 we see that $\mathbb{C}/\mathbb{R} \simeq \mathbb{R}$.

Example 9: Consider $f: \mathbb{Z} \rightarrow (\{1, -1\}, \cdot)$ given by $f(n) = \begin{cases} 1, & \text{if even} \\ -1, & \text{if odd} \end{cases}$

At the beginning of Sec. 6.2, you saw that f is a homomorphism. Obtain $\text{ker } f$ and $\text{Im } f$. What does Theorem 8 say in this case?

Solution: Let Z_e and Z_o denote the set of even and odd integers, respectively. Then

$$\text{Ker } f = \{n \in \mathbb{Z} \mid f(n) = 1\} = Z_e.$$

$$\text{Im } f = \{f(n) \mid n \in \mathbb{Z}\} = \{1, -1\}$$

Thus, by Theorem 8, $\mathbb{Z}/Z_e \simeq \{1, -1\}$

This also tells us that $o(\mathbb{Z}/Z_e) = 2$. The two cosets of Z_e in \mathbb{Z} are Z_e and Z_o , therefore $\{Z_e, Z_o\} \simeq \{1, -1\}$.

Example 10: Show that $GL_2(\mathbb{R})/SL_2(\mathbb{R}) \simeq \mathbb{R}^*$, where $SL_2(\mathbb{R}) = \{A \in GL_2(\mathbb{R}) \mid \det(A) = 1\}$.

Solution: We know that the function $f: GL_2(\mathbb{R}) \rightarrow \mathbb{R}^*$ given by $f(A) = \det(A)$ is a homomorphism. Now, $\text{Ker } f = SL_2(\mathbb{R})$.

Also, $\text{Im } f = \mathbb{R}^*$, since any $r \in \mathbb{R}^*$ can be written as $\det \begin{pmatrix} r & 0 \\ 0 & 1 \end{pmatrix}$.

Thus, using Theorem 8, $GL_2(\mathbb{R})/SL_2(\mathbb{R}) \simeq \mathbb{R}^*$.

Try the following exercises now.

SELF ASSESSMENT EXERCISE 15

Consider the situation in Example 1 show that $(\mathbb{R}, +) \simeq (\mathbb{R}^*, \cdot)$, the group of positive real numbers.

SELF ASSESSMENT EXERCISE 16

Let U_4 be the multiplicative group of 4th roots of unity.

Define $f: \mathbb{Z} \rightarrow U_4$ by $f(n) = i^n$. Use Theorem 8 to show that $\mathbb{Z}_4 \simeq U_4$. ($i = \sqrt{-1}$.)

Now we will use the fundamental Theorem of Homomorphism to prove a very important result, which classifies all cyclic groups.

Theorem 9: Any cyclic group is isomorphic to $(\mathbb{Z}, +)$ or $(\mathbb{Z}_n, +)$.

Proof: Let $G = \langle x \rangle$ be a cyclic group. Define $f: \mathbb{Z} \rightarrow G$ by $f(n) = x^n$. f is a homomorphism because $f(n+m) = x^{n+m} = x^n \cdot x^m = f(n)f(m)$. Also note that $\text{Im } f = G$.

Now, we have two possibilities for $\text{Ker } f$ – $\text{Ker } f = \{0\}$ or $\text{Ker } f \neq \{0\}$.

Case 1 ($\text{Ker } f = \{0\}$): In this case f is 1-1. Therefore, f is an isomorphism. Therefore, by Theorem 7, f is an isomorphism. That is, $G \simeq (\mathbb{Z}, +)$.

Case 2 ($\text{Ker } f \neq \{0\}$): Since $\text{Ker } f \leq \mathbb{Z}$, from Example 4 of Unit 3 we know that $\text{Ker } f = n\mathbb{Z}$, for some $n \in \mathbb{N}$. Therefore, by the fundamental Theorem of Homomorphism, $\mathbb{Z}/n\mathbb{Z} \simeq G$. Therefore $G \simeq \mathbb{Z}/n\mathbb{Z} = (\mathbb{Z}_n, +)$.

Over here note that since $\langle x \rangle \simeq \mathbb{Z}_n$, $o(x) = n$. So, a finite cyclic group is isomorphic to \mathbb{Z}_n , where n is the order of the group.

Using Theorem 9 we know that all cyclic groups of order 4 are isomorphic, since they are all isomorphic to \mathbb{Z}_4 . Similarly, all infinite cyclic groups are isomorphic.

And now you can prove the following nice result.

SELF ASSESSMENT EXERCISE 17

Let S be the circle group $\{z \in \mathbb{C} \mid |z| = 1\}$. Show that $\mathbb{R}/\mathbb{Z} \simeq S$.

(**Hint:** Define $f: \mathbb{R} \rightarrow S$ by $f(x) = e^{2\pi i x}$. Show that f is an onto homomorphism and $\text{Ker } f = \mathbb{Z}$).

We will now prove the second isomorphism theorem with the help of the Fundamental Theorem of Homomorphism. It is concerned with intersections and products of subgroups. To prove the theorem you will need the results given in the following exercise. So why not do this exercise first!

SELF ASSESSMENT EXERCISE 18

Let G be a group, $H \leq G$ and $K \trianglelefteq G$. Then

- $H \cap K \trianglelefteq H$; and
- if $A \leq G$ such that $K \subseteq A$, then $K \trianglelefteq A$.

Now let us discuss the theorem.

Theorem 10 (Second isomorphism theorem): If H and K are subgroups of a group G , with K normal in G , then $H/(H \cap K) \simeq (HK)/K$.

Proof: we must first verify that the quotient groups $H/(H \cap K)$ and $(HK)/K$ are well-define. From E 19 you know that $H \cap K \trianglelefteq H$. from E 6 of unit 5 you know that $HK \leq G$. Again, from E 19 you know that $K \trianglelefteq HK$. Thus the given quotient groups are meaningful.

Now, what we want to do is to find an onto homomorphism $f: H \rightarrow (HK)/K$ with kernel $H \cap K$. then we can apply the Fundamental Theorem of Homomorphism and get the result. We define $f: H \rightarrow (HK)/K$ by $f(h) = hK$.

Now, for $x, y \in H$, $f(xy) = xyK = (xK)(yK) = f(x) f(y)$.

Therefore, f is a homomorphism.

$$\text{Im } f = \{ f(h) \mid h \in H \} = \{ hK \mid h \in H \}$$

We will show that $\text{Im } f = (HK)/K$. Now, take any element $hK \in \text{Im } f$. since $h \in H$, $h \in HK$ and therefore $hK \in (HK)/K$. Thus $\text{Im } f \subseteq (HK)/K$. On the other hand, any element of $(HK)/K$ is $hkK = hK$, since $k \in K$. Therefore $hkK \in \text{Im } f$ and so $(HK)/K \subseteq \text{Im } f$. Thus $\text{Im } f = (HK)/K$.

$$\begin{aligned} \text{Finally, Ker } f &= \{ h \in H \mid f(h) = K \} = \{ h \in H \mid hK = K \} \\ &= \{ h \in H \mid h \in K \} \\ &= H \cap K. \end{aligned}$$

Thus, on applying the Fundamental Theorem, we get $H/(H \cap K) \simeq (HK)/K$.

We would like to make a remark here.

Remark: If H and K are subgroups of $(G, +)$. Then Theorem 10 says that

$$(H + K)/K \simeq H/H \cap K.$$

Now you can use Theorem 10 to solve the following exercises.

SELF ASSESSMENT EXERCISE 19

Let H and K be subgroups of a finite group G , and $H \trianglelefteq G$. show that

$$o(HK) = \frac{o(H)o(K)}{o(H \cap K)}.$$

SELF ASSESSMENT EXERCISE 20

Show that $3\mathbb{Z}/12\mathbb{Z} \simeq \mathbb{Z}_4$

(**Hint:** Take $H = 3\mathbb{Z}$, $K = 4\mathbb{Z}$).

And now for the third isomorphism theorem. This is also a corollary to Theorem 8.

Theorem 11: (Third isomorphism theorem) Let H and K be normal subgroups of a group G such that $K \subseteq H$. then $(G/K)/(H/K) \simeq G/H$.

Proof: We will define a homomorphism from G/K onto G/H , whose kernel will turn out to be H/K .

Consider $f: G/K \rightarrow G/H$ given by $f(Kx) = Hx$. f is well-define because $Kx = Ky$ for $x, y \in G$

$\Rightarrow xy^{-1} \in K \subseteq H \Rightarrow xy^{-1} \in H \Rightarrow Hx = Hy \Rightarrow f(Kx) = f(Ky)$

Now we leave the rest of the proof to you (see the following exercise).

SELF ASSESSMENT EXERCISE 21

Show that f is an onto homomorphism and $\text{Ker } f = H/K$.

Let us now look at isomorphisms of a group onto itself.

3.4 Automorphisms

In this section we will first show that the set of all automorphisms of a group forms a group.

Then we shall define a special subgroup of this group.

Let G be a group. Consider $\text{Aut } G = \{f: G \rightarrow G \mid f \text{ is an isomorphism}\}$.

You have already seen that the identity map $I_G \in \text{Aut } G$. From E 12 you know that $\text{Aut } G$ is closed under the binary operation of composition. Also, Theorem 7 says that if $f \in \text{Aut } G$, then $f^{-1} \in \text{Aut } G$. We summarize this discussion in the following theorem.

Theorem 12: Let G be a group. Then $\text{Aut } G$, the set of automorphisms of G , is a group.

Example 11: show that $\text{Aut } \mathbb{Z} \simeq \mathbb{Z}_2$.

Solution: Let $f: \mathbb{Z} \rightarrow \mathbb{Z}$ be an automorphism. Let $f(1) = n$. We will show that $n = 1$ or -1 . Since f is onto and $1 \in \mathbb{Z}$, $\exists m \in \mathbb{Z}$ such that $f(m) = 1$, i.e., $mf(1) = 1$, i.e. $mn = 1$.

$\therefore n = 1$ or $n = -1$.

Thus, there are only two elements in $\text{Aut } \mathbb{Z}$, 1 and -1 .

So $\text{Aut } \mathbb{Z} = \langle -1 \rangle \simeq \mathbb{Z}_2$.

Now, given an element of a group G , we will define an automorphism of G corresponding to it.

Consider a fixed element $g \in G$. Define $f_g: G \rightarrow G$ by $f_g(x) = gxg^{-1}$.

We will show that f_g is an automorphism of G .

i) f_g is a homomorphism : If $x, y \in G$, then

$$\begin{aligned} f_g(xy) &= g(xy)g^{-1} \\ &= gx(e)yg^{-1}, \text{ where } e \text{ is the identity of } G. \\ &= gx(g^{-1}g)yg^{-1} \\ &= (gxg^{-1})gyg^{-1} \\ &= f_g(x)f_g(y). \end{aligned}$$

iii) f_g is 1-1: for $x, y \in G$, $f_g(x) = f_g(y) \Rightarrow gxg^{-1} = gyg^{-1} \Rightarrow x = y$, by the cancellation laws in G .

iii) f_g is onto: If $y \in G$, then

$$\begin{aligned} y &= (gg^{-1})y(gg^{-1}) \\ &= g(g^{-1}yg)g^{-1} \\ &= f_g(g^{-1}yg) \in \text{Im } f_g. \end{aligned}$$

Thus, f_g is an automorphism of G . We give this automorphism a special name.

Definition: f_g is called an inner automorphism of G induced by the element g in G . The subset of $\text{Aut } G$ consisting of all inner automorphism of G is denoted by $\mathbf{I}_{\text{in}}G$.

For example, consider S_3 . Let us compute $f_g(1)$, $f_g(1\ 3)$ and $f_g(1\ 2\ 3)$. Where $g = (1\ 2)$. Note that $g^{-1} = (1\ 2) = g$.

Now, $f_g(1) = g \circ 1 \circ g^{-1} = 1$.

$f_g(1\ 3) = (1\ 2)(1\ 3)(1\ 2) = (2\ 3)$,

$f_g(1\ 2\ 3) = (1\ 2)(1\ 2\ 3)(1\ 2) = (1\ 3\ 2)$.

The following exercise will give you some practice in obtaining inner automorphisms.

SELF ASSESSMENT EXERCISE 22

Obtain the image of $f_g \in \text{Inn } G$, where

a) $G = \text{GL}_2(\mathbb{R})$ and $g = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$

b) $G = \mathbb{Z}$ and $g = 3$.

c) $G = \mathbb{Z}/5\mathbb{Z}$ and $g = 4$.

You will now see that $\text{Inn } G$ is a normal subgroup of $\text{Aut } G$.

Theorem 13: Let G be a group. Then $\text{Inn } G$ is a normal subgroup of $\text{Aut } G$.

Proof: $\text{Inn } G$ is non-empty, because $I_G = f_g \in \text{Inn } G$, where e is the identity in G .

Now, Let us see if $f_g \circ f_h \in \text{Inn } G$ for $g, h \in G$. For any $x \in G$,

$$\begin{aligned} f_g \circ f_h(x) &= fg(hxh^{-1}) \\ &= g(hxh^{-1})g^{-1} \\ &= (gh)x(gh)^{-1} \\ &= f_{gh}(x). \end{aligned}$$

Thus, $f_{gh} = f_g \circ f_h$, i.e., $\text{Inn } G$ is closed under composition. Also $f_g = I_G$ acts as identity in $\text{Inn } G$.

Now, for $f_g \in \text{Inn } G$, $\exists f_g^{-1} \in \text{Inn } G$ such that $f_g \circ f_g^{-1} = f_{gg^{-1}} = f_e = I_G$. Similarly, $f_g^{-1} \circ f_g = I_G$. Thus, $f_g^{-1} = (f_g)^{-1}$. That is, every element of $\text{Inn } G$ has an inverse in $\text{Inn } G$.

This proves that $\text{Inn } G$ is a subgroup of $\text{Aut } G$.

Now, to prove that $\text{Inn } G \trianglelefteq \text{Aut } G$, let $\phi \in \text{Aut } G$ and $f_g \in \text{Inn } G$. then, for any $x \in G$

$$\begin{aligned} \phi^{-1} \circ f_g \circ \phi(x) &= \phi^{-1} \circ f_g(\phi(x)) \\ &= \phi^{-1}(g\phi(x)g^{-1}) \\ &= \phi^{-1}(g)\phi^{-1}(\phi(x))\phi^{-1}(g^{-1}) \\ &= \phi^{-1}(g)x(\phi^{-1}(g))^{-1} \\ &= f_{\phi^{-1}(g)}(x). \quad (\text{Note that } \phi^{-1}(g) \in G). \end{aligned}$$

Therefore $\phi^{-1} \circ f_g \circ \phi = f_{\phi^{-1}(g)} \in \text{Inn } G \forall \phi \in \text{Aut } G$ and $f_g \in \text{Inn } G$.

Therefore $\text{Inn } G \trianglelefteq \text{Aut } G$.

Now for some exercises! From E 23 you may have already got a hint of the useful result that we give in E 24.

ACTIVIT 3

Show that a group G is commutative iff $\text{Inn } G = \{I_G\}$.

SELF ASSESSMENT EXERCISE 25

Show that if $x \in G$ such that $f_g(x) = x \forall g \in G$, then $\langle x \rangle \trianglelefteq G$.

Now we will prove an interesting result, which relates the center of a group G to $\text{Inn } G$. Recall that the center of G , $Z(G) = \{x \in G \mid xg = gx \ \forall g \in G\}$.

Theorem 14: Let G be a group. Then $G/Z(G) \simeq \text{Inn } G$.

Proof: As usual, we will use the powerful Fundamental Theorem of Homomorphism to prove this result.

We define $f: G \rightarrow \text{Aut } G$ by $f(g) = f_g$.

Firstly, f is a homomorphism because for $g, h \in G$,

$$\begin{aligned} f(gh) &= f_{gh} \\ &= f_g \circ f_h \text{ (see proof of Theorem 13)} \\ &= f(g) \circ f(h). \end{aligned}$$

Next, $\text{Im } f = \{f_g \mid g \in G\} = \text{Inn } G$.

$$\begin{aligned} \text{Finally, Ker } f &= \{g \in G \mid f_g = I_G\} \\ &= \{g \in G \mid f_g(x) = x \ \forall x \in G\} \\ &= \{g \in G \mid gxg^{-1} = x \ \forall x \in G\} \\ &= \{g \in G \mid gx = xg \ \forall x \in G\} \\ &= Z(G). \end{aligned}$$

Therefore, by the Fundamental Theorem
 $G/Z(G) \simeq \text{Inn } G$.

Now you can use Theorem 14 to solve the next exercise.

SELF ASSESSMENT EXERCISE 26

Show that $S_3 \simeq \text{Inn } S_3$.

Now let us see what we have done in this unit.

4.0 SUMMARY

In this unit we have covered the following points.

1. The definition and example of a group homomorphism .
2. Let $f: G \rightarrow G_2$ be a group homomorphism. Then $f(e_1) = e_2$, $[f(x)]^{-1} = f(x^{-1})$, $\text{Im } f \leq G_2$, $\text{Ker } f \trianglelefteq G_1$.
3. A homomorphism is 1-1 iff its kernel is the trivial subgroup.
4. The definition and examples of a group isomorphism.
5. Two groups are isomorphic iff they have exactly the same algebraic structure.

6. The composition of group homomorphisms (isomorphisms) is a group homomorphism (isomorphism).
7. The proof of the Fundamental Theorem of Homomorphism, which says that if $f: G_1 \rightarrow G_2$ is a group homomorphism, then $G_1 / \text{Ker } f \simeq \text{Im } f$.
8. Any infinite cyclic group is isomorphic to $(\mathbb{Z}, +)$. Any finite cyclic group of order n is isomorphic to $(\mathbb{Z}_n, +)$.
9. Let G be a group, $H \leq G$, $K \trianglelefteq G$. Then $H/(H \cap K) \simeq (HK)/K$.
10. Let G be a group, $H \trianglelefteq G$, $K \trianglelefteq G$, $K \subseteq H$. Then $(G/K)/(H/K) \simeq G/H$.
11. The set of automorphisms of a group G , $\text{Aut } G$, is a group with respect to the composition of functions.
12. $\text{Inn } G \trianglelefteq \text{Aut } G$, for any group G .
13. $G/Z(G) \simeq \text{Inn } G$, for any group G .

5.0 SOLUTIONS/ANSWERS

SELF ASSESSMENT EXERCISE 1

For any $x, y \in \mathbb{R}^*$, $f(xy) = \ln(xy) = \ln x + \ln y$.

$\therefore f$ is a homomorphism.

$$\text{Ker } f = \{x \in \mathbb{R}^* \mid f(x) = 0\} = \{1\}.$$

$$\text{Im } f = \{f(x) \mid x \in \mathbb{R}^*\} = \{\ln x \mid x \in \mathbb{R}^*\}.$$

SELF ASSESSMENT EXERCISE 2

For any $A, B \in \text{GL}_2(\mathbb{R})$,

$$f(AB) = \det(AB) = \det(A) \det(B) = f(A) f(B)$$

$\therefore f$ is a homomorphism.

$$\text{Ker } f = \{A \in \text{GL}_3(\mathbb{R}) \mid f(A) = 1\} = \{A \in \text{GL}_3(\mathbb{R}) \mid \det(A) = 1\}$$

$= \text{SL}_3(\mathbb{R})$, the special linear group of order 3.

$$\text{Im } f = \{\det(A) \mid A \in \text{GL}_3(\mathbb{R})\} = \mathbb{R}^* \text{ (because for any } r \in \mathbb{R}^*, \exists A = \begin{bmatrix} r & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \in \text{GL}_3(\mathbb{R}))$$

such that $\det(A) = r$.)

SELF ASSESSMENT EXERCISE 3

$$p: S_3 \rightarrow S_3/A_3 : p(x) = A_3 x$$

Note that $A_3 = \{1, (1\ 2\ 3), (1\ 3\ 2)\}$.

Now $\text{Ker } p = A_3 \therefore (1\ 2) \notin \text{Ker } p$.

$\text{Im } p = \{A_3 x \mid x \in S_3\} \therefore (1\ 2) \notin \text{Im } p$.

SELF ASSESSMENT EXERCISE 4

$$\begin{aligned} \text{For any } x, y \in \mathbb{R}, f(x+y) &= e^{\ln(x+y)} \\ &= e^{\ln x} e^{\ln y} = f(x) \cdot f(y). \end{aligned}$$

$\therefore f$ is a homomorphism

$$\begin{aligned}\text{Ker } f &= \{ x \in \mathbb{R} \mid f(x) = 1 \} = \{ x \in \mathbb{R} \mid e^{inx} = 1 \} \\ &= \{ x \in \mathbb{R} \mid nx \in 2\pi\mathbb{Z} \} = \frac{2\pi}{n} \mathbb{Z}.\end{aligned}$$

SELF ASSESSMENT EXERCISE 5

From Example 3, we know that if we take G/H and take f to be the natural homomorphism from G onto G/H , then $\text{Ker } f = H$.

SELF ASSESSMENT EXERCISE 6

$$i: 3\mathbb{Z} \rightarrow \mathbb{Z}: I(3n) = 3n.$$

$$\text{Ker } i = \{ 3n \mid 3n = 0 \} = \{ 0 \}$$

$$\text{Im } i = 3\mathbb{Z}.$$

SELF ASSESSMENT EXERCISE 7

$$g \circ f: \mathbb{Z} \rightarrow \mathbb{Z}/n\mathbb{Z}: g \circ f(x) =$$

Then, for any $x, y \in \mathbb{Z}$

$$g \circ f(x + y) = g \circ f(x) + g \circ f(y).$$

$\therefore g \circ f$ is a homomorphism.

$$\text{Ker } (g \circ f) = \mathbb{Z}, \text{Im } (g \circ f) = \{ \bar{0} \}.$$

SELF ASSESSMENT EXERCISE 8

For any $\bar{r}, \bar{s} \in \mathbb{Z}_n$.

$$f(\bar{r} + \bar{s}) = f(\overline{r+s}) = \omega^{r+s} = \omega^{-r} \omega^s = f(\bar{r}) \cdot f(\bar{s}).$$

$\therefore f$ is a homomorphism.

f is 1-1 because

$$f(\bar{r}) = 1 \Rightarrow \omega^r = 1$$

$$\Rightarrow r \mid n \text{ (see Unit 4)}$$

$$\Rightarrow \bar{r} = \bar{0}$$

$$\therefore \text{Ker } f = \{ \bar{0} \}$$

f is surjective because any element of \mathbb{Z}_n is ω^r for $0 \leq r \leq n-1$, and $\omega^r = f(\bar{r})$.

SELF ASSESSMENT EXERCISE 9

let $G = \langle x \rangle$ and $f: G \rightarrow G$ be a homomorphism. Then $f: G \rightarrow f(G)$ is an onto homomorphism.

Therefore, by Theorem 5, $f(G) = \langle f(x) \rangle$, i.e., $f(G)$ is cyclic.

SELF ASSESSMENT EXERCISE 10

a) The function $f: \mathbb{Z} \rightarrow n\mathbb{Z}: f(k) = nk$ is a well-defined function.

Now, $f(m+k) = nm + nk = f(m) + f(k) \forall m, k \in \mathbb{Z}$.

$\therefore f$ is a homomorphism
 $\text{Ker } f = \{0\}$, $\therefore f$ is 1-1
 $\text{Im } f = n\mathbb{Z}$. $\therefore f$ is surjective.
 $\therefore f$ is an isomorphism and $\mathbb{Z} \simeq n\mathbb{Z}$.

SELF ASSESSMENT EXERCISE 11

f is a homomorphism, but not 1-1, $\therefore f$ is not an isomorphism.

SELF ASSESSMENT EXERCISE 12

By Theorem 2, $\theta \circ \phi$ is a homomorphism. Now let $x \in \text{Ker } (\theta \circ \phi)$.

$$\begin{aligned} \text{Then, } (\theta \circ \phi)(x) = 0 &\Rightarrow \theta(\phi(x)) = 0 \\ &\Rightarrow \phi(x) = 0, \text{ since } \theta \text{ is 1-1.} \\ &\Rightarrow x = 0, \text{ since } \phi \text{ is 1-1.} \end{aligned}$$

$\therefore \text{Ker } (\theta \circ \phi) = \{0\}$. $\therefore \theta \circ \phi$ is 1-1

Finally, take any $k \in K$. Then $k = \theta(h)$, for some $h \in H$, since θ is onto.
 Now, $h = \phi(g)$, for some $g \in G$, since ϕ is onto.

$\therefore k = \theta \circ \phi(g)$. $\therefore \theta \circ \phi$ is onto.
 $\therefore \theta \circ \phi$ is an isomorphism.

SELF ASSESSMENT EXERCISE 13

Let $a, b \in H$. then $\exists x, y \in G$ such that $a = f(x)$, $b = f(y)$.

$$\begin{aligned} \text{Now } ab &= f(x) f(y) = f(xy). \\ &= f(yx), \text{ since } G \text{ is abelian.} \\ &= f(y) f(x) \\ &= ba. \end{aligned}$$

$\therefore H$ is abelian.

ACTIVITY 2

Suppose $C^* \simeq \mathbb{R}$ and $f: C^* \rightarrow \mathbb{R}$ is an isomorphism. Then $o^*f(i) = 4$. But, apart from 0, every element of $(\mathbb{R}, +)$ is of infinite order, and $o(0) = 1$. so, we reach a contradiction.

$\therefore C^*$ and \mathbb{R} are not isomorphic.

SELF ASSESSMENT EXERCISE 14

Since \mathbb{Z} is infinite and $\mathbb{Z}/n\mathbb{Z}$ is finite, the two groups can't be isomorphic.

SELF ASSESSMENT EXERCISE 15

$$\text{Im exp} = \{e^r \mid r \in \mathbb{R}\} = \mathbb{R}^+.$$

$$\text{Ker exp} = \{0\}.$$

Thus, by the Fundamental Theorem of Homomorphism, $\mathbb{R} \simeq \mathbb{R}^+$.

SELF ASSESSMENT EXERCISE 16

$$U_4 = \{1, I, i2, i3\} = \{\pm 1, \pm I\}.$$

$$f \text{ is a homomorphism, Ker } f = \{n \mid i^n = 1\} = 4\mathbb{Z}$$

$$\text{Im } f = U_4.$$

$$\therefore \mathbb{Z}/4\mathbb{Z} \simeq U_4$$

In Unit 5 we have seen that $\mathbb{Z}/4\mathbb{Z}$ is the same as \mathbb{Z}_4 .

$$\therefore \mathbb{Z}_4 \simeq U_4.$$

SELF ASSESSMENT EXERCISE 17

$$f(x+y) = e^{2\pi i(x+y)} = e^{2\pi i x} e^{2\pi i y} = f(x)f(y).$$

$\therefore f$ is a homomorphism.

Now any element of S is of the form $\cos \theta + i \sin \theta$

$$= \cos 2\pi \frac{\theta}{2\pi} + i \sin 2\pi \frac{\theta}{2\pi} = f\left(\frac{\theta}{2\pi}\right).$$

$\therefore f$ is onto

$$\text{Also Ker } f = \{x \in \mathbb{R} \mid e^{2\pi i x} = 1\}$$

$$= \{x \in \mathbb{R} \mid \cos 2\pi x + i \sin 2\pi x = 1\}$$

$$= \mathbb{Z}, \text{ since } \cos \theta + i \sin \theta = 1 \text{ iff } \theta \in 2\pi\mathbb{Z}.$$

Therefore, by the Fundamental Theorem of Homomorphism, $\mathbb{R}/\mathbb{Z} \simeq S$.

SELF ASSESSMENT EXERCISE 18

a) you know that $H \cap K \leq H$. Now, let $h \in H$ and $x \in H \cap K$.

Then $h^{-1}xh \in H$, since $h, x \in H$.

Also, $h^{-1}xh \in K$, since $x \in K$ and $K \trianglelefteq G$.

$h^{-1}xh \in H \cap K$. $\therefore H \cap K \trianglelefteq H$.

b) Since $K \leq G$, $K \leq A$. also, for any $a \in A$, $a \in G$.

Therefore, since $K \trianglelefteq G$, $a^{-1}Ka = K$. $\therefore K \trianglelefteq A$.

SELF ASSESSMENT EXERCISE 19

by theorem 10. $(HK)/H \simeq K/(H \cap K)$.

$$\therefore \frac{o(HK)}{o(H)} = \frac{o(K)}{o(H \cap K)}, \text{ i.e. } o(HK) = \frac{o(H)o(K)}{o(H \cap K)}$$

SELF ASSESSMENT EXERCISE 20

Let $H = 3Z$, $K = 4Z$. By Theorem 10 we know that

$$(H + K)/K \simeq H/(H \cap K).$$

Now $H + K = 3Z + 3Z + 4Z = Z$. (Use E 9 of Unit 3 and the fact that $1 = 4 - 3$.)

$$\text{Also } H \cap K = 3Z \cap 4Z = 12Z \text{ (since } x \in 3Z \cap 4Z \text{ iff } 3|x \text{ and } 4|x).$$

Thus, by Theorem 10, $Z/4Z \simeq 3Z/12Z$.

You also know that $Z/4Z \simeq Z_4$.

$$\therefore 3Z/12Z \simeq Z_4.$$

SELF ASSESSMENT EXERCISE 21

For any Kx, Ky in G/K ,

$$f((Kx)(Ky)) = f(Kxy) = Hxy = (Hx)(Hy) = f(Kx)f(Ky).$$

$\therefore f$ is a homomorphism.

Now, any element of G/H is of the form Hx . And

$$Hx = f(Kx) \in \text{Im } f: \therefore \text{Im } f = G/H.$$

$$\text{Finally, Ker } f = \{Kx \in G/K \mid f(Kx) = H\}$$

$$= \{Kx \in G/K \mid Hx = H\}$$

$$= \{Kx \in G/K \mid x \in H\}$$

$$= H/K$$

Therefore, by Theorem 8, $(G/K)/(H/K) \simeq G/H$.

SELF ASSESSMENT EXERCISE 22

$$\text{a) } f_g : GL_2(\mathbb{R}) : f_g \left(\begin{bmatrix} a & b \\ c & d \end{bmatrix} \right) = g \begin{bmatrix} a & b \\ c & d \end{bmatrix} g^{-1}$$

$$\text{Now, } g = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \therefore g^{-1} = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$$

$$\therefore g \begin{bmatrix} a & b \\ c & d \end{bmatrix} g^{-1} = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} d & -c \\ -b & a \end{bmatrix}$$

$$\therefore f_g(\text{GL}_2(\mathbb{R})) = \left\{ \begin{bmatrix} d & -c \\ -b & a \end{bmatrix} \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \text{GL}_2(\mathbb{R}) \right\}$$

- b) $f_g: Z \rightarrow Z : f_g(x) = g + x + (-g) = x.$
 $\therefore f_g = 1, \therefore f_g(Z) = Z.$
- c) Here too, since G is abelian, $f_g = 1.$

ACTIVITY 3

Firstly assume tht G is abelian. Then, for any $f_g \in \text{Inn } G.$

$$f_g^{-1}(x) = gxg^{-1} = gg^{-1}x = x \quad \forall x \in G.$$

$$\therefore f_g = 1_G.$$

$$\therefore \text{Inn } G = \{1_G\}.$$

Conversely, assume that $\text{Inn } G = \{1_G\}.$

Then, for any $x, y \in G, f_g(y) = y.$

$$\Rightarrow xyx^{-1} = y \Rightarrow xy = yx$$

therefore, any two elements of G commute with each other. That is, G is abelian.

SELF ASSESSMENT EXERCISE 23

To show that $g^{-1} \langle x \rangle g = \langle x \rangle \quad \forall g \in G,$ it is enough to show that

$g^{-1}xg \in \langle x \rangle \quad \forall g \in G.$ Now, for any $g \in G,$ we are given that

$$f_g^{-1}(x) = x.$$

$$\Rightarrow g^{-1}x(g^{-1})^{-1} = x$$

$$\Rightarrow g^{-1}xg = x.$$

$$\therefore g^{-1} \langle x \rangle g = \langle x \rangle. \therefore \langle x \rangle \trianglelefteq G.$$

SELF ASSESSMENT EXERCISE 24

We know that $S_3/Z(S_3) \simeq \text{Inn } S_3.$

But, $Z(S_3) = \{1\}. \therefore S_3 \simeq \text{Inn } S_3.$

6.0 REFERENCES

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UNIT 3 PERMUTATION GROUPS

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1.0 INTRODUCTION

In this unit we discuss, in detail, a group that you studied in Sec. 2.5.2. This is the symmetric group. As you have often seen in previous units, the symmetric group S_n , as well as its subgroups, have provided us with a lot of examples. The symmetric groups and their subgroups are called permutation groups. It was the study of permutation groups and groups of transformations that gave the foundation to group theory.

In this unit we will present all the information about permutation groups that you have studied so far, as well as some more. We will discuss the structure of permutations, and look at even permutations in particular. We will show that the set of even permutations is a group called the alternating group. We will finally prove a result by the mathematician Cayley, which says that every group is isomorphic to a permutation group. This result is what makes permutation groups so important.

We advise you to read this unit carefully, because it gives you a concrete basis for studying and understanding the theory of groups. We also suggest that you go through Sec. 2.5.2 again, before tackling this unit.

2.0 OBJECTIVES

After reading this unit, you should be able to

- express any permutation in S_n as a product of disjoint cycles;
- find out whether an element S_n is odd or even;
- prove that the alternating group of degree n is normal in S_n and is of order; and
- prove and use Cayley's theorem.

3.0 MAIN CONTENT

3.1 Symmetric Group

From Sec. 2.5.2, you know that a permutation on a non-empty set X is a bijective function from X onto X . We denote the set of all permutations on X by $S(X)$.

Let us recall some facts from Sec. 2.5.2.

Suppose X is a finite set having n elements. For simplicity, we take these elements to be $1, 2, \dots, n$. Then, we denote the set of all permutations on these n symbols by S_n .

We represent any $f \in S_n$ in a 2-line form as

$$f = \begin{pmatrix} 1 & 2 & \dots & n \\ f(1) & f(2) & \dots & f(n) \end{pmatrix}$$

Now, there are n possibilities for $f(1)$, namely, $1, 2, \dots, n$. Once $f(1)$ has been specified there are $(n - 1)$ possibilities for $f(2)$, namely, $\{1, 2, \dots, n\} \setminus \{f(1)\}$. This is because f is 1-1. Thus, there are $n(n - 1)$ choices for $f(1)$ and $f(2)$. Continuing in this manner, we see that there are $n!$ different ways in which f can be defined. Therefore, S_n has $n!$ elements.

Now, let us look at the algebraic structure of $S(X)$, for any set X . The composition of permutations is a binary operation on $S(X)$. To help you again practice in computing the composition of permutations, consider an example.

$$\text{Let } f = \begin{pmatrix} 1 & 2 & 3 & 4 \\ 2 & 4 & 1 & 3 \end{pmatrix} \text{ and } g = \begin{pmatrix} 1 & 2 & 3 & 4 \\ 4 & 1 & 3 & 2 \end{pmatrix} \text{ be in } S_4.$$

Then, to get $f \circ g$ we first apply g and then apply f .

$$\therefore f \circ g (1) = f(g(1)) = f(4) = 3.$$

$$f \circ g (2) = f(g(2)) = f(1) = 2.$$

$$f \circ g (3) = f(g(3)) = f(3) = 1.$$

$$f \circ g (4) = f(g(4)) = f(2) = 4.$$

$$\therefore f \circ g = \begin{pmatrix} 1 & 2 & 3 & 4 \\ 3 & 2 & 1 & 4 \end{pmatrix}$$

We show this process diagrammatically in fig. 1.

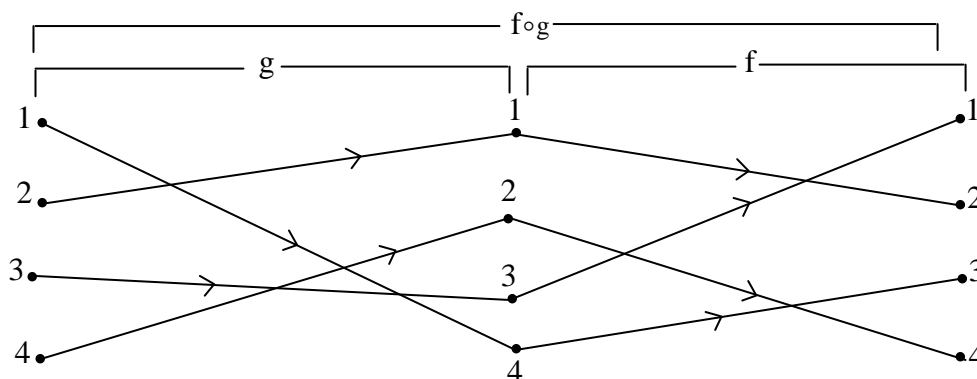


Fig. 1: $(1\ 2\ 4\ 3) \circ (1\ 4\ 2)$ in S_4 .

Now, let us go back to $S(X)$, for any set X . We have proved the following result in Sec. 2.5.2.

Theorem 1: Let X be a non-empty set. Then the system $(S(X), \circ)$ forms a group, called the symmetric group of X .

Thus, S_n is a group of order $n!$, we call S_n the symmetric group of degree n . note that if $f \in S_n$, then

$$f^{-1} = \begin{pmatrix} f(1) & f(2) & \dots & f(n) \\ 1 & 2 & \dots & n \end{pmatrix}.$$

Now, with the experience that you have gained in previous units, try the following exercise.

SELF ASSESSMENT EXERCISE 1

Show that (S_n, \circ) is a non-commutative group for $n \geq 3$.

(Hint: Check that $\begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix}$ and $\begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix}$ don't commute.)

At this point we would like to make a remark about our terminology and notation.

Remark: From now on we will refer to the composition of permutations as multiplication of permutations. We will also drop the composition sign. Thus, we will write $f \circ g$ as fg .

The two-line notation that we have used for a permutation is rather cumbersome. In the next section we will see how to use a shorter notation.

3.2 Cyclic Decomposition

In this section we will first see how to write permutations conveniently, as a product of cycles. Let us first see what a cyclic is.

Consider the permutation $f = \begin{pmatrix} 1 & 2 & 3 & 4 \\ 3 & 1 & 4 & 2 \end{pmatrix}$. Choose any one of the symbols, say 1.

Now, we write down a left hand bracket followed by 1:(1
 Since f maps 1 to 3, we write 3 after 1: (1 3
 Since f maps 3 to 4, we write 4 after 3: (1 3 4
 Since f maps 4 to 2, we write 2 after 4: (1 3 4 2
 Since f maps 2 to 1 (the symbol we started with),
 after the symbol we close the brackets (1 3 4 2)

Thus, we write $f = (1\ 3\ 4\ 2)$. This means that f maps each symbol to the symbol on its right, except for the final symbol in the brackets, which is mapped to the first.

If we had chosen 3 as our starting symbol we would have obtained the expression (3 4 2 1) for f . however, this means exactly the same as (1 3 4 2), because both denote the permutation which we have represented diagrammatically in Fig 2.

Such a permutation is called a 4-cyclic, or a cyclic of length 4. Fig 2 can give you an indication as to why we give this name.

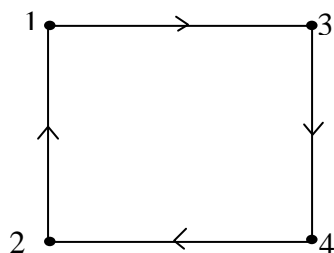


Fig. 2: (1 3 4 2)

Let us give a definition now.

Definition: A permutation $f \in S_n$ is called an r -cyclic (or cyclic of length r) if there are r distinct integers $i_1, i_2, i_3, \dots, i_r$ lying between 1 and n such that $f(i_1) = i_2, f(i_2) = i_3, \dots, f(i_{r-1}) = i_r, f(i_r) = i_1$.
 And $f(k) = k \forall k \notin \{i_1, i_2, \dots, i_r\}$

Then, we write $f = (i_1\ i_2\ \dots\ i_r)$

In particular, 2-cycles are called transpositions. For example, the permutation $f = (2\ 3) \in S_3$ is a transposition. Here $f(1) = 1, f(2) = 3$ and $f(3) = 2$.

Later in this section you will see that transpositions play a very important role in the theory of permutations.

Now consider any 1-cycles (i) in S_n . It is simply the identity permutation

$1 = \begin{pmatrix} 1 & 2 & \dots & n \\ 1 & 2 & \dots & n \end{pmatrix}$, Since it maps i to i and the other (n – 1) symbols identically to themselves.

Let us see some examples of cycles in S_3 . (1 2 3) is the 3-cycles that takes 1 to 2, 2 to 3 and 3 to 1. There are also 3 transpositions in S_3 , namely, (1 2), (1 3) and (2 3).

The following exercise will help you to see if you’ve understood what a cycles is.

SELF ASSESSMENT EXERCISE 2

Write down 2 transpositions, 2 3-cycles and a 5-cycle in S_5 .

Now, can we express any permutation as a cycles? No. Consider the following example from S_5 . Let g be the permutation defined by

$$g = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 3 & 5 & 4 & 1 & 2 \end{pmatrix}.$$

If we start with the symbol 1 and apply the procedure for obtaining a cycle to g, we obtain (1 3 4) after three steps. Because g maps 4 to 1, we close the brackets, even though we have not yet written down all the symbols. Now we simply choose another symbol that has not appeared so far, say 2, and start the process of writing a cycle again. Thus, we obtain another cycle (2 5). Now, all the symbols are exhausted.

$\therefore g = (1\ 3\ 4)(2\ 5)$.

We call this expression for g a product of a 3-cycle and a transposition. In Fig.3 we represent g by a diagram, which shows the 3-cycle, and the 2-cycle clearly.

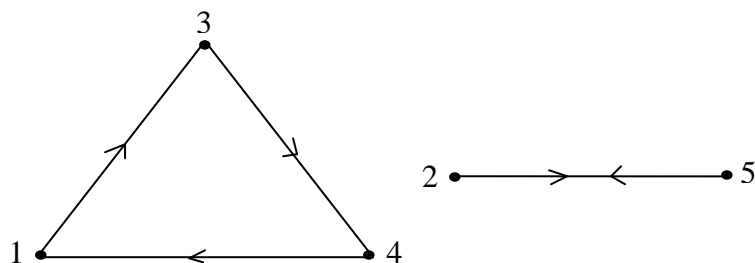


Fig. 3:1 3 4) (2 5)

Because of the arbitrary choice of symbol at the beginning of each cycle, there are many ways of expressing g. For example,

$$g = (4\ 1\ 3)(2\ 5) = (2\ 5)(1\ 3\ 4) = (5\ 2)(3\ 4\ 1).$$

That is, we can write the product of the separate cycles in any order, and the choice of the starting element within each cycle is arbitrary.

So, you see that g can't be written as a cycle; it is a product of disjoint cycles

Definition: We call two cycles disjoint if they have no symbol in common. Thus, disjoint cycles move disjoint sets of elements. (Note that $f \in S_n$ moves a symbol i if $f(i) \neq i$. We say that f fixes i if $f(i) = i$)

So, for example, the cycles $(1\ 2)$ and $(3\ 4)$ on S_4 are disjoint. But $(1\ 2)$ and $(1\ 4)$ are not disjoint, since they both move 1.

Note that if f and g are disjoint cycles, then $fg = gf$, since f and g move disjoint sets of symbols.

Now let us examine one more example. Let h be the permutation in S_5 , defined by

$$h = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 4 & 2 & 3 & 5 & 1 \end{pmatrix}$$

Following our previous rules, we obtain

$$h = (1\ 4\ 5)(2)(3),$$

because each of the symbols 2 and 3 is left unchanged by h . by convention, we don't include the 1-cycles (2) and (3) in the expression for h unless we wish to emphasize them, since they just represent the identity permutation. Thus, we simply write $h = (1\ 4\ 5)$.

If you have understood our discussion so far, you will be able to solve the following exercises.

SELF ASSESSMENT EXERCISE 3

Express each of the following permutations as products of disjoint cycles in the manner demonstrated above.

a) $\begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 5 & 4 & 2 & 1 & 3 \end{pmatrix}$

b) $\begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ 8 & 4 & 7 & 2 & 1 & 3 & 6 & 5 \end{pmatrix}$

c) $\begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 4 & 5 & 3 & 1 & 2 \end{pmatrix}$

SELF ASSESSMENT EXERCISE 4

Do the cycles $(1\ 3)$ and $(1\ 5\ 4)$ commute? Why?

What you have seen in E 3 is true in general. We state the following result.

Theorem 2: Every permutation $f \in S_n$, $f \neq 1$, can be expressed as a product of disjoint cycles.

The proof of this statement is tedious. It is the same process that you have applied in E 3. So we shall not do it here.

Now we will give you some exercise in which we give some interesting properties of permutations.

SELF ASSESSMENT EXERCISE 5

Show that every permutation in S_n is a cycle iff $n < 4$.

SELF ASSESSMENT EXERCISE 6

If $f = (i_1\ i_2\ \dots\ i_r) \in S_n$, then show that $f^{-1} = (i_r\ i_{r-1}\ \dots\ i_2\ i_1)$.

SELF ASSESSMENT EXERCISE 7

If f is an r -cycle, then show that $o(f) = r$, i.e., $f^r = 1$ and $f^s \neq 1$, if $s < r$.

(Hint: if $f = (i_1\ i_2\ \dots\ i_r)$, then $f(i_1) = i_2, f^2(i_1) = i_3, \dots, f^{r-1}(i_1) = i_r$).

And now let us see how we can write a cycle as a product of transpositions. Consider the cycle $(1\ 5\ 3\ 4\ 2)$ in S_5 . You can check that this is the same as the product

$(1\ 2)(1\ 4)(1\ 3)(1\ 5)$. Note that these transpositions are not disjoint. In fact, all of them move the element 1.

The same process that we have just used is true for any cycle. That is, any r -cycle $(i_1\ i_2\ \dots\ i_r)$ can be written as $(i_1\ i_r)(i_1\ i_{r-1})\ \dots\ (i_1\ i_2)$, a product of transpositions.

Note that, since the transpositions are not disjoint, they need not commute.

Try the following exercise now.

ACTIVITY 4

Express the following cycles as products of transpositions:

a) $(1\ 3\ 5)$, b) $(5\ 3\ 1)$, c) $(2\ 4\ 5\ 3)$.

Now we will use Theorem 2 to state a result which shows why transpositions are so important in the theory of permutation.

Theorem 3: Every permutation in S_n ($n \geq 2$) can be written as a product of transpositions.

Proof: The proof is really very simple. By Theorem 2 every permutation, apart from 1, is a product of disjoint cycles. Also, you have just seen that every cycle is a product of transpositions. Hence, every permutation, apart from 1 is a product of transposition.

Also, $1 = (1\ 2)(1\ 2)$ Thus, 1 is also a product of transpositions. So, the theorem is proved.

Let us see how Theorem 3 works in practice. The permutation in E 3(a) is $(1\ 5\ 3\ 2\ 4)$. This is the same as $(1\ 4)(1\ 2)(1\ 3)(1\ 5)$.

Similarly, the permutation $\begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 3 & 6 & 4 & 1 & 2 & 5 \end{pmatrix}$

$= (1\ 3\ 4)(2\ 6\ 5) = (1\ 4)(1\ 3)(2\ 5)(2\ 6)$.

Now you can try your hand at this process.

SELF ASSESSMENT EXERCISE 8

Write the permutation in E 3(b) as a product of transpositions.

SELF ASSESSMENT EXERCISE 9

Show that $(1\ 2\ \dots\ 10) = (1\ 2)(2\ 3)\ \dots\ (9\ 10)$.

The decomposition given in Theorem 3 leads us to a subgroup of S_n that we will now discuss.

3.3 Alternating Group

You have seen that a permutation in S_n can be written as a product of transpositions. From E 10 you can see that the factors in the product are not uniquely determined. But all such representations have one thing in common – if a permutation in S_n is the product of an odd number of transposition in one such representation, then it will be a product of a odd number of transpositions in any such representation, similarly, if $f \in S_n$ is a product of an even number of transpositions in one representation, then f is a product of an even number of transpositions in any such representation. To see this fact we need the concept of the signature or sign function.

Definition: The signature of $f \in S_n$ ($n \geq 2$) is define to be

$$\text{Sign } f = \prod_{\substack{i,j=1 \\ i < j}}^n \left(\frac{f(j) - f(i)}{j - i} \right)$$

for example, for $f = (1 \ 2 \ 3) \in S_3$,

$$\begin{aligned} \text{sign } f &= \left(\frac{f(2) - f(1)}{2 - 1} \right) \left(\frac{f(3) - f(1)}{3 - 1} \right) \left(\frac{f(3) - f(2)}{3 - 2} \right) \\ &= \left(\frac{3 - 2}{1} \right) \left(\frac{1 - 2}{2} \right) \left(\frac{1 - 3}{1} \right) = 1. \end{aligned}$$

Similarly, if $f = (1 \ 2) \in S_3$, then

$$\begin{aligned} \text{Sign } f &= \left(\frac{f(2) - f(1)}{2 - 1} \right) \left(\frac{f(3) - f(1)}{3 - 1} \right) \left(\frac{f(3) - f(2)}{3 - 2} \right) \\ &= \left(\frac{1 - 2}{1} \right) \left(\frac{3 - 2}{2} \right) \left(\frac{3 - 1}{1} \right) = -1. \end{aligned}$$

Henceforth, whenever we talk of $\text{sign } f$, we shall assume that $f \in S_n$ for some $n \geq 2$.

Try this simple exercise now.

SELF ASSESSMENT EXERCISE 10

What is the signature of $1 \in S_n$?

Have you noticed that the signature define a function $\text{Sign}: S_n \rightarrow Z$? We will now show that this function is a homomorphism.

Theorem 4: Let $f, g \in S_n$. Then $\text{sign}(f \circ g) = (\text{sign } f) \cdot (\text{sign } g)$.

Proof: By definition,

$$\begin{aligned} \text{Sign } f \circ g &= \prod_{\substack{i,j=1 \\ i < j}}^n \frac{f(g(j)) - f(g(i))}{j - i} \\ &= \prod_{i,j} \frac{f(g(j)) - f(g(i))}{g(j) - g(i)} \prod_{i,j} \frac{g(j) - g(i)}{j - i} \end{aligned}$$

Now, as i and j take all possible pairs of distinct values from 1 to n , so do $g(i)$ and $g(j)$ since g is a bijection

$$\therefore \prod_{i < j} \frac{f(g(j)) - f(g(i))}{g(j) - g(i)} = \text{sign } f.$$

$$\therefore \text{sign}(f \circ g) = (\text{sign } f) (\text{sign } g).$$

Now we will show that $\text{Im}(\text{sign}) = \{1, -1\}$.

- Theorem 5:** a) If $t \in S_n$ is a transposition, then $\text{sign } t = -1$.
 b) $\text{sign } f = 1$ or $-1 \forall f \in S_n$.
 c) $\text{Im}(\text{sign}) = \{1, -1\}$.

Proof: a) Let $t = (p \ q)$, where $p < q$.

Now, only one factor of $\text{sign } t$ involves both p and q , namely,

$$\frac{t(q) - t(p)}{q - p} = \frac{p - q}{q - p} = -1.$$

Every factor of $\text{sign } t$ that doesn't contain p or q equals 1, since

$$\frac{t(i) - t(j)}{i - j} = \frac{i - j}{i - j} = 1, \text{ if } i, j \neq p, q.$$

The remaining factors contain either p or q but not both. These can be paired together to form one of the following products.

$$\frac{t(i) - t(p)}{i - p} \frac{t(i) - t(q)}{i - q} = \frac{i - q}{i - p} \frac{i - p}{i - q} = 1, \text{ if } i > q,$$

$$\frac{t(i) - t(p)}{i - p} \frac{t(q) - t(i)}{q - i} = \frac{i - q}{i - p} \frac{p - i}{q - i} = 1, \text{ if } q > i > p,$$

$$\frac{t(p) - t(i)}{p - i} \frac{t(q) - t(i)}{q - i} = \frac{q - i}{p - i} \frac{p - i}{q - i} = 1, \text{ if } i < p.$$

Taking the values of all the factors of $\text{sign } t$, we see that $\text{sign } t = -1$.

b) Let $f \in S_n$. By Theorem 3 we know that $f = t_1 t_2 \dots t_r$ for some transpositions t_1, \dots, t_r in S_n

$$\begin{aligned} \therefore \text{sign } f &= \text{sign}(t_1 t_2 \dots t_r) \\ &= (\text{sign } t_1) (\text{sign } t_2) \dots \text{sign}(t_r), \text{ by Theorem 4.} \\ &= (-1)^r, \text{ by (a) above.} \end{aligned}$$

$$\therefore \text{sign } f = 1 \text{ or } -1.$$

c) We know that $\text{Im}(\text{sign}) \subseteq \{1, -1\}$.

We also know that $\text{sign } t = -1$, for any transposition t ; and $\text{sign } 1 = 1$.

$$\therefore \{1, -1\} \subseteq \text{Im}(\text{sign}).$$

$$\therefore \text{Im}(\text{sign}) = \{1, -1\}$$

Now, we are in a position to prove what we said at the beginning of this section.

Theorem 6: Let $f \in S_n$ and let $f = t_1 t_2 \dots t_r = t_1 t_2 \dots t_s$ be two factorizations of f into a product of transpositions. Then either both r and s are even integers, or both are odd integers.

Proof: We apply the function

Sign: $S_n \rightarrow \{1, -1\}$ to $f = t_1 t_2 \dots t_r$.

By Theorem 5 we see that

Sign $f = (\text{sign } t_1) (\text{sign } t_2) \dots (\text{sign } t_r) = (-1)^r$.

$\therefore \text{sign } (t_1 t_2 \dots t_s) = (-1)^r$, substituting $t_1 t_2 \dots t_s$ for f .

that is, $(-1)^s = (-1)^r$.

This can only happen if both s and r are even, or both are odd.

So, we have shown that for $f \in S_n$, the number of factors occurring in any factorization of f into transpositions is always even or always odd. Therefore, the following definition is meaningful.

Definition: A permutation $f \in S_n$ is called even if it can be written as a product of an even number of transposition. f is called odd if it can be represented as a product of an odd number of transpositions.

For example, $(1\ 2) \in S_3$ is an odd permutation. In fact, any transposition is an odd permutation. On the other hand, any 3-cycle is an even permutation, since $(i\ j\ k) = (i\ k)(i\ j)$.

Now, see if you've understood what odd and even permutations are

SELF ASSESSMENT EXERCISE 11

Which of the permutation in E 8 and 9 are odd?

SELF ASSESSMENT EXERCISE 12

If $f, g \in S_n$ are odd, then is $f \circ g$ odd too?

SELF ASSESSMENT EXERCISE 13

Is the identity permutation odd or even?

Now, we define an important subset of S_n namely,

$A_n = \{f \in S_n \mid f \text{ is even}\}$.

We'll show that $A_n \trianglelefteq S_n$, and that $o(A_n) = \frac{n!}{2}$, for $n \geq 2$.

Theorem 7: The set A_n , of even permutations in S_n , forms a normal subgroup of S_n of order $\frac{n!}{2}$

Proof: Consider the signature function,

$$\text{Sign: } S_n \rightarrow \{1, -1\}.$$

Note that $\{1, -1\}$ is a group with respect to multiplication. Now Theorem 4 says that sign is a group homomorphism and Theorem 5 says that $\text{Im}(\text{sign}) = \{1, -1\}$. Let us obtain $\text{Ker}(\text{sign})$.

$$\begin{aligned} \text{Ker}(\text{sign}) &= \{f \in S_n \mid \text{sign } f = 1\} \\ &= \{f \in S_n \mid f \text{ is even}\} \\ &= A_n. \end{aligned}$$

$$\therefore A_n \trianglelefteq S_n.$$

Further, by the Fundamental Theorem of Homomorphism

$$S_n/A_n \simeq \{1, -1\}.$$

$$\therefore o(S_n/A_n) = 2, \text{ that is, } \frac{o(S_n)}{o(A_n)} = 2.$$

$$\therefore o(A_n) = \frac{o(S_n)}{2} = \frac{n!}{2}.$$

Note that this theorem says that the number of even permutations in S_n equals the number of odd permutations in S_n .

Theorem 7 leads us to the following definition.

Definition: A_n , the group of even permutations in S_n , is called the alternating group of degree n .

Let us look at an example that you already seen in previous units, A_3 . Now, Theorem

$$7 \text{ says that } o(A_3) = \frac{3!}{2} = 3.$$

Since $(1\ 2\ 3) = (1\ 3)(1\ 2)$, $(1\ 2\ 3) \in A_3$.

Similarly, $(1\ 3\ 2) \in A_3$. Of course, $1 \in A_3$.

$$\therefore A_3 = \{1, (1\ 2\ 3), (1\ 3\ 2)\}.$$

A fact that we have used in the example above is that an r -cycle is odd if r is even, and even if r is odd. This is because $(i_1 i_2 \dots i_r) = (i_1 i_r)(i_1 i_{r-1}) \dots (i_1 i_2)$, a product of $(r-1)$ transpositions. Use this fact to do the following exercise.

SELF ASSESSMENT EXERCISE 14

Write down all the elements of A_4 .

Now, for a moment, let us go back to unit 4 and Lagrange's theorem. This theorem says that the order of a subgroup of a finite group divides the order of the group. We

also said that if $n \mid o(G)$, then G need not have a subgroup of order n . Now that you know what A_4 looks like, we are in a position to illustrate this statement.

We will show that A_4 has no subgroup of order 6, even though $6 \mid o(A_4)$. Suppose such a subgroup H exists with $o(H) = 6$. Since $o(A_4) = 12$,

$|A_4 : H| = 2$. $\therefore H \trianglelefteq A_4$ (see Theorem 3 unit 5). Now A_4/H is a group of order 2.

Therefore, by E 8 of unit 4,

$(Hg)^2 = H \forall g \in A_4$. (Remember H is the identity of A_4/H .)

$\therefore g^2 \in H \forall g \in A_4$.

Now, $(1\ 2\ 3) \in A_4 \therefore (1\ 2\ 3)^2 = (1\ 3\ 2) \in H$.

Similarly, $(1\ 3\ 2)^2 = (1\ 2\ 3) \in H$. By the same reasoning $(1\ 4\ 2)$, $(1\ 2\ 4)$, $(1\ 4\ 3)$, $(1\ 3\ 4)$, $(2\ 3\ 4)$, $(2\ 4\ 3)$ are also distinct element of H . of course, $1 \in H$.

Thus, H contains at least 9 elements.

$\therefore o(H) \geq 9$. This contradicts our assumption that $o(H) = 6$.

Therefore, A_4 has no subgroup of order 6.

We use A_4 to provide another example too. (See how useful A_4 is!) In Unit 5 we'd said that if $H \trianglelefteq N$ and $N \trianglelefteq G$, then H need not be normal in G . Well, here's the example.

Consider the subset $Y_4 = \{1(1\ 2)(3\ 4), (1\ 4)(2\ 3), (1\ 3)(2\ 4)\}$ of A_4 .

SELF ASSESSMENT EXERCISE 15

Check that (V_4, \circ) is a normal subgroup of A_4 .

Now, let $H = \{1, (1\ 2)(3\ 4)\}$. Then H is a subgroup of index 2 in V_4 . $\therefore H \trianglelefteq V_4$.

So, $H \trianglelefteq V_4$, $V_4 \trianglelefteq A_4$. But H is not a normal subgroup of A_4 . Why? Well, $(1\ 2\ 3) \in A_4$ is such that

$(1\ 2\ 3) \cdot (1\ 2)(3\ 4) \cdot (1\ 2\ 3) = (1\ 3)(2\ 4) \notin H$.

And now let us see why permutation groups are so important in group theory.

3.4 Cayley's Theorem

Most finite groups that first appeared in mathematics were groups of permutations. It was the English mathematician Cayley who first realized that every group has the algebraic structure of a subgroup of $S(X)$, for some set X . In this section we will discuss Cayley's result and some of its applications.

Theorem 8: (Cayley's theorem) Any group G is isomorphic to a subgroup of the symmetric group $S(G)$.

Proof: For $a \in G$, we define the left multiplication function

$$f_a: G \rightarrow G: f_a(x) = ax.$$

f_a is 1-1, since

$$f_a(x) = f_a(y) \Rightarrow ax = ay \Rightarrow x = y \quad \forall x, y \in G.$$

f_a is onto, since any $x \in G$ is $f_a(a^{-1}x)$.

$$\therefore f_a \in Z(G) \quad \forall a \in G.$$

(Note that $S(G)$ is the symmetric group on the set G). Now we define a function $f: G \rightarrow S(G)$ by $f(a) = f_a$. We will show that f is an injective homomorphism. For this we note that $(f_a \circ f_b)(x) = f_a(f_b(x)) = f_a(bx) = abx = f_{ab}(x) \quad \forall a, b \in G$. Therefore $f(ab) = f_{ab} = f_a \circ f_b = f(a) \circ f(b) \quad \forall a, b \in G$. That is, f is a homomorphism.

Now,

$$\begin{aligned} \text{Ker } f &= \{a \in G \mid f_a = I_G\} \\ &= \{a \in G \mid f_a(x) = x \quad \forall x \in G\} \\ &= \{a \in G \mid ax = x \quad \forall x \in G\} \\ &= \{e\}. \end{aligned}$$

Thus, by the Fundamental Theorem of Homomorphism,

$G/\text{Ker } f \simeq \text{Im } f \leq S(G)$, that is, G is isomorphic to a subgroup of $S(G)$.

As an example of Cayley's theorem, we will show you that the Klein 4 –group K_4 (ref. Example 7, unit 3) is isomorphic to the subgroup V_4 of S_4 . the multiplication table for K_4 is

.	e	a	b	c
e	e	a	b	c
a	a	e	c	b
b	b	c	e	a
c	c	b	a	e

SELF ASSESSMENT EXERCISE 16

Check that $f_e = 1$, $f_a = (e \ a) (b \ c)$, $f_b = (e \ b) (a \ c)$, $f_c = (e \ c) (a \ b)$.

On solving E 17 you can see that

$K_4 \simeq \{1, (e \ a) (b \ c), (e \ b) (a \ c), (e \ c) (a \ b)\}$. Now, just replace the symbols e, a, b, c by $1, 2, 3, 4$ and you'll get V_4 .

$$\therefore K_4 \simeq V_4.$$

Try the following exercise now.

ACTIVITY 5

Obtain the subgroup of S_4 , to which Z_4 is isomorphic. Is $Z_4 \simeq A_4$?

So let us see what we have done in this unit.

4.0 SUMMARY

In this unit we have discussed the following points.

1. The symmetric group $S(X)$, for any set X and the group S_n , in particular.
2. The definitions and some properties of cycles and transpositions.
3. Any non-identity permutation in S_n can be expressed as a disjoint product of cycles.
4. Any permutation in S_n ($n \geq 2$) can be written as a product of transpositions.
5. The homomorphism $\text{sign}: S_n \rightarrow \{1, -1\}$, $n \geq 2$.
6. odd and even permutations.
7. A_n , the set of even permutations in S_n , is a normal subgroup of S_n of order $\frac{n!}{2}$, for $n \geq 2$
8. Any group is isomorphic to a permutation group.

5.0 SOLUTIONS/ANSWERS**SELF ASSESSMENT EXERCISE 1**

Since $\begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix} \circ \begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{pmatrix}$ and

$$\begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix} \circ \begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \end{pmatrix}$$

These two permutations don't commute.

$\therefore S_3$ is non-abelian.

In Unit 6 (after Example 4) we showed how $S_3 \leq S_n \forall n \geq 3$.

$\therefore S_n$ will be non-abelian $\forall n \geq 3$.

SELF ASSESSMENT EXERCISE 2

There can be several answers.

Our answer is (1 2), (2 4), (1 3 5), (1 2 3), (2 5 1 4 3).

SELF ASSESSMENT EXERCISE 3

- a) (1 5 3 2 4)
- b) (1 8 5) (2 4) (3 7 6)
- c) (1 4) (2 5)

SELF ASSESSMENT EXERCISE 4

No Because

$$(1\ 3)(1\ 5\ 4) = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 5 & 2 & 1 & 3 & 4 \end{pmatrix} = (1\ 5\ 4\ 3), \text{ and}$$

$$(1\ 5\ 4)(1\ 3) = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 3 & 2 & 5 & 1 & 4 \end{pmatrix} = (1\ 3\ 5\ 4).$$

SELF ASSESSMENT EXERCISE 5

You know that all the elements of S_1, S_2 and S_3 are cycles. So, if $n < 4$, every permutation is a cycle in S_n .
 Conversely we will show that if $n \geq 4$, then there is a permutation in S_n which is not a cycle. Take the element $(1\ 2)(3\ 4)$. This is an element of $S_n \forall n \geq 4$, but it is not a cycle.

SELF ASSESSMENT EXERCISE 6

Since $(i_1\ i_2\ \dots\ i_r)(i_r\ i_{r-1}\ \dots\ i_2\ i_1) = I = (i_r\ i_{r-1}\ \dots\ i_2\ i_1)(i_1\ i_2\ \dots\ i_r)$
 $(i_1\ i_2\ \dots\ i_r)^{-1} = (i_r\ i_{r-1}\ \dots\ i_2\ i_1).$

SELF ASSESSMENT EXERCISE 7

Let $f = (i_1\ i_2\ \dots\ i_r).$
 Then $f(i_1) = i_2, f(i_2) = i_3, \dots, f(i_{r-1}) = i_r, f(i_r) = i_1.$
 $\therefore f^2(i_1) = f(i_2), f^3(i_1) = f(i_3) = i_4, \dots, f^r(i_1) = f(i_r) = i_1.$
 Similarly, $f^k(i_k) = i_k \forall k = 2, \dots, r.$
 $\therefore f^r = 1.$
 Also $\forall s < r, f^s(i_1) = i_{s+1} \neq i_1 \therefore f^s \neq 1.$
 $\therefore o(f) = r.$

ACTIVITY 4

- a) (1 5) (1 3)
- b) (5 1) (5 3)
- c) (2 3) (2 5) (2 4)

SELF ASSESSMENT EXERCISE 8

$$(1 \) (1 \ 8) (2 \ 4) (3 \ 6) (3 \ 7)$$

SELF ASSESSMENT EXERCISE 9

For y three symbols i, j and k,

$$(i \ j) (j \ k) = (i \ j \ k).$$

Then, if m is yet another symbol.

$$(i \ j \ k) (k \ m) = (i \ j \ k \ m), \text{ and so on.}$$

$$\therefore (1 \ 2) (2 \ 3) \dots (9 \ 10)$$

$$= (1 \ 2 \ 3 \) (3 \ 4) \dots (9 \ 10)$$

$$= (1 \ 2 \ 3 \ 4 \) \dots (9 \ 10)$$

$$= (1 \ 2 \ 3 \ \dots 10)$$

SELF ASSESSMENT EXERCISE 10

$$\text{Sing } 1 = \prod_{\substack{i,j=i \\ i < j}}^n \frac{I(j) - I(i)}{j - I} = \prod_{\substack{i,j=i \\ i < j}}^n \frac{j - i}{j - i} = 1.$$

SELF ASSESSMENT EXERCISE 11

The permutation in E 8 (c) and E 9 are odd.

SELF ASSESSMENT EXERCISE 12

$$\text{Sign } (f) = \text{sign } (g) = - 1$$

$$\therefore \text{sign } (f \circ g) = (- 1) (- 1) = 1$$

$\therefore f \circ g$ is even.

SELF ASSESSMENT EXERCISE 13

$$\text{Sign } 1 = 1. \therefore 1 \text{ is even.}$$

SELF ASSESSMENT EXERCISE 14

We know that $o(A_4) = \frac{4!}{2} = 12$. Now $I \in A_4$. Then, all the 3-cycles are in A_4

The are $(1 \ 2 \ 3), (1 \ 3 \ 2), (1 \ 2 \ 4), (1 \ 4 \ 2), (1 \ 3 \ 4), (1 \ 4 \ 3), (2 \ 3 \ 4), (2 \ 4 \ 3)$.

Then we have all the possible disjoint products of two transpositions. They are $(1 \ 2) (3 \ 4) (1 \ 3) (4 \ 2), (1 \ 4) (2 \ 3)$

So we have obtained all the 12 elements of A_4

SELF ASSESSMENT EXERCISE 15

By actual multiplication you can see that V_4 is closed with respect to \circ , and each element of V_4 is its own inverse.

$$\therefore V_4 \leq A_4$$

again, by actual multiplication, you can see that

$$f^{-1}fg \in V_4 \text{ and } g \in V_4.$$

$$\therefore V_4 \trianglelefteq A_4.$$

SELF ASSESSMENT EXERCISE 16

$$F_e(x) = ex = x \forall x \in K_4. \therefore f_e = I$$

$$\text{Now, } f_a(e) = a, f_a(a) = e, f_a(b) = c, f_a(c) = b.$$

$$\therefore f_a = (e \ a \ (b \ c)).$$

Similarly, $f_b = (e \ b) \ (a \ c)$ and $f_c = (e \ c) \ (a \ b)$.

ACTIVITY 5

We know that $Z_4 = \langle \bar{1} \rangle$ and $o(\bar{1}) = 4$. Therefore, the subgroup of S_4 isomorphic to Z_4 must be cyclic of order 4

It is generated by the permutation $f_{\bar{1}}$.

$$\text{Now } f_{\bar{1}}(x) = \bar{1} + x \forall x \in Z_4.$$

$$\therefore f_{\bar{1}} = (\bar{1} \ \bar{2} \ \bar{3} \ \bar{4}), \text{ which is the same as } (1 \ 2 \ 3 \ 4).$$

$$\therefore Z_4 \simeq \langle (1 \ 2 \ 3 \ 4) \rangle, \text{ which is certainly not isomorphic to } A_4$$

6.0 REFERENCES

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UNIT 4 FINITE GROUPS

CONTENTS

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1.0 INTRODUCTION

By now you are familiar with various finite and infinite groups and their subgroups. In this unit we will pay special attention to certain finite groups and discuss their structures. For example, you will see that any group of order 6 is cyclic or is isomorphic to S_3 .

To be able to describe the structure of a finite group we need some knowledge of a direct product of groups. In Sec.3.0 we will discuss external and internal direct product.

In Sec. 4.0 we discuss the uses of certain results obtained by the famous mathematician Sylow (1832-1918). These theorems, as well as a theorem by Cayley, allow us to determine various subgroups of some finite groups.

Finally, in Sec. 5.0, we use the knowledge gained in Sec. 3.0 and Sec.4.0 to describe the structures of several finite groups. In particular, we discuss groups of order less than or equal to 10.

With this unit we wind up our discussion of group theory. In the next block you will start studying ring theory. Of course, you will keep using what you have learnt in the first two blocks, because every ring is a group also, as you will see.

2.0 OBJECTIVES

After reading this unit, you should be able to:

- construct the direct product of a finite number of groups;
- check if a group is a direct product of its subgroup;

- use Sylow's theorem to obtain the possible subgroups and structures of finite groups; and
- classify groups of order p , p^2 or pq where p and q are primes such that $p > q$ and $q \nmid p - 1$.

3.0 MAIN CONTENT

3.1 Direct Product of Groups

In this section we will discuss a very important method of constructing new groups by using given groups as building blocks. We will first see how two groups can be combined to form a third group. Then we will see how two subgroups of a group can be combined to form another subgroup.

3.2 External Direct Product

In this sub-section we will construct a new group from two or more groups that we already have.

Let $(G_1, *_1)$ and $(G_2, *_2)$ be two groups. Consider Cartesian product of their underlying sets (see Sec. 1.3)

$$G = G_1 \times G_2 = \{(x, y) \mid x \in G_1, y \in G_2\}.$$

Can we define a binary operation on G by using the operations on G_1 and G_2 ? Let us try the obvious method, namely, componentwise multiplication. That is, we define the operation $*$ on G by $(a, b) * (c, d) = (a *_1 c, b *_2 d) \forall a, c \in G_1, b, d \in G_2$.

The way we have defined $*$ ensures that it is a binary operation.

To check that $(G, *)$ is a group, you need to solve the following exercise.

SELF ASSESSMENT EXERCISE 1

Show that the binary operation $*$ on G is associative, that there is identity element and that each element (x, y) in G has an inverse in G .

If you have proved that $G = G_1 \times G_2$ is a group with respect to $*$. We call G the external direct product of $(G_1, *_1)$ and $(G_2, *_2)$.

For Example \mathbb{R}^2 is the external direct product of \mathbb{R} with itself.

Another example is the direct product $(\mathbb{Z}, +) \times (\mathbb{R}^*, \cdot)$ in which the operation is given by $(m, x) * (n, y) = (m+n, xy)$.

We can also define the external direct product of 3, 4 or more groups on the same line.

Definition: Let $(G_1, *_1), (G_2, *_2), \dots, (G_n, *_n)$ be n groups. Their external direct product is the group $(G, *)$, where $G = G_1 \times G_2 \times \dots \times G_n$ and

$$(x_1, x_2, \dots, x_n) * (y_1, y_2, \dots, y_n) = (x_1 *_1 y_1, x_2 *_2 y_2, \dots, x_n *_n y_n)$$

$$\forall x_i, y_i \in G_i.$$

Thus, R^n is the external direct product of n copies of R .

We would like to make a remark about notation now.

Remark 1: Henceforth, we will assume that all the operations $*$, $*_1, \dots, *_n$ are multiplication, unless mentioned otherwise. Thus, the operation on $G = G_1 \times G_2 \times \dots \times G_n$ will be given by $(a_1, \dots, a_n) (b_1, \dots, b_n) = (a_1 b_1, a_2 b_2, \dots, a_n b_n) \forall a_i, b_i \in G_i$.

Now try the following exercise.

SELF ASSESSMENT EXERCISE 2

Show that $G_1 \times G_2 = G_2 \times G_1$, for any two groups G_1 and G_2 .

Because of E 2 we can speak of the direct product of 2 (or n) groups without bothering about their order.

Now, let G be the external direct product $G_1 \times G_2$. Consider the projection map $\pi_1: G_1 \times G_2 \rightarrow G_1$ define by $\pi_1(x, y) = x$. Then π_1 is a group homomorphism, since

$$\begin{aligned} \pi_1((a, b) (c, d)) &= \pi_1(ac, bd) \\ &= ac \\ &= \pi_1(a, b) \pi_1(c, d). \end{aligned}$$

π_1 is also onto, because any $x \in G_1$ is $\pi_1(x, e_2)$

Now, let us look at $\text{Ker } \pi_1$.

$$\begin{aligned} \text{Ker } \pi_1 &= \{(x, y) \in G_1 \times G_2 \mid \pi_1(x, y) = e_1\} \\ &= \{(e_1, y) \mid y \in G_2\} = \{e_1\} \times G_2. \end{aligned}$$

Therefore, $\{e_1\} \times G_2 \trianglelefteq G_1 \times G_2$.

Also, by the Fundamental theorem of Homomorphism $(G_1 \times G_2) / (\{e_1\} \times G_2) \simeq G_1$.

We can similarly prove that $G_1 \times \{e_2\} \trianglelefteq G_1 \times G_2$ and $(G_1 \times G_2) / (G_1 \times \{e_2\}) \simeq G_2$.

In the following exercise we give you general facts about external direct products of groups.

SELF ASSESSMENT EXERCISE 3

Show that $G_1 \times G_2$ is the product of its normal subgroups $H = G_1 \times \{e_2\}$ and $K = \{e_1\} \times G_2$.

Also show that $(G_1 \times \{e_2\}) \cap (\{e_1\} \times G_2) = \{(e_1, e_2)\}$.

SELF ASSESSMENT EXERCISE 4

Prove that $Z(G_1 \times G_2) = Z(G_1) \times Z(G_2)$, where (G) denote Im Theorem 2 of unit 3).

ACTIVITY 6

Let A and B be cyclic groups of order m and n , respectively, Then prove that $A \times B$ is cyclic of order mn .

Hint: Define $f : \mathbb{Z} \rightarrow \mathbb{Z}_m \times \mathbb{Z}_n$ by $f(r) = (r + m\mathbb{Z}, r + n\mathbb{Z})$. Then apply Fundamental Theorem of Homomorphism to show that $\mathbb{Z}_m \times \mathbb{Z}_n \simeq \mathbb{Z}_{mn}$.

So far we have seen the construction of $G_1 \times G_2$ from two groups G_1 and G_2 . Now we will see under what conditions we can express a group as a direct product of its subgroups.

3.3 Internal Direct Product

Let us begin by recalling from Unit 5 if H and K are normal subgroups of a group G , then HK is a normal subgroup of G . we are interested in the case when HK is the whole of G . We have the following definition.

Definition: Let H and K be normal subgroups of a group G , We call G the internal direct product of H and K if

$G = HK$ and $H \cap K = \{e\}$.

We write this fact as $G = H \times K$.

For example, let us consider the familiar Klein 4-group

$K_4 = \{e, a, b, ab\}$, where $a^2 = e$, $b^2 = e$ and $ab = ba$.

Let $H = \langle a \rangle$ and $K = \langle b \rangle$. Then $H \cap K = \{e\}$. Also, $K_4 = HK$

$\therefore K_4 = H \times K$.

Note that $H \simeq \mathbb{Z}_2$ and $K \simeq \mathbb{Z}_2 \therefore K_4 \simeq \mathbb{Z}_2 \times \mathbb{Z}_2$.

For another example, consider \mathbb{Z}_{10} . It is the internal direct product of its subgroups $H = \{0, 5\}$ and $K = \{0, 2, 4, 6, 8\}$. This is because

- i) $\mathbb{Z}_{10} = H + K$, since any element of \mathbb{Z}_{10} is the sum of an element of H and an element of K , and

$$\text{ii) } H \cap K = \{0\}.$$

Now, can an external direct product also be an internal direct product? Well, go back to E 3. What does it say? It says that the external direct product of $G_1 \times G_2$ is the internal direct product

$$(G_1 \times \{e_2\}) \times (\{e_1\} \times G_2).$$

We would like to make a remark here.

Remark 2: Let H and K be normal subgroups of a group G . Then the internal direct product of H and K is isomorphic to the external direct product of H and K . Therefore when we talk of an internal direct product of subgroups we can drop the word internal, and just say ‘direct product of subgroups’.

Let us now extend the definition of the internal direct product of two subgroups to that of several subgroups.

Definition: A group G is the internal direct product of its normal subgroups.

H_1, H_2, \dots, H_n if

$$\text{i) } G = H_1 H_2 \dots H_n, \text{ and}$$

$$\text{ii) } H_i \cap H_1 \cap \dots \cap H_{i-1} \cap H_{i+1} \cap \dots \cap H_n = \{e\} \quad \forall i = 1, \dots, n$$

For example, look at the group G generated by $\{a, b, c\}$, where $a^2 = e = b^2 = c^2$ and $ab = ba, ac = ca, bc = cb$. This is the internal direct product of $\langle a \rangle, \langle b \rangle$ and $\langle c \rangle$

That is $G \simeq Z_2 \times Z_2 \times Z_2$.

Now, can every group be written as an internal direct product of two or more of its proper normal subgroups? Consider Z . Suppose $Z = H \times K$, where H, K are subgroups of Z . From Example 4 of Unit 3 you know that $H = \langle m \rangle$ and $K = \langle n \rangle$ for some $m, n \in Z$. Then $mn \in H \cap K$. But if $H \times K$ is a direct product, $H \cap K = \{0\}$. So, we reach a contradiction. Therefore, Z can't be written as an internal direct product of two subgroups.

By the same reasoning we can say that Z can't be expressed as $H_1 \times H_2 \times \dots \times H_n$, where $H_i \leq Z \quad \forall i = 1, 2, \dots, n$.

When a group is an internal direct product of its subgroups, it satisfies the following theorem.

Theorem 1: Let a group G be the internal direct product of its normal subgroups H and K . Then

- each $x \in G$ can be uniquely expressed as $x = hk$, where $h \in H, k \in K$; and
- $hk = kh \quad \forall h \in H, k \in K$.

Proof: a) We know that $G = HK$. Therefore, if $x \in G$, then $x = hk$, for some $h \in H$, $k \in K$. Now suppose that $x = h_1k_1$ also, where $h_1 \in H$ and $k_1 \in K$. Then $hk = h_1k_1$. Therefore $h_1^{-1}h = k_1k^{-1}$. Now $h_1^{-1}h \in H$. Also, since $h_1^{-1}h = k_1k^{-1} \in K$, $h_1^{-1}h \in K$. $\therefore h_1^{-1}h \in H \cap K = \{e\}$. Therefore

$h_1^{-1}h = e$, which implies that $h = h_1$.

Similarly, $k_1k^{-1} = e$, so that $k_1 = k$.

Thus, the representation of x as product of an element of H and an element of K is unique.

b) The best way to show that two elements x and y commute is to show that their commutator $z^{-1}y^{-1}xy$ is identity. So, let $h \in H$ and $k \in K$ and consider $h^{-1}k^{-1}hk$. Since

$K \trianglelefteq G$, $h^{-1}k^{-1}h \in K$.

$\therefore h^{-1}k^{-1}hk \in K$.

by similar reasoning, $h^{-1}k^{-1}hk \in H$. $\therefore h^{-1}k^{-1}hk \in H \cap K = \{e\}$.

$\therefore h^{-1}k^{-1}hk = e$, that is $hk = kh$.

Try the following exercise now.

ACTIVITY 7

Let H and K be normal subgroups of G which satisfy (a) of Theorem 1. Then show that $G = H \times K$.

Now let us look at the relationship between internal direct products and quotient groups.

Theorem 2: Let H and K be normal subgroups of a group G such that $G = H \times K$. Then $G/H \simeq K$ and $G/K \simeq H$.

Proof: We will use Theorem 8 of Unit 6 to prove this result. Now $G = HK$ and $H \cap K = \{e\}$. Therefore,

$G/H = HK/H \simeq K/H \cap K = K/\{e\} \simeq K$.

We can similarly prove that $G/K \simeq H$.

We now give a result which immediately follows from Theorem 2 and which will be used in Sec. 8.4.

Theorem 3: Let G be a finite group and H and K be its subgroups such that $G = H \times K$. Then $o(G) = o(H) o(K)$.

We leave the proof to you (see the following exercise).

SELF ASSESSMENT EXERCISE 5

Use Theorem 2 to prove Theorem 3.

And now let us discuss some basic results about the structure of any finite group.

3.4 Sylow's Theorems

In Unit 4 we proved Lagrange's theorem, which says that the order of a subgroup of a finite group divides the order of the group. We also said that if G is a **finite cyclic group** and $m \mid o(G)$, then G has a subgroup of order m . But if G is not cyclic, this statement need not be true, as you have seen in the previous unit. In this context, in 1845 the mathematician Cauchy proved the following useful result.

Theorem 4: If a prime p divides the order of a finite group G , then G contains an element of order p .

The proof of this result involves a knowledge of group theory that is beyond the scope of this course. Therefore, we omit it. An immediate consequence of this result is the following

Theorem 5: If a prime p divides the order of a finite group G , then G contains a subgroup of order p .

Proof: Just take the cyclic subgroup generated by an element of order p . This element exists because of Theorem 4.

So, by theorem 5 we know that any group of order 30 will have a subgroup of order 2, a subgroup of order 3 and a subgroup of order 5. In 1872 Ludwig Sylow, a Norwegian mathematician, proved a remarkable extension of Cauchy's result. This result, called the first Sylow theorem, has turned out to be the basis of finite group theory. Using this result we can say, for example, that any group of order 100 has subgroups of order 2, 4, 5 and 25 respectively. Let us see what this powerful theorem is.

Theorem 6: (First Sylow Theorem): Let G be a finite group such that $o(G) = p^n m$, where p is a prime, $n \geq 1$ and $(p, m) = 1$. Then G contains a subgroup of order $p^k \forall k = 1, \dots, n$.

We shall not prove this result or the next two Sylow theorems either. But, after stating all these results we shall show how useful they are.

The next theorem involves the concepts of conjugacy and Sylow p -subgroups which we now define.

Definition: Two subgroups H and K of a group G are conjugate in G if $\exists g \in G$ such that $K = g^{-1}Hg$, and then K is called a conjugate of H in G .

Can you do the following exercise now?

SELF ASSESSMENT EXERCISE 6

Show that $H \trianglelefteq G$ iff the only conjugate of H in G is H itself.

Now we define Sylow p -subgroups.

Definition: Let G be a finite group and p be a prime such that $p^n \mid o(G)$ but p^{n+1} does not divide $o(G)$, some $n \geq 1$. Then a subgroup of G of order p^n is called a Sylow p -subgroup of G .

So, if $o(G) = p^n m$, $(p, m) = 1$, then a subgroup of G of order p^n is a Sylow p -subgroup. Theorem 6 says that this subgroup always exists. But, a group may have more than one Sylow p -subgroup. The next result tells us how two Sylow p -subgroups of a group are related.

Theorem 7: (Second Sylow Theorem) Let G be a group such that $o(G) = p^n m$, $(p, m) = 1$, p a prime. Then any two Sylow p -subgroups of G are conjugate in G .

And now let us see how many Sylow p -subgroups a group can have.

Theorem 8: (Third Sylow Theorem) Let G be a group of order $p^n m$, where $(p, m) = 1$ and p is a prime. Then n_p the number of distinct Sylow p -subgroups to G , is given by $n_p = 1 + kp$ for some $k \geq 0$. And further, $n_p \mid o(G)$.

We would like to make a remark about the actual use of Theorem 8.

Remark 3: Theorem 8 says that $n_p \equiv 1 \pmod{p}$ (see Sec. 2.5.1). $\therefore (n_p - 1) = kp$. Also, since $n_p \mid o(G)$, using theorem 9 of Unit 1 we find that $n_p \mid m$. These facts help us to cut down the possibilities for n_p , as you will see in the following examples.

Example 1: show that any group of order 15 is cyclic.

Solution: Let G be group of order $15 = 3 \times 5$. Theorem 6 says that G has a Sylow 3-subgroup. Theorem 8 says that the number of such subgroups must divide 5 and must be congruent to 1 (mod 3). Thus, the only possibility is 1. Therefore, G has a unique Sylow 3-subgroup, say H . Hence, by Theorem 7 and E 8 we know that $H \trianglelefteq G$ since H is of prime order, it is cyclic.

Similarly, we know that G has a subgroup of order 5. the total number of such subgroups is 1, 6 or 11 and must divide 3. Thus, the only possibility is 1. So G has a unique subgroup of order 5, say K . Then $K \trianglelefteq G$ and K is cyclic.

Now, let us look at $H \cap K$. let $x \in H \cap K$. Then $x \in H$ and $x \in K$.

$\therefore o(x) \mid o(H)$ and $o(x) \mid o(K)$ (by E 8 of Unit 4), i.e., $o(x) \mid 3$ and $o(x) \mid 5$.

$\therefore o(x) = 1$. $\therefore x = e$. That is, $H \cap K = \{e\}$. Also,

$$o(HK) = \frac{o(H)o(K)}{o(H \cap K)} = 15 = o(G).$$

$\therefore G = HK$.

So, $G = H \times K \simeq Z_3 \times Z_5 \simeq Z_{15}$, by E 5.

Example 2: Show that a group G of order 30 either has a normal subgroup of order 5 or a normal subgroup of order 3, i.e. G is not simple. A group G is called simple if its only normal subgroups are $\{e\}$ and G itself.

Solution: Since $30 = 2 \times 3 \times 5$, G has a Sylow 2-subgroup, a Sylow 3-subgroup and a Sylow 5-subgroup. The number of Sylow 5-subgroups is of the form $1 + 5k$ and divides 6 (by Remark 3). Therefore, it can be 1 or 6. if it is 1, then the Sylow 5-subgroup is normal in G .

On the other hand, suppose the number of Sylow 5-subgroup is 6. Each of these subgroups are distinct cyclic groups of order 5, the only 5, the only common element being e . Thus, together they contain $24 + 1 = 25$ elements of the group. So, we are left with 5 elements of the group, which are of order 2 or 3. Now, the number of Sylow 3-subgroups can be 1 or 10. We can't have 10 Sylow 3-subgroups, because we only have at most 5 elements of the group, which are of order 3. so, if the group has 6 Sylow 5-subgroups, then it has only 1 Sylow 3-subgroup.

This will be normal in G .

Try the following exercise now.

SELF ASSESSMENT EXERCISE 7

Show that every group of order 20 has a proper normal non-trivial subgroup.

SELF ASSESSMENT EXERCISE 8

Determine all the Sylow p -subgroups of Z_{24} , where p varies over all the primes dividing 24.

SELF ASSESSMENT EXERCISE 9

Show that a group G of order 255 ($= 3 \times 5 \times 17$) has either 1 or 51 Sylow 5-subgroups. How many Sylow 3-subgroups can it have?

Now let us use the powerful Sylow theorem to classify groups of order 1 to 10. In the process we will show you the algebraic structure of several types of finite groups.

3.5 Groups of Order 1 To 10

In this section we will apply the result of the previous section to study some finite groups. In particular, we will list all the groups of order 1 to 10, upto isomorphism.

We start with proving a very useful result.

Theorem 9: Let G be a group such that $o(G) = pq$, where p, q are primes such that $p > q$ and q does not divide $p - 1$. Then G is cyclic.

Proof: Let P be a Sylow p -subgroup and Q be a Sylow q -subgroup of G . Then $o(P) = p$ and $o(Q) = q$. Now, any group of prime order is cyclic, so $P = \langle x \rangle$ and $Q = \langle y \rangle$ for some $x, y \in G$. By the third Sylow theorem, the number n_p of subgroups of order p can be $1, 1 + p, 1 + 2p, \dots$, and it must divide q . But $p > q$, therefore, the only possibility for n_p is 1. Thus, there exists only one Sylow p -subgroup, i.e., P . Further, by Sylow's second theorem $P \trianglelefteq G$.

Again, the number of distinct Sylow q -subgroups of G is $n_q = 1 + kq$ for some k , and $n_q \mid p$. Since p is a prime, its only factors are 1 and p . $\therefore n_q = 1$ or $n_q = p$. Now if $1 + kq = p$, then $q \mid p - 1$. But we started by assuming that $q \nmid p - 1$. So we reach a contradiction. Thus, $n_q = 1$ is the only possibility. Thus, the Sylow q -subgroup Q is normal in G .

1. Let $o(G) = p^2$, p a prime. Then
 - i) G is abelian.
 - ii) G is cyclic or $G \simeq \mathbb{Z}_p \times \mathbb{Z}_p$.
2. The classification of groups of order 1 to 10, which we give in the following table.

$o(G)$	Algebraic Structure
1	$\{e\}$
2	\mathbb{Z}_2
3	\mathbb{Z}_3
4	\mathbb{Z}_4 or $\mathbb{Z}_2 \times \mathbb{Z}_2$
5	\mathbb{Z}_5
6	\mathbb{Z}_6 or \mathbb{Z}_3
7	\mathbb{Z}_7
8	\mathbb{Z}_8 or $\mathbb{Z}_4 \times \mathbb{Z}_2$ or $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$ (if G is abelian) Q_8 or D_8 (if G is non-abelian)
9	\mathbb{Z}_9 or $\mathbb{Z}_3 \times \mathbb{Z}_3$
10	\mathbb{Z}_{10} or D_{10}

4.0 SOLUTIONS/ANSWERS

SELF ASSESSMENT EXERCISE 1

* is associative: Let $(a_1, b_1), (a_2, b_2), (a_3, b_3) \in G$.

Use the fact that $*$ and $*$ are associative to prove that

$$((a_1, b_1) * (a_2, b_2)) * (a_3, b_3) = (a_1, b_1) * ((a_2, b_2) * (a_3, b_3)).$$

The identity element of G is (e_1, e_2) , where e_1 and e_2 are the identities of G_1 and G_2 respectively.

The inverse of $(x, y) \in G$ is x^{-1}, y^{-1} .

SELF ASSESSMENT EXERCISE 2

Define $f: G_1 \times G_2 \rightarrow G_2 : f(a, b) = (b, a)$.

Then f is 1-1, surjective and a homomorphism. That is, f is an isomorphism

$$\therefore G_1 \times G_2 \simeq G_2 \times G_1$$

SELF ASSESSMENT EXERCISE 3

We need to show that any element of $G_1 \times G_2$ is of the form hk , where $h \in H$ and $k \in K$

Now, any element of $G_1 \times G_2$ is $(x, y) = (x, e_2) (e_1, y)$ and $(x, e_2) \in H, (e_1, y) \in K$.

$$\therefore G_1 \times G_2 = HK$$

Now, let us look at $H \cap K$. Let $(x, y) \in H \cap K$.

Since $(x, y) \in H, y = e_2$. Since $(x, y) \in K, x = e_1$.

$$\therefore (x, y) = (e_1, e_2). \therefore H \cap K = \{(e_1, e_2)\}.$$

SELF ASSESSMENT EXERCISE 4

Now, $(x, y) \in Z(G_1 \times G_2)$.

$$\Rightarrow (x, y) (a, b) = (a, b) (x, y) \quad \forall (a, b) \in G_1 \times G_2$$

$$\Rightarrow (xa, yb) = (ax, by) \quad \forall a \in G_1, b \in G_2$$

$$\Rightarrow xa = ax \quad \forall a \in G_1 \text{ and } yb = by \quad \forall b \in G_2$$

$$\Rightarrow x \in Z(G_1) \text{ and } y \in Z(G_2)$$

$$\Rightarrow (x, y) \in Z(G_1) \times Z(G_2)$$

$$\therefore Z(G_1 \times G_2) = Z(G_1) \times Z(G_2).$$

ACTIVITY 6

Let $A = \langle x \rangle$ and $B = \langle y \rangle$, where $o(x) = m, o(y) = n$.

Then $A \simeq Z_m$ and $B \simeq Z_n$.

If we prove that $Z_m \times Z_n \simeq Z_{mn}$. Then we will have proved that $A \times B \simeq Z_{mn}$. that is, $A \times B$ is cyclic of order mn .

So, let us prove that if $(m, n) = 1$, then $Z_{mn} \simeq Z_m \times Z_n$

Define $f: \mathbb{Z} \rightarrow \mathbb{Z}_m \times \mathbb{Z}_n: f(r) = (r + m\mathbb{Z}, r + n\mathbb{Z})$.

(Remember that $\mathbb{Z}_s = \mathbb{Z}/s\mathbb{Z}$, for any $s \in \mathbb{N}$.)

Now, f is a homomorphism because

$$\begin{aligned} f(r + s) &= ((r + s) + m\mathbb{Z}, (r + s) + n\mathbb{Z}) \\ &= (r + m\mathbb{Z}, r + n\mathbb{Z}) + (s + m\mathbb{Z}, s + n\mathbb{Z}). \\ &= f(r) + f(s). \end{aligned}$$

$$\begin{aligned} \text{Ker } f &= \{r \in \mathbb{Z} \mid r \in m\mathbb{Z} \cap n\mathbb{Z}\} \\ &= \{r \in \mathbb{Z} \mid r \in mn\mathbb{Z}\} \\ &= mn\mathbb{Z}. \end{aligned}$$

Finally, we will show that f is surjective. Now, take any element $(u + m\mathbb{Z}, v + n\mathbb{Z}) \in \mathbb{Z}_m \times \mathbb{Z}_n$. Since $(m, n) = 1, \exists s, t \in \mathbb{Z}$ such that $ms + nt = 1$ (see Sec. 1.6). using this equation we see that $f(u(1 - ms) + v(1 - nt)) = (u + m\mathbb{Z}, v + n\mathbb{Z})$.

Thus, f is surjective.

Now, we apply the Fundamental Theorem of Homomorphism and find that

$$\mathbb{Z}/\text{Ker } f \simeq \text{Im } f, \text{ that is, } \mathbb{Z}/mn\mathbb{Z} \simeq \mathbb{Z}_m \times \mathbb{Z}_n, \text{ that is, } \mathbb{Z}_{mn} \simeq \mathbb{Z}_m \times \mathbb{Z}_n.$$

$\therefore \mathbb{Z}_m \times \mathbb{Z}_n$ is cyclic of order mn .

ACTIVITY 7

We know that each $x \in G$ can be expressed as hk , where $h \in H$ and $k \in K$.

$$\therefore G = HK.$$

We need to show that $H \cap K = \{e\}$. let $x \in H \cap K$.

Then $x \in H$ and $x \in K$. $\therefore xe \in HK$ and $ex \in HK$.

So, x has two representations, xe , as a product of an element of H and an element of K . but we have assumed that each element must have only one such representation. So the two representations xe and ex must coincide, that is,

$$xe = ex. \therefore H \cap K = \{e\}.$$

$$\therefore G = H \times K.$$

SELF ASSESSMENT EXERCISE 5

$$\begin{aligned} G = H \times K &\Rightarrow G/H \simeq K \Rightarrow o(G/H) = o(K) \Rightarrow o(G)/o(H) = o(K). \\ &\Rightarrow o(G) = o(H) o(K). \end{aligned}$$

SELF ASSESSMENT EXERCISE 6

$$H \trianglelefteq G \Leftrightarrow g^{-1}Hg = H \quad \forall g \in G \Leftrightarrow \text{the only conjugate of } H \text{ in } G \text{ is } H.$$

SELF ASSESSMENT EXERCISE 7

Let G be a group of order 20. Since $20 = 2^2 \times 5$, G has a Sylow 5

subgroup. The number of such subgroups is congruent to 1 (mod 5) and divides 4. Thus, the number is 1. Therefore, the Sylow 5-subgroup of G is normal in G , and is the required subgroup.

SELF ASSESSMENT EXERCISE 8

$$o(Z_{24}) = 24 = 2^3 \times 3.$$

$\therefore Z_{24}$ has a Sylow 2-subgroup and a Sylow 3-subgroup. The number of Sylow 2-subgroups is 1 or 3 and the number of Sylow 3-subgroups is 1 or 4. Now, if Z_{24} has only 1 Sylow 2-subgroup, this account for 8 elements of the group. So, we are left with 16 elements of order 3. But this is not possible because we can only have at most 4 distinct Sylow 3-subgroups (i.e., 8 elements of order 3). So, we reach a contradiction.

$\therefore Z_{24}$ must have 3 Sylow 2-subgroups. And then it will have only 1 Sylow 3-subgroup. These are all the Sylow p -subgroups of Z_{24} .

SELF ASSESSMENT EXERCISE 9

$$255 = 5 \times 5 \times 17 = 5 \times 51.$$

The number of Sylow 5-subgroups is congruent to 1(mod 5) and must divide 51.

Thus, it is 1 or 51.

Since $255 = 3 \times 85$, the number of Sylow 3-subgroups that G can have is congruent to 1 (mod 3) and must divide 85. Thus, it is 1 or 85.

5.0 REFERENCES

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MODULE 2 ELEMENTARY RING THEORY

Unit 1	Rings
Unit 2	Subrings and Ideals
Unit 3	Ring Homomorphisms

UNIT 1 RINGS**CONTENTS**

1.0	Introduction
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1.0 INTRODUCTION

With this unit we start the study of algebraic systems with two binary operations satisfying certain properties. \mathbb{Z} , \mathbb{Q} and \mathbb{R} are examples of such a system, which we shall call a ring.

Now, you know that both addition and multiplication are binary operations on \mathbb{Z} . Further, \mathbb{Z} is an abelian group under addition. Though it is not a group under multiplication, multiplication is associative. Also, addition and multiplication are related by the distributive laws.

$$a(b+c) = ab + ac, \text{ and } (a + b) c = ac + bc,$$

for all integers a , b and c . We generalize these very properties of the binary operations to define a ring in general. This definition is due to the famous algebraist Emmy Noether.

After defining rings we shall give several examples of rings. We shall also give some properties of rings that follow from the definition itself. Finally, we shall discuss certain types of rings that are obtained when we impose more restrictions on the “multiplication” in the ring.

As the contents suggest, this unit lays the foundation for the rest of this course. So make sure that you have attained the following objectives before going to the next unit.

2.0 OBJECTIVES

After reading this unit, you should be able to:

- define and give examples of rings;
- derive some elementary properties of rings from the defining axioms of a ring; and
- define and give examples of commutative rings, rings with identity and commutative ring with identity.

3.0 MAIN CONTENT

3.1 What is a Ring?

You are familiar with \mathbb{Z} , the set of integers. You also know that it is a group with respect to addition. Is it a group with respect to multiplication too? No. But multiplication is associative and distributes over addition. These properties of addition and multiplication of integers allow us to say that the system $(\mathbb{Z}, +, \cdot)$ is a ring. But, what do we mean by a ring?

Definition: A non-empty set R together with two binary operations, usually called addition (denoted by $+$) and multiplication (denoted by \cdot), is called a ring if the following axioms are satisfied:

- R 1) $a + b = b + a$ for all a, b in R , i.e., addition is commutative;
- R 2) $(a + b) + c = a + (b + c)$ for all a, b, c in R , i.e., addition is associative;
- R 3) There exists an element (denoted by 0) in R such that
 $A + 0 = a = 0 + a$ for all a in R , i.e., R has an additive identity;
- R 4) For each a in R , there exists x in R such that $a + x = 0 = x + a$, i.e., every element of R has an additive inverse;
- R5) $(a \cdot b) \cdot c = a \cdot (b \cdot c)$ for all a, b, c in R , i.e., multiplication is associative.
- R 6) $a \cdot (b + c) = a \cdot b + a \cdot c$, and
 $(a + b) \cdot c = a \cdot c + b \cdot c$
 for all a, b, c in R ,
 i.e., multiplication distributes over addition from the left as well as the right.

The axioms R1 - R4 say that $(R, +)$ is an abelian group. The axiom R5 says that multiplication is associative. Hence, we can say that the system $(R, +, \cdot)$ is a ring if

- i) $(R, +)$ is an abelian group,
- ii) (R, \cdot) is a semigroup, and
- iii) For all a, b, c in R , $a \cdot (b + c) = a \cdot b + a \cdot c$, and $(a + b) \cdot c = a \cdot c + b \cdot c$.

From Unit 2 you know that the additive identity 0 is unique, and each element a of R has a unique additive inverse (denoted by $-a$). We call the element 0 the zero element of the ring.

By convention, we write $a - b$ for $a + (-b)$.

Let us look at some examples of ring now. You have already seen that Z is a ring. What about the sets Q and R ? Do $(Q, +, \cdot)$ and $(R, +, \cdot)$ satisfy the axioms R1 - R6? They do.

Therefore, these systems are rings.

The following examples provide us with another set of examples of rings.

Example 1: Show that $(nZ, +, \cdot)$ is a ring, where $n \in Z$.

Solution: You know that $nZ = \{am \mid m \in Z\}$ is an abelian group with respect to addition. You also know that multiplication in nZ is associative and distributes over addition from the right as well as the left. Thus, nZ is a ring under the usual addition and multiplication.

So far the examples that we have considered have been **infinite rings**, that is, their underlying sets have been infinite sets. Now let us look at a finite ring, that is a ring $(R, +, \cdot)$ where R is a finite set. Our example is the set Z_n that you studied in unit 2 let us briefly recall the construction of Z_n , the set of residue classes modulo n .

If a and b are integers, we say that a is congruent to b modulo n if $a - b$ is divisible by n , in symbols, $a \equiv b \pmod{n}$ if $n \mid (a - b)$. The relation 'congruence modulo n ' is an equivalence relation in Z . The equivalence class containing the integer a is

$$\begin{aligned}\bar{a} &= \{b \in Z \mid a - b \text{ is divisible by } n\} \\ &= \{a + mn \mid m \in Z\}.\end{aligned}$$

It is called the **congruence class of a modulo n** or the **residue class of a modulo n** .

The set of all equivalence classes is denote by Z_n . So $Z_n = \{\bar{0}, \bar{1}, \bar{2}, \dots, \overline{n-1}\}$.

We define addition and multiplication of classes in terms of their representative by

$$\begin{aligned}\overline{a + b} &= \overline{a} + \overline{b} \text{ and} \\ \overline{a \cdot b} &= \overline{a} \cdot \overline{b} \quad \forall \overline{a}, \overline{b} \in Z_n.\end{aligned}$$

You have seen that these operations are well defined in Z_n to the help you regain some practice in adding and multiplying in Z_n , consider the following Cayley table for Z_5 .

+	$\bar{0}$	$\bar{1}$	$\bar{2}$	$\bar{3}$	$\bar{4}$
$\bar{0}$	$\bar{0}$	$\bar{1}$	$\bar{2}$	$\bar{3}$	$\bar{4}$
$\bar{1}$	$\bar{1}$	$\bar{2}$	$\bar{3}$	$\bar{4}$	$\bar{0}$
$\bar{2}$	$\bar{2}$	$\bar{3}$	$\bar{4}$	$\bar{0}$	$\bar{1}$
$\bar{3}$	$\bar{3}$	$\bar{4}$	$\bar{0}$	$\bar{1}$	$\bar{2}$
$\bar{4}$	$\bar{4}$	$\bar{0}$	$\bar{1}$	$\bar{2}$	$\bar{3}$

	$\bar{0}$	$\bar{1}$	$\bar{2}$	$\bar{3}$	$\bar{4}$
$\bar{0}$	$\bar{0}$	$\bar{0}$	$\bar{0}$	$\bar{0}$	$\bar{0}$
$\bar{1}$	$\bar{0}$	$\bar{1}$	$\bar{2}$	$\bar{3}$	$\bar{4}$
$\bar{2}$	$\bar{0}$	$\bar{2}$	$\bar{4}$	$\bar{1}$	$\bar{3}$
$\bar{3}$	$\bar{0}$	$\bar{3}$	$\bar{1}$	$\bar{4}$	$\bar{2}$
$\bar{4}$	$\bar{0}$	$\bar{4}$	$\bar{3}$	$\bar{2}$	$\bar{1}$

Now let us go back to looking for a finite ring.

Example 2: Show that $(\mathbb{Z}_n, +, \cdot)$ is a ring.

Solution: You already know that $(\mathbb{Z}_n, +)$ is an abelian group, and that multiplication is associative in \mathbb{Z}_n . Now we need to see if the axiom R6 is satisfied.

For any $\bar{a}, \bar{b}, \bar{c} \in \mathbb{Z}_n$, $\overline{a \cdot (\bar{b} + \bar{c})} = \overline{a \cdot (\bar{b} + \bar{c})} = \overline{a \cdot \bar{b} + a \cdot \bar{c}} = \overline{a \cdot \bar{b} + a \cdot \bar{c}} = \overline{a \cdot \bar{b}} + \overline{a \cdot \bar{c}}$
 Thus, $\overline{a \cdot (\bar{b} + \bar{c})} = \overline{a \cdot \bar{b}} + \overline{a \cdot \bar{c}}$.

Similarly, $\overline{(\bar{a} + \bar{b}) \cdot \bar{c}} = \overline{\bar{a} \cdot \bar{c} + \bar{b} \cdot \bar{c}} \quad \forall \bar{a}, \bar{b}, \bar{c} \in \mathbb{Z}_n$.
 So, $(\mathbb{Z}_n, +, \cdot)$ satisfies the axioms R1 – R6. therefore, it is a ring.

Try this exercise now.

SELF ASSESSMENT EXERCISE 1

Write out the Cayley tables for addition and multiplication in \mathbb{Z}_6^* , the set of non-zero elements of \mathbb{Z}_6 . Is $(\mathbb{Z}_6^*, +, \cdot)$ a ring? Why?

Now let us look at a ring whose underlying set is a subset of \mathbb{C} .

Example 3: Consider the set

$$\mathbb{Z} + i\mathbb{Z} = \{m + in \mid m \text{ and } n \text{ are integers}\}, \text{ where } i^2 = -1.$$

We define ‘+’ and ‘.’ in $\mathbb{Z} + i\mathbb{Z}$ to be the usual addition and multiplication of complex numbers. Thus, for $m + in$ and $s + it$ in $\mathbb{Z} + i\mathbb{Z}$,
 $(m + in) + (s + it) = (m + s) + i(n + t)$, and
 $(m + in) \cdot (s + it) = (ms - nt) + i(mt + ns)$.

Verify that $\mathbb{Z} + i\mathbb{Z}$ is a ring under this addition and multiplication. (This ring is called the ring of Gaussian integers, after the mathematician Carl Friedrich Gauss.)

Solution: Check that $(\mathbb{Z} + i\mathbb{Z}, +)$ is a subgroup of $(\mathbb{C}, +)$. Thus, the axioms R1 – R4 are satisfied. You can also check that
 $((a + ib) \cdot (c + id)) \cdot (m + in) = (a + ib) \cdot ((c + id) \cdot (m + in))$

$\forall a + ib, c + id, m + in \in \mathbb{Z} + i\mathbb{Z}$.

This shows that R5 is also satisfied.

Finally, you can check that the right distributive law holds, i.e.,

$((a + ib) + (c + id)) \cdot (m + in) = (a + ib) \cdot (m + in) + (c + id) \cdot (m + in)$ for any $a + ib, c + id, m + in \in \mathbb{Z} + i\mathbb{Z}$.

Similarly, you can check that the left distributive law holds. Thus, $(\mathbb{Z} + i\mathbb{Z}, +, \cdot)$ is a ring.

The next example is related to Example 8 of unit 2. The operations that we consider in it are not the usual addition and multiplication.

Example 4: Let X be a non-empty set, $\wp(X)$ be the collection of all subsets of X and Δ denote the symmetric difference operation. Show that $(\wp(X), \Delta, \cap)$ is a ring.

Solution: for any two subsets A and B of X ,

$$A \Delta B = (A \setminus B) \cup (B \setminus A)$$

In Example 8 of unit 2 we showed that $(\wp(X), \Delta)$ is an abelian group. You also know that \cap is associative. Now let us see if \cap distributes over Δ .

Let $A, B, C \in \wp(X)$. Then

$$\begin{aligned} A \cap (B \Delta C) &= A \cap [(B \setminus C) \cup (C \setminus B)] \\ &= [A \cap (B \setminus C)] \cup [A \cap (C \setminus B)], \text{ since } \cap \text{ distributes over } \cup. \\ &= [(A \cap B) \setminus (A \cap C)] \cup [(A \cap C) \setminus (A \cap B)], \text{ since } \cap \text{ distributes over} \\ &\quad \text{complementation.} \\ &= (A \cap B) \Delta (A \cap C). \end{aligned}$$

So the left distributive law holds.

So, $(B \Delta C) \cap A = A \cap (B \Delta C)$, since \cap is commutative.

$$\begin{aligned} &= (A \cap B) \Delta (A \cap C) \\ &= (B \cap A) \Delta (C \cap A) \end{aligned}$$

Therefore, the right distributive law holds also.

Therefore, $(\wp(X), \Delta, \cap)$ is a ring.

So far you have seen examples of rings in which both the operations defined on the ring have been commutative. This is not so in the next example.

Example 5: Consider the set

$$M_2(\mathbf{R}) = \left\{ \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \mid a_{11}, a_{12}, a_{21} \text{ and } a_{22} \text{ are real numbers} \right\}$$

Show that $M_2(\mathbf{R})$ is a ring with respect to addition and multiplication of matrices.

Solution: Just as we have solved Example 2 of Unit 3, you can check that $(M_2(\mathbf{R}))$ is an abelian group. You can also verify the associative property for multiplication. (Also see example 5 of Unit 2.) we now show that $A.(B+C) = A.B + A.C$ for A, B, C in $M_2(\mathbf{R})$.

$$\begin{aligned} A.(B+C) &= \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \cdot \left(\begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix} + \begin{bmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{bmatrix} \right) \\ &= \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \begin{bmatrix} b_{11} + c_{11} & b_{12} + c_{12} \\ b_{21} + c_{21} & b_{22} + c_{22} \end{bmatrix} \\ &= \begin{bmatrix} a_{11}(b_{11} + c_{11}) + a_{12}(b_{21} + c_{21}) & a_{11}(b_{12} + c_{12}) + a_{12}(b_{22} + c_{22}) \\ a_{21}(b_{11} + c_{11}) + a_{22}(b_{21} + c_{21}) & a_{21}(b_{12} + c_{12}) + a_{22}(b_{22} + c_{22}) \end{bmatrix} \\ &= \begin{bmatrix} (a_{11}b_{11} + a_{12}b_{21}) + (a_{11}c_{11} + a_{12}c_{21}) & (a_{11}b_{12} + a_{12}b_{22}) + (a_{11}c_{12} + a_{12}c_{22}) \\ (a_{21}b_{11} + a_{22}b_{21}) + (a_{21}c_{11} + a_{22}c_{21}) & (a_{21}b_{12} + a_{22}b_{22}) + (a_{21}c_{12} + a_{22}c_{22}) \end{bmatrix} \\ &= \begin{bmatrix} a_{11}b_{11} + a_{12}b_{21} & a_{11}b_{12} + a_{12}b_{22} \\ a_{21}b_{11} + a_{22}b_{21} & a_{21}b_{12} + a_{22}b_{22} \end{bmatrix} + \begin{bmatrix} a_{11}c_{11} + a_{12}c_{21} & a_{11}c_{12} + a_{12}c_{22} \\ a_{21}c_{11} + a_{22}c_{21} & a_{21}c_{12} + a_{22}c_{22} \end{bmatrix} \\ &= \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \cdot \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix} + \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \cdot \begin{bmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{bmatrix} \\ &= A.B + A.C. \end{aligned}$$

In the same way we can obtain the other distributive law, i.e. $(A+B).C = A.C + B.C$
 $\forall A, B, C \in M_2(\mathbf{R})$.

Thus, $M_2(\mathbf{R})$ is a ring under matrix addition and multiplication.

Note that multiplication over $M_2(\mathbf{R})$ is not commutative so, we can't say that the left distributive law implies the right distributive law in this case.

Try the following exercises now.

SELF ASSESSMENT EXERCISE 2

Show that the set $Q + \sqrt{2}Q = \{p + \sqrt{2}q \mid p, q \in Q\}$ is a ring with respect to addition and multiplication of real numbers.

SELF ASSESSMENT EXERCISE 3

Let $R = \left\{ \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix} \mid a, b \text{ are real numbers} \right\}$. Show that R is a ring under matrix addition and multiplication.

SELF ASSESSMENT EXERCISE 4

Let $R = \left\{ \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix} \mid a, b \text{ are integers} \right\}$. Show whether R is a ring under matrix addition and multiplication.

SELF ASSESSMENT EXERCISE 5

Why is $(\wp(X), \cup, \cap)$ not a ring?

Let us now look at ring whose elements are functions.

Example 6: Consider the class of all continuous real valued functions defined on the closed interval $[0, 1]$. We denote this by $C[0, 1]$. If f and g are continuous functions on $[0, 1]$. We define $f + g$ and fg as

$(f + g)(x) = f(x) + g(x)$ (i.e., pointwise addition)

and $(f \cdot g)(x) = f(x) \cdot g(x)$ (i.e., pointwise multiplication)

for every $x \in [0, 1]$. From the calculus course you know that the function $f + g$ and fg are defined and continuous on $[0, 1]$, i.e., if f and $g \in C[0, 1]$, then $f + g$ and $f \cdot g$ are in $C[0, 1]$. Show that $C[0, 1]$ is a ring with respect addition and multiplication.

Solution: Since addition in R is associative and commutative, so is addition in $C[0, 1]$. The additive identity of $C[0, 1]$ is the zero function. The additive inverse of $f \in C[0, 1]$ is $(-f)$, where $(-f)(x) = -f(x) \forall x \in [0, 1]$. See fig. 2 for a visual interpretation of $(-f)$. Thus, $(C[0, 1], +)$ is an abelian group. Again, since multiplication in R is associative, so is multiplication in $C[0, 1]$.

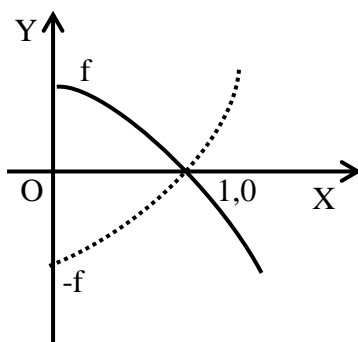


Fig. 2 The graphs of f and $(-f)$ over $[0,1]$.

An endomorphism of a group G is a homomorphism from G into G .

Now let us see if the axiom R6 holds.

To prove $f.(g+h) = f.g + f.h$, we consider $(f.(g+h))(x)$ for any x in $[0,1]$. Now

$$\begin{aligned} (f.(g+h))(x) &= f(x)(g+h)(x) \\ &= f(x)(g(x)+h(x)) \\ &= f(x)g(x)+f(x)h(x), \text{ since distributes one } + \text{ in } \mathbb{R} \\ &= (f.g)(x)+(f.h)(x) \\ &= (f.g)(x)+(f.h)(x) \\ &= (f.g+f.h)(x). \end{aligned}$$

Hence, $f.(g+h) = f.g + f.h$.

Since multiplication is commutative in $C[0, 1]$, the other distributive law also holds. Thus, R_6 is true for $C[0, 1]$. Therefore, $(C[0, 1], +, .)$ is a ring.

This ring is called the **ring of continuous functions on $[0, 1]$** .

The next example also deals with functions.

Example 7: Let $(A, +)$ be an abelian group. The set of all endomorphisms of A is

$$\text{End } A = \{f:A \rightarrow A \mid f(a+b) = f(a) + f(b) \quad \forall a,b \in A\}$$

For $f,g \in \text{End } A$, we define $f+g$ and $f.g$ as

$$\left. \begin{aligned} (f+g)(a) &= f(a) + g(a), \text{ and} \\ (f.g)(a) &= f(g(a)) \quad \forall a \in A \end{aligned} \right] \quad \dots (1)$$

Show that $(\text{End } A, +, .)$ is a ring. (This ring is called the endomorphism ring of A .)

Solution: Let us first check that $+$ and $.$ defined by (1) are binary operations on $\text{End } A$. For all $a, b, \in A$,

$$\begin{aligned} (f+g)(a+b) &= f(a+b) + g(a+b) \\ &= (f(a) + f(b)) + (g(a) + g(b)) \\ &= (f(a) + g(a)) + (f(b) + g(b)) \\ &= (f+g)(a) + (f+g)(b), \end{aligned}$$

and

$$\begin{aligned} (f.g)(a+b) &= f(g(a+b)) \\ &= f(g(a) + g(b)) \\ &= f(g(a)) + f(g(b)) \\ &= (f.g)(a) + (f.g)(b). \end{aligned}$$

Thus, $f+g$ and $f.g \in \text{End } A$.

Now let us see if $(\text{End } A, +, .)$ satisfies $R_1 = R_6$.

Since $+$ in the abelian group A is associative and commutative, so is $+$ in $\text{End } A$. The zero homomorphism on A is the zero element in $\text{End } A$. $(-f)$ is the additive inverse of $f \in \text{End } A$. Thus, $(\text{End } A, +)$ is an abelian group.

You also know, that the composition of functions is an associative operation in $\text{End } A$.

Finally, to check R6 we look at $f.(g + h)$ for any $f, g, h \in \text{End } A$. Now for any $a \in A$,

$$\begin{aligned} [f.(g + h)](a) &= f((g + h)(a)) \\ &= f(g(a) + h(a)) \\ &= f(g(a)) + f(h(a)) \\ &= (f.g)(a) + (f.h)(a) \\ &= (f.g + f.h)(a). \end{aligned}$$

Therefore $f.(g + h) = f.g + f.h$.

We can similarly prove that $(f + g).h = f.h + g.h$. Thus, R1 - R6 are true for $\text{End } A$. Hence, $(\text{End } A, +, .)$ is a ring.

Note that here, multiplication is not commutative since $f \circ g$ need not be equal to $g \circ f$ for $f, g \in \text{End } A$.

You may like to try these exercises now.

SELF ASSESSMENT EXERCISE 6

Let X be a non-empty set and $(R, +, .)$ be any ring. Define the set $\text{Map}(X, R)$ to be the set of all functions from X to R . That is

$$\text{Map}(X, R) = \{f \mid f : X \rightarrow R\}.$$

Define $+$ and $.$ in $\text{Map}(X, R)$ by pointwise addition and multiplication. Show that $(\text{Map}(X, R), +, .)$ is a ring.

SELF ASSESSMENT EXERCISE 7

Show that the set R of real numbers is a ring under addition and multiplication given by $a \oplus b = a + b + 1$, and $a \odot b = a.b + a + b$. For all $a, b \in R$, where $+$ and $.$ denote the usual addition and multiplication of real numbers.

On solving E 7 you must have realized that a given set can be an underlying set of many different rings.

Now, let us look at the Cartesian product of rings.

Example 8: Let $(A, +, .)$ and (B, \boxplus, \boxdot) be two rings. Show that their Cartesian product

$A \times B$ is a ring with respect to \oplus and $*$ defined by

$$(a, b) \oplus (a', b') = (a + a', b \oplus b') \text{ and}$$

$$(a, b) * (a', b') = (a \cdot a', b \square , b')$$

for all $(a, b), (a', b')$ in $A \times B$.

Solution: We have defined the addition and multiplication in $A \times B$ componentwise. The zero element of $A \times B$ is $(0, 0)$. The additive inverse of (a, b) is $(-a, -b)$, where $-b$ denotes the inverse of b with respect to \oplus . Since the multiplication in A and B are associative, $*$ is associative in $A \times B$. Again, using in fact that R6 holds for A and B , we can show that R6 holds for $A \times B$. Thus, $(A \times B, \oplus, *)$ is a ring.

If you have understood this example, you will be able to do the next exercise.

SELF ASSESSMENT EXERCISE 8

Write down the addition and multiplication tables for $Z_2 \times Z_3$.

Before going further we would like to make a remark about notational conventions in the case of groups, we decided to use the notation G for $(G, *)$ for convenience. Here too, in future, we shall use the notation R for $(R, +, \cdot)$ for convenience. Thus, we shall assume that $+$ and \cdot are known. We shall also denote the product of two ring element a and b by ab instead of $a \cdot b$.

So now let us begin studying various properties of rings.

3.2 Elementary Properties

In this section we will prove some simple but important properties of ring which are immediate consequences of the definition of a ring. As we go along you must not forget that for any ring R , $(R, +)$ is an abelian group. Hence the results obtained for groups in the earlier units are applicable to the abelian group $(R, +)$. In particular,

- i) The zero element, 0 , and the additive inverse of any element are unique.
- ii) The cancellation law holds for addition, i.e., $\forall a, b, c \in R, a + c = b + c \Rightarrow a = b$.

As we have mention earlier, we will write $a - b$ for $a + (-b)$ and ab for $a \cdot b$, where $a, b \in R$.

So let us state some properties which follow from the axiom R6, mainly.

Theorem 1: Let R be a ring. Then, for any $a, b, c \in R$,

- i) $a0 = 0 = 0a$,
- ii) $a(-b) = (-a)b = -(ab)$,
- iii) $(-a)(-b) = ab$,

iv) $a(b - c) = ab - ac$, and $(b - c)a = ba - ca$.

Proof: i) Now, $0 + 0 = 0 \Rightarrow a(0+0) = a0 \Rightarrow a0 + a0 = a0$ (applying the distributive law) $= a0 + 0$ (since 0 is the additive identity) $\Rightarrow a0 = 0$, by the cancellation law for $(\mathbb{R}, +)$. Using the other distributive law, we can similarly show that $0a = 0$. Thus, $a0 = 0 = 0a$ for all $a \in \mathbb{R}$.

ii) From the definition of additive inverse, we know that $b + (-b) = 0$. Now, $0 = a0$ (from (i) above). Thus, $0 = a(b + (-b))$ (as $0 = b + (-b)$) $= ab + a(-b)$ (by distributivity). Now, $ab + [- (ab)] = 0$ and $ab + a(-b) = 0$. But you know that the additive inverse of an element is unique. Hence, we get $- (ab) = a(-b)$. In the same manner, using the fact that $a + (-a) = 0$, we get $- (ab) = (-a)b$. Thus, $a(-b) = (-a)b = - (ab)$ for all $a, b \in \mathbb{R}$.

iii) For $a, b \in \mathbb{R}$,

$$\begin{aligned} (-a)(-b) &= -(a(-b)), \text{ from (ii) above.} \\ &= a(-(-b)), \text{ from distributivity.} \\ &= ab, \text{ since } b \text{ is the additive inverse of } (-b). \end{aligned}$$

iv) For $a, b, c \in \mathbb{R}$,

$$\begin{aligned} a(b - c) &= a(b + (-c)) \\ &= ab + a(-c), \text{ by distributivity} \\ &= ab + (- (ac)), \text{ from (ii) above.} \\ &= ab - ac. \end{aligned}$$

We can similarly prove (v).

Try this exercise now.

SELF ASSESSMENT EXERCISE 9

Show that $\{0\}$ is a ring with respect to the usual addition and multiplication. This is called the trivial ring.

Also show that if any singleton is ring, the singleton must be $\{0\}$.

SELF ASSESSMENT EXERCISE 10

Prove that the only ring R in which the two operations are equal (i.e., $a + b = ab \forall a, b \in R$) is the trivial ring.

Now let us look at the sum and the product of three or more elements of a ring. We define them recursively, as we did in the case of groups (see Unit 2).

If k is an integer ($k \geq 2$) such that the sum of k elements in a ring R is defined, we define the sum of $(k + 1)$ elements a_1, a_2, \dots, a_{k+1} in R , taken in that order, as

$$a_1 + \dots + a_{k+1} = (a_1 a_2 \dots a_k) \cdot a_{k+1}.$$

In the same way if k is a positive integer such that the product of k elements in R is defined, we define the product of $(k + 1)$ elements of a_1, a_2, \dots, a_{k+1} (taken in that order) as

$$a_2 \dots a_{k+1} = (a_1 a_2 \dots a_k) \cdot a_{k+1}.$$

We did for for groups, we can obtain laws of indices in the case of ring also with respect to both addition and multiplication. In fact we have the following results for any ring R .

If m and n are positive integers and $a \in R$, then

i) $a^m \cdot a^n = a^{m+n}$, and
 $(a^m)^n = a^{mn}$.

ii) If m and n are arbitrary integers and $a, b \in R$, then
 $(n + m)a = na + ma$,
 $(nm)a = n(ma) = m(na)$,
 $n(a + b) = na + nb$,
 $m(ab) = (ma)b = a(mb)$, and
 $(ma)(nb) = mn(ab) = (mna)b$.

iii) If $a_1, a_2, \dots, a_m, b_1, \dots, b_n \in R$ then

$$\begin{aligned} (a_1 + \dots + a_m)(b_1 + \dots + b_n) \\ = a_1 b_1 + \dots + a_1 b_n + a_2 b_1 + \dots + a_2 b_n + \dots + a_m b_1 + \dots + a_m b_n. \end{aligned}$$

Try this simple exercise now

SELF ASSESSMENT EXERCISE 11

If R is a ring and $a, b \in R$ such that $ab = ba$, then use induction on $n \in \mathbb{N}$ to derive the **binomial expansion**.

$$(a + b)^n = a^n + {}^n C_1 a^{n-1} b + \dots + {}^n C_k a^{n-k} b^k + \dots + {}^n C_{n-1} a b^{n-1} + b^n,$$

where ${}^n C_k$ is the combinatorial coefficient.

There are several other properties of rings that we will be discussing throughout this block. For now let us look closely at two types of rings, which are classified according to the behaviour of the multiplication defined on them.

3.3 Two Types of Rings

The definition of a ring guarantees that the binary operation multiplication is associative and, along with $+$, satisfies the distributive laws. Nothing more is said about the properties of multiplication. If we place restrictions on this operation we get several types of rings. Let us introduce you to two of them now.

Definition: We say that a ring $(R, +, \cdot)$ is **commutative** if multiplication is commutative, i.e., if $ab = ba$ for all $a, b \in R$.

For example, \mathbb{Z} , \mathbb{Q} and \mathbb{R} are commutative rings.

Definition: We say that a ring $(R, +, \cdot)$ is a ring with identity (or with unity) if R has an identity element with respect to multiplication, i.e., if there exists an element e in R such that $ae = ea = a$ for all $a \in R$.

Can you think of such a ring? Aren't \mathbb{Z} , \mathbb{Q} and \mathbb{R} examples of a ring with identity?

Try this quickly before we go to our next definition.

SELF ASSESSMENT EXERCISE 12

Prove that if a ring R has an identity element with respect to multiplication, then it is unique. (We denote this unique identity element in a ring with identity by the symbol 1 .)

Now let us combine the previous two definitions.

Definition: We say that a ring $(R, +, \cdot)$ is a **commutative ring with unity**, if it is a commutative ring and has the multiplicative identity element 1 .

Thus, the rings **\mathbb{Z} , \mathbb{Q} , \mathbb{R} and \mathbb{C}** are all commutative rings with unity. The integer 1 is the multiplicative identity in all of these rings.

We can also find commutative rings which are not rings with identity. For example, $2\mathbb{Z}$, the ring of all even integers is commutative. But it has no multiplicative identity.

Similarly, we can find rings with identity which are not commutative. For example,

$M_2(\mathbb{R})$ has the unit-element $\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$. But it is not commutative. For instance, if $A =$

$$\begin{bmatrix} 1 & 0 \\ 2 & 0 \end{bmatrix} \text{ and } B = \begin{bmatrix} 0 & 1 \\ 0 & 2 \end{bmatrix}, \text{ then } AB = \begin{bmatrix} 1 & 0 \\ 2 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 0 & 2 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ 0 & 2 \end{bmatrix} \text{ and } BA =$$

$$\begin{bmatrix} 0 & 1 \\ 0 & 2 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 2 & 0 \end{bmatrix} = \begin{bmatrix} 2 & 0 \\ 4 & 0 \end{bmatrix}. \text{ Thus, } AB \neq BA$$

Try this exercise now.

SELF ASSESSMENT EXERCISE 13

Which of the rings in Example 1, 2, 3, 4, 6, 7 are commutative and which have unity?

Give the identity, whenever it exists.

Now, can the trivial ring be a ring with identity? Since $0 \cdot 0 = 0$, 0 is also the multiplicative identity for this ring. So $(\{0\}, +, \cdot)$ is a ring with identity in which the additive and multiplicative identities coincide. But, if R is not the trivial ring we have the following result.

Theorem 2: Let R be a ring with identity 1. If $R \neq \{0\}$ then the elements 0 and 1 are distinct.

Proof: Since $R \neq \{0\}$, $\exists a \in R$, $a \neq 0$. Now suppose $0 = 1$. Then $a = a \cdot 1 = a \cdot 0 = 0$ (by Theorem 1). That is, $a = 0$, a contradiction. Thus, our supposition is wrong. That is, $0 \neq 1$.

Now let us go back to Example 8. When will $A \times B$ be commutative? $A \times B$ is commutative if and only if both the rings A and B are commutative. Let us see why. For convenience we will denote the operations in all three rings A , B and $A \times B$ by $+$ and \cdot . Let (a, b) and $(a', b') \in A \times B$.

Then $(a, b) \cdot (a', b') = (a', b') \cdot (a, b)$

$\Leftrightarrow (a \cdot a', b \cdot b') = (a' \cdot a, b' \cdot b)$

$\Leftrightarrow a \cdot a' = a' \cdot a$ and $b \cdot b' = b' \cdot b$.

Thus, $A \times B$ is commutative iff both A and B are commutative rings.

We can similarly show that $A \times B$ is with unity iff A and B are with unity. If A and B have identities e_1 and e_2 respectively, then the identity of $A \times B$ is (e_1, e_2) .

Now for some exercises about commutative rings with identity.

SELF ASSESSMENT EXERCISE 14

Show that the ring in E7 is a commutative ring with identity.

ACTIVITY 8

Show that the set of matrices $\left\{ \begin{bmatrix} x & x \\ x & x \end{bmatrix} \mid x \in R \right\}$ is a commutative with unity.

ACTIVITY 9

Let R be a Boolean ring (i.e., $a^2 = a \forall a \in R$). Show that $a = -a \forall a \in R$. Hence show that R must be commutative.

Now we will give an important example of a non-commutative ring with identity. This is the ring of real quaternions. It was first described by the Irish mathematician William Rowan Hamillion (1805 – 1865). It plays an important role in geometry, number theory and the study of mechanics.

Example 9: Let $H = \{a + bi + cj + dk \mid a, b, c, d \in \mathbf{R}\}$, where i, j, k are symbols that satisfy $i^2 = -1 = j^2 = k^2$, $ij = k = -ji$, $jk = i = -kj$, $ki = j = -ik$.

We define addition and multiplication in \mathbf{H} by

$$(a + bi + cj + dk) + (a_1 + b_1i + c_1j + d_1k) = (a + a_1) + (b + b_1)i + (c + c_1)j + (d + d_1)k,$$

and

$$(a + bi + cj + dk)(a_1 + b_1j + c_1j + d_1k) = (aa_1 - bb_1 - cc_1 - dd_1)(ab_1 + 1 + cd_1 - dc_1) + (ac_1 + bd_1 + ac_1 + bd_1)j + (ad_1 + bc_1 - cb_1 + da_1)k.$$

This multiplication is simply performed componentwise while keeping the relationships between i, j and k in mind.

Solution: Note that $\{\pm 1, \pm i, \pm j, \pm k\}$ is the group Q_8 (Example 4, Unit 4).

Now, you can verify that $(\mathbf{H}, +)$ is an abelian group in which the additive identity is $0 = 0 + 0i + 0j + 0k$, multiplication in \mathbf{H} is associative, the distributive laws hold and $1 = 1 + 0i + 0j + 0k$ is the unity in \mathbf{H} .

Do you agree that \mathbf{H} is not a commutative ring? You will if you remember that $ij \neq ji$, for example.

So far, in this unit we have discussed various types of rings. We have seen examples of commutative and non-commutative rings. Though non-commutative rings are very important, for the sake of simplicity we shall only deal with commutative rings henceforth. Thus, from now on, for us **a ring will always mean a commutative ring**. We would like you to remember that both $+$ and \cdot are commutative in a commutative ring.

Now, let us summarize what we have done in this unit.

4.0 SUMMARY

In this unit we discussed the following points.

- 1) Definition and examples of ring.
- 2) Some properties of a ring like
 $a \cdot 0 = 0 = 0 \cdot a$,
 $a(-b) = -(ab) = (-a)b$,
 $(-a)(-b) = ab$,
 $a(b-c) = ab - ac$,
 $(b-c)a = ba - ca$
 $\forall a, b, c$ in a ring R .
- 3) The laws of indices for addition and multiplication, and the generalized distributive law.
- 4) Commutative rings, rings unit and commutative rings with unit.

Henceforth, we will always assume that a ring means a commutative ring, unless otherwise mentioned.

5.0 SOLUTIONS/ANSWERS

SELF ASSESSMENT EXERCISE 1

Addition in Z_6^*

+	$\bar{1}$	$\bar{2}$	$\bar{3}$	$\bar{4}$	$\bar{5}$
$\bar{1}$	$\bar{2}$	$\bar{3}$	$\bar{4}$	$\bar{5}$	$\bar{0}$
$\bar{2}$	$\bar{3}$	$\bar{4}$	$\bar{5}$	$\bar{0}$	$\bar{1}$
$\bar{3}$	$\bar{4}$	$\bar{5}$	$\bar{0}$	$\bar{1}$	$\bar{2}$
$\bar{4}$	$\bar{5}$	$\bar{0}$	$\bar{1}$	$\bar{2}$	$\bar{3}$
$\bar{5}$	$\bar{0}$	$\bar{1}$	$\bar{2}$	$\bar{3}$	$\bar{4}$

Multiplication in Z_6^*

.	$\bar{1}$	$\bar{2}$	$\bar{3}$	$\bar{4}$	$\bar{5}$
$\bar{1}$	$\bar{1}$	$\bar{2}$	$\bar{3}$	$\bar{4}$	$\bar{5}$
$\bar{2}$	$\bar{2}$	$\bar{4}$	$\bar{0}$	$\bar{2}$	$\bar{4}$
$\bar{3}$	$\bar{3}$	$\bar{0}$	$\bar{3}$	$\bar{0}$	$\bar{3}$
$\bar{4}$	$\bar{4}$	$\bar{2}$	$\bar{0}$	$\bar{4}$	$\bar{2}$
$\bar{5}$	$\bar{5}$	$\bar{4}$	$\bar{3}$	$\bar{2}$	$\bar{1}$

From the tables you can see that neither addition nor multiplication are binary operations in Z_6^* , since $0 \notin Z_6^*$. Thus, $(Z_6^*, +, \cdot)$ can't be a ring.

SELF ASSESSMENT EXERCISE 2

We define addition and multiplication in $Q + \sqrt{2}Q$ by

$$(a + \sqrt{2}b) + (c + \sqrt{2}d) = (a+c) + \sqrt{2}(b+d), \text{ and}$$

$$(a + \sqrt{2}b) \cdot (c + \sqrt{2}d) = (ac + 2bd) + \sqrt{2}(ad + bc) \quad \forall a, b, c, d \in Q.$$

Since $+$ is associative and commutative in R , the same holds for $+$ in

$Q + \sqrt{2}Q.0 = 0 + \sqrt{2}.0$ is the additive identity and $(-a) + \sqrt{2}(-b)$ is the additive inverse of $a + \sqrt{2}b$.

Since multiplication in r is associative, R5 holds also. Since multiplication distributes over addition in R , it does so in $Q + \sqrt{2}Q$ as well. Thus, $(Q + \sqrt{2}Q, +, \cdot)$ is a ring.

SELF ASSESSMENT EXERCISE 3

$+$ and \cdot are well defined binary operations on R . R1, R2, R5 and R6 hold since the same properties are true for $M_2(R)$ (Example 5).

The zero element is $\begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$. The additive inverse of $\begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix}$ is $\begin{bmatrix} a & 0 \\ 0 & -b \end{bmatrix}$. Thus, R is ring.

SELF ASSESSMENT EXERCISE 4

$+$ and \cdot are binary operations on r . you can check that $(R, +, \cdot)$ satisfies R1 – R6.

SELF ASSESSMENT EXERCISE 5

\cup and \cap are well defined binary operations on $\wp(X)$. let us check which of the axioms **R1-R6 is not satisfied by** $(\wp(X), \cup, \cap)$. Since \cup is abelian, R1 is satisfied.

Since \cup is associative, R2 is satisfied.

Also, for any $A \subseteq X$, $A \cup \emptyset = A$. thus, \emptyset is the identity with respect to \cup . Thus, R3 is satisfied.

Now, for any $A \subseteq X$, $A \neq \emptyset$, there is no $B \subseteq X$ such that $A \cup B = \emptyset$. Thus R4 is not satisfied. Hence $(\wp(X), \cup, \cap)$ is not a ring.

SELF ASSESSMENT EXERCISE 6

Since satisfies R1, R2, R5 and R6, so does $\text{Map}(X, R)$. the zero element is $0: X \rightarrow R: f(x) = 0$. The additive inverse of $f: X \rightarrow R$ is $(-f): X \rightarrow R$. Thus, $(\text{Map}(X, R), +, \cdot)$ is a ring.

SELF ASSESSMENT EXERCISE 7

Firstly, \oplus and \odot are well defined binary operations on R . Next, let us check if (R, \oplus, \odot) satisfies R1-R6 $\forall a, b, c \in R$.

R1: $(a \oplus b) \oplus c = (a + b + 1) \oplus c = a + b + 1 + c + 1 = a + (b + c + 1) + 1 = a \oplus (b \oplus c)$

R2: $(a \oplus b) \oplus c = (a + b + 1) \oplus c = a + b + 1 + c + 1 = a + (b + c + 1) + 1 = a \oplus (b \oplus c)$

R3: $a \oplus (-1) = a - 1 + 1 = a \forall a \in R$. Thus, (-1) is the identity with

respect to \oplus

R4: $a \oplus (-1 -2) = a + (- a -2) + 1 = -1$. Thus, $-a -2$ is the inverse pf a with respect to \oplus .

$$\begin{aligned} \text{R5: } (a \odot b) \odot c &= (ab + a + b) \odot c = (ab+ a + b)c + (ab + a + b) + c \\ &= a(bc + b + c) + a + (bc + b + c) \\ &= a \odot (b \odot c). \end{aligned}$$

$$\begin{aligned} \text{R6: } a \odot (b \oplus c) &= a \odot (b+c+1) = a (b+c+1) + a + (b+c+1) \\ &= (ab +a+b) + (ac+a+c) + 1 \\ &= (a \odot b) \oplus (a \odot c). \end{aligned}$$

Thus, (R, \oplus, \odot) is a ring.

SELF ASSESSMENT EXERCISE 8

$$Z_2 = \{ \bar{0}, \bar{1} \}, Z_3 = \{ \bar{0}, \bar{1}, \bar{2} \}$$

$$\therefore Z_2 \times Z_3 = \{ (\bar{0}, \bar{0}), (\bar{0}, \bar{1}), (\bar{0}, \bar{2}), (\bar{1}, \bar{0}), (\bar{1}, \bar{1}), (\bar{1}, \bar{2}) \}.$$

Thus, the tables are

$+$	$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{1})$	$(\bar{0}, \bar{2})$	$(\bar{1}, \bar{0})$	$(\bar{1}, \bar{1})$	$(\bar{1}, \bar{2})$
$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{1})$	$(\bar{0}, \bar{2})$	$(\bar{1}, \bar{0})$	$(\bar{1}, \bar{1})$	$(\bar{1}, \bar{2})$
$(\bar{0}, \bar{1})$	$(\bar{0}, \bar{1})$	$(\bar{0}, \bar{2})$	$(\bar{0}, \bar{0})$	$(\bar{1}, \bar{1})$	$(\bar{1}, \bar{2})$	$(\bar{1}, \bar{0})$
$(\bar{0}, \bar{2})$	$(\bar{0}, \bar{2})$	$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{1})$	$(\bar{1}, \bar{2})$	$(\bar{1}, \bar{0})$	$(\bar{1}, \bar{1})$
$(\bar{1}, \bar{0})$	$(\bar{1}, \bar{0})$	$(\bar{1}, \bar{1})$	$(\bar{1}, \bar{2})$	$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{1})$	$(\bar{0}, \bar{2})$
$(\bar{1}, \bar{1})$	$(\bar{1}, \bar{1})$	$(\bar{1}, \bar{2})$	$(\bar{1}, \bar{0})$	$(\bar{0}, \bar{1})$	$(\bar{0}, \bar{2})$	$(\bar{0}, \bar{0})$
$(\bar{1}, \bar{2})$	$(\bar{1}, \bar{2})$	$(\bar{1}, \bar{0})$	$(\bar{1}, \bar{1})$	$(\bar{0}, \bar{2})$	$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{1})$

\cdot	$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{1})$	$(\bar{0}, \bar{2})$	$(\bar{1}, \bar{0})$	$(\bar{1}, \bar{1})$	$(\bar{1}, \bar{2})$
$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{0})$
$(\bar{0}, \bar{1})$	$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{1})$	$(\bar{0}, \bar{2})$	$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{1})$	$(\bar{0}, \bar{2})$
$(\bar{0}, \bar{2})$	$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{2})$	$(\bar{0}, \bar{1})$	$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{2})$	$(\bar{0}, \bar{1})$
$(\bar{1}, \bar{0})$	$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{0})$	$(\bar{1}, \bar{0})$	$(\bar{0}, \bar{1})$	$(\bar{1}, \bar{0})$
$(\bar{1}, \bar{1})$	$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{1})$	$(\bar{0}, \bar{2})$	$(\bar{1}, \bar{0})$	$(\bar{1}, \bar{1})$	$(\bar{0}, \bar{2})$
$(\bar{1}, \bar{2})$	$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{2})$	$(\bar{0}, \bar{1})$	$(\bar{1}, \bar{0})$	$(\bar{1}, \bar{2})$	$(\bar{1}, \bar{1})$

SELF ASSESSMENT EXERCISE 9

Note that $+$ and \cdot are binary operations on $\{0\}$. The properties R1 – R6 are trivially satisfied.

Now, suppose a singleton $\{a\}$ is a ring. Then this must contain the additive identity).

Thus, $\{a\} = \{0\}$.

SELF ASSESSMENT EXERCISE 10

We know that $a + 0 = a \forall a \in R$. But, by Theorem 1 we know that $a \cdot 0 = 0$. Thus, $a = 0 \forall a \in R$.

That is, $R = \{0\}$

SELF ASSESSMENT EXERCISE 11

Since $(a+b)^1 = a^1 + b^1$, the statement is true for $n = 1$. Assume that the equality is true for $n = m$, i.e.,

$$(a+b)^m = a^m + {}^m C_1 a^{m-1} b + \dots + {}^m C_{m-1} a b^{m-1} + b^m.$$

Now, $(a+b)^{m+1} = (a+b)(a+b)^m = (a+b) \left(\sum_{k=0}^m {}^m C_k a^{m-k} b^k \right)$

$= \sum_{k=0}^m {}^m C_k a^{m-k+1} b^k + \sum_{k=0}^m {}^m C_k a^{m-k} b^{k+1}$, by distributivity.

$$= (a^{m+1} + {}^m C_1 a^{m+1-1} b + {}^m C_2 a^{m+1-2} b^2 + \dots + {}^m C_m a b^m) \\ + ({}^m C_0 a^m b + {}^m C_1 a^{m-1} b^2 + \dots + {}^m C_{m-1} a b^m + b^{m+1})$$

$$= a^{m+1} + ({}^m C_1 + {}^m C_0) a^{m+1-1} b + \dots + ({}^m C_k + {}^m C_{k-1}) a^{m+1-k} b^k + \dots + b^{m+1}$$

$$= a^{m+1} + {}^{m+1} C_1 a^{m+1-1} b + \dots + {}^{m+1} C_k a^{m+1-k} b^k + \dots + {}^{m+1} C_m a b^m + b^{m+1}$$

(Since ${}^m C_k + {}^m C_{k-1} = {}^{m+1} C_k$)

Thus, the equality is true for $n = m + 1$ also.

Hence, by the principle of induction, it is true for all n .

SELF ASSESSMENT EXERCISE 12

Let e and e' be two multiplicative identity elements of R . then

$$e = e \cdot e', \text{ since } e \text{ is multiplicative identity.}$$

$$= e', \text{ since } e' \text{ is multiplicative identity.}$$

Thus, $e = e'$, i.e., the multiplicative identity of R is unique.

SELF ASSESSMENT EXERCISE 13

for $n = 1$, $n\mathbb{Z} = \mathbb{Z}$ is a commutative ring with identity 1.

$\forall n > 1$, $n\mathbb{Z}$ is commutative, but without identity.

Z_n is commutative with identity $\bar{1}$.
 $Z + iZ$ is commutative with identity $1 + i0$.
 $\wp(X)$ is commutative with identity X , since $A \cap X = A \forall A \subseteq X$.
 $C\{0, 1\}$ is commutative with identity $1 : \{0, 1\} \rightarrow R: 1(x) = 1$
 End A is not commutative. It has identity $1_A: A \rightarrow A: 1_A(x) = x$.

SELF ASSESSMENT EXERCISE 14

Since $a \odot b = b \odot a \forall a, b \in R$, \odot is commutative. Also, $a \odot 0 = a \forall a \in R$.
 Thus, 0 is the multiplicative identity.

ACTIVITY 8

you must first check that the set satisfies R1-R6.

Note that $\begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$ is the additive identity.

Then you should check that $AB = BA$ for any two elements A and B .

thus, the ring is commutative. It has identity $\begin{bmatrix} 1/2 & 1/2 \\ 1/2 & 1/2 \end{bmatrix}$.

ACTIVITY 9

for any $a \in R, a^2 = a$.

In particular, $(2a)^2 = 2a \Rightarrow 4a^2 = 2a \Rightarrow 4a = 2a \Rightarrow 2a = 0 \Rightarrow a = -a$.

Now, for any $a, b \in R, a + b \in R$.

$\therefore (a + b)^2 = a + b \Rightarrow a^2 + ab + ba + b^2 = a + b$
 $\Rightarrow a + ab + ba + b = a + b$, since $a^2 = a$ and $b^2 = b$
 $\Rightarrow ab = -ba$
 $\Rightarrow ab = ba$, since $-ba = ba$.

Thus, R is commutative.

6.0 REFERENCES

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UNIT 2 SUBRINGS AND IDEALS

CONTENTS

- 1.0 Introduction
- 2.0 Objectives
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 - 3.2 Ideals
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1.0 INTRODUCTION

In this unit we will study various concepts in ring theory corresponding to some of those that we discussed in group theory. We start with the notion of a subring, which corresponds to that of a subgroup as you may have guessed already.

Then we take a close look at a special kind of subring, called an ideal. You will see that the ideals in a ring play the role of normal subgroups in a group. That is, they help us to define a notion in ring theory corresponding to that of a quotient group, namely, a quotient ring.

After defining quotient rings, we will look at several examples of such rings. But you will only be able to realize the importance of quotient rings in the future units.

We hope that you will be able to meet the following objectives of this unit, because only then will you be comfortable in the future unit of this course.

2.0 OBJECTIVES

After reading this unit, you should be able to:

- give examples of subrings and ideals of some familiar rings;
- check whether a subset of a ring is a subring or not;
- check whether a subset of a ring is an ideal or not; and
- define and give examples of quotient ring.

3.0 MAIN CONTENT

3.1 Subrings

In Unit 3 we introduced you to the concept of subgroups of a group. In this section we will introduce you to an analogous notion for rings. Remember that **for us a ring means a commutative ring**.

In the previous unit you saw that, not only is $\mathbb{Z} \subseteq \mathbb{Q}$, but \mathbb{Z} and \mathbb{Q} are rings with respect to the same operations. This shows that \mathbb{Z} is a subring of \mathbb{Q} , as you will now realize.

Definition: Let $(R, +, \cdot)$ be a ring and S be subset of R . We say that S is a subring of R , if $(S, +, \cdot)$ is itself a ring, i.e., S is a ring with respect to the operations on R .

For example, using Example 1 of unit 9 we can say that $2\mathbb{Z}$, the set of even integers, is a subring of \mathbb{Z} .

Before giving more examples, let us analyse the definition of a subring. The definition says that a subring of a ring R is a ring with respect to the operations on R . Now, the distributive commutative and associative laws hold good in R . Therefore, they hold good in any subset of R also. So, to prove that a subset S of R is a ring we don't need to check all the 6 axioms R1-R6 for S . It is enough to check that

- i) S is closed under both $+$ and \cdot ,
- ii) $0 \in S$, and
- iii) for each $a \in S$, $-a \in S$.

If S satisfies these three conditions, then S is a subring of R . so we have an alternative definition for subring.

Definition: Let S be a subset of a ring $(R, +, \cdot)$. S is called a subring of R if

- i) S is closed under $+$ and \cdot , i.e., $a + b, a \cdot b \in S$ whenever $a, b \in S$,
- ii) $0 \in S$, and
- iii) for each $a \in S$, $-a \in S$.

Even this definition can be improved upon. For this recall from Unit 3 that $(S; +) \leq (R, +)$ if $a - b \in S$ whenever $a, b \in S$. This observation allow us to give a set of conditions for a subset to be a subring, which are easy to verify.

Theorem 1: Let S be a non-empty subset of $(R, +, \cdot)$. Then S is a subring of R if and only if

- a) $x - y \in S \forall x, y \in S$; and
- b) $xy \in S \forall x, y \in S$.

Proof: We need to show that S is a subring of R according to our definition iff S satisfies (a) and (b). Now S is a subring of R iff $(S, +) \leq (R, +)$ and S is closed under multiplication, i.e. if (a) and (b) hold.

So, we have proved the theorem.

This theorem allows us a neat way of showing that a subset is a subring..

Let us look at some exmples.

We have already noted that Z is a subring of Q . In fact, you can use Theorem 1 to check that Z is subring of R , C and $Z + iZ$ too. You can also verify that Q is a subring of R , C and $Q + \sqrt{2}Q = \{ \alpha + \sqrt{2}\beta \mid \alpha, \beta \in Q \}$.

The following exercise will give you some more examples of subrings.

SELF ASSESSMENT EXERCISE 1

Show that R is a subring of C , $Z + iZ$ is a subring of C and $Q + \sqrt{2}Q$ is a subring of R .

Now, let us look at some examples of subring other than the sets of numbers.

Example 1: Consider Z_6 , the ring of integers modulo 6. show, that $3Z_6 = \{3.0, 3.1, \dots, 3.5\}$ is a subring of Z_6

Solution: Firstly, do you agree that $3Z_6 = \{ \bar{0}, \bar{3} \}$? Remember that $\bar{6} = \bar{0}, \bar{9} = \bar{3}$, and so on. Also, $\bar{0} - \bar{3} = -\bar{3} = \bar{3} \in 3Z_6$. Thus, $3Z_6$ is a subring of Z_6 .

Example 2: Consider the ring $\wp(X)$ given in Example 4 of unit 9. Show that $S = \{ \emptyset, X \}$ is a subring of $\wp(X)$.

Solution: Note that $A \Delta A = \emptyset \forall A \in \wp(X)$. $\therefore A = -A$ in $\wp(X)$.

Now to apply Theorem 1 we first note that S is non-empty. Next, $\emptyset \Delta \emptyset = \emptyset \in S$, $X \Delta X = \emptyset \in S$, $\emptyset \Delta X = X \in S$, $\emptyset \cap \emptyset = \emptyset \in S$, $X \cap X = X \in S$, $\emptyset X = \emptyset \in S$. Thus, by Theorem 1, S is a subring of $\wp(X)$.

Try a related exercise now.

SELF ASSESSMENT EXERCISE 2

Let $A \subsetneq X$, $A \neq \emptyset$. Show that $S = \{ \emptyset, A, A^c, X \}$ is a subring of $\wp(X)$.

E 2 shows that for each proper subset of X we get a subring of $\wp(X)$.

Thus, a ring can have several subrings. Let us consider two subrings of the ring Z^2 .

Example 3: Show that $S = \{(n, 0) \mid n \in Z\}$ is a subring of $Z \times Z$. Also show that $D = \{(n, n) \mid n \in Z\}$ is a subring of $Z \times Z$.

Solution: You can recall the ring structure of Z^2 from example 8 of unit 9. Both S and D are non-empty. Both of them satisfy (a) and (b) of Theorem 1. Thus, S and D are both subrings of Z^2 .

We would like to make a remark here which is based on the examples of subrings that you have seen so far.

Remark: i) If R is a ring with identity, a subring of R may or may not be with identity. For example, the ring Z has identity 1, but its subring nZ ($n \geq 2$) is without identity.

ii) The identity of a subring, if it exists, may not coincide with the identity of the ring. For example, the identity of the ring $Z \times Z$ is $(1, 1)$. But the identity of its subring $Z \times \{0\}$ is $(1, 0)$.

Try the following exercise now.

SELF ASSESSMENT EXERCISE 3

Show that $S = \left\{ \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix} \mid a, b \in Z \right\}$ is a subring of

$R = \left\{ \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix} \mid a, b \in \mathbf{R} \right\}$. Does S have a unit element?

If yes, then is the unit element the same as that of R ?

Now let us look at an example which throws up several subrings of any ring.

Example 4: Let R be a ring and $a \in R$. Show that the set $aR = \{ ax \mid x \in R \}$ is a subring of R .

Solution: Since $R \neq \emptyset$, $aR \neq \emptyset$. Now, for any two elements ax and ay of aR , $ax - ay = a(x - y) \in aR$ and $(ax)(ay) = a(xay) \in aR$. Thus, by Theorem 1, aR is a subring of R .

Using Example 4 we can immediately say that $\bar{m}Z_n$ is a subring of Z_n $\forall m \in Z_n$. This also shows us a fact that we have already seen: nZ is a subring of $Z \forall n \in Z_n$.

Try these exercises now.

SELF ASSESSMENT EXERCISE 4

For any ring R , show that $\{0\}$ and R are its subrings.

SELF ASSESSMENT EXERCISE 5

Show that if A is a subring of B and B is a subring of C , then A is a subring of C .

SELF ASSESSMENT EXERCISE 6

Give an example of a subset of Z which is not a subring.

For instance, E1 and E5 tell us that $Q + \sqrt{2}Q$ is a subring of C .

Now let us look at some properties of subrings. From unit 3 you know that the intersection of two or more subgroup is a subgroup. The following result says that the same is true for subrings.

Theorem 2: Let S_1 and S_2 be subring of a ring R . Then $S_1 \cap S_2$ is also a subring of R .

Proof: Since $0 \in S_1$ and $0 \in S_2$, $0 \in S_1 \cap S_2$. $\therefore S_1 \cap S_2 \neq \emptyset$.

Now, let $x, y \in S_1 \cap S_2$. Then $x, y \in S_1$ and $x, y \in S_2$. Thus, by Theorem 1, $x - y$ and xy are in S_1 as well as in S_2 , they lie in $S_1 \cap S_2$.

Thus, $S_1 \cap S_2$ is a subring of R .

On the same lines as the proof above we can prove that the intersection of any family of subring of a ring R is a subring of R .

Now consider the union of subrings of a ring. So you think it will be a subring? Consider the following exercise.

SELF ASSESSMENT EXERCISE 7

You know that $Z + iZ$ and Q are subrings of C . Is their union a subring of C ? Why?

Now let us look at the Cartesian product of subrings.

Theorem 3: Let S_1 and S_2 be subrings of the rings R_1 and R_2 , respectively. Then $S_1 \times S_2$ is a subring of $R_1 \times R_2$.

Proof: Since S_1 and S_2 are subrings of R_1 and R_2 , $S_1 \neq \emptyset$ and $S_2 \neq \emptyset$. Therefore $S_1 \times S_2 \neq \emptyset$.

Now, let (a, b) and $(a', b') \in S_1 \times S_2$. Then $a, a' \in S_1$ and $b, b' \in S_2$. As S_1 and S_2 are subrings, $a - a', a + a' \in S_1$ and $b - b', b + b' \in S_2$.

(WE are using $+$ and $.$ for both R_1 and R_2 here, for convenience.) Hence, $(a, b) - (a', b') = (a - a', b - b') \in S_1 \times S_2$, and $(a, b) + (a', b') = (a + a', b + b') \in S_1 \times S_2$.

You can use this result to solve the following exercise.

SELF ASSESSMENT EXERCISE 8

Obtain two proper non-trivial subrings of $\mathbb{Z} \times \mathbb{R}$ (i.e., subrings which are neither zero nor the whole ring).

Let us now discuss an important class of subrings.

3.2 Ideals

In Block 2 you studied normal subgroups and the role that they play in group theory. You saw that the most important reason for the existence of normal subgroups is that they allow us to define quotient groups. In ring theory we would like to define a similar concept a quotient ring. In this section we will discuss a class of subrings that will help us to do so. These subrings are called ideals. While exploring algebraic number theory, the 19th century mathematicians Dedekind, Kronecker and others developed this concept. Let us see how we can use it to define a quotient ring.

Consider a ring $(R, +, .)$ and a subring I of R . As $(R, +)$ is an abelian group, the subgroup, I is normal in $(R, +)$, and hence the set $R/I = \{a + I \mid a \in R\}$, of all cosets of I in R , is a group under the binary operation $+$ given by

$$(a + I) + (b + I) = (a + b) + I$$

for all $a + I, b + I \in R/I$. we wish to define multiplication on R/I so as to make R/I a ring. You may think that the most natural way to do so is to define

$$(a + I) . (b + I) = ab + I \quad \forall a + I, b + I \in R$$

But, is this well defined? Not always. For instance, consider the subring \mathbb{Z} of \mathbb{R} and the set of cosets of \mathbb{Z} in \mathbb{R} . now, since $1 = 1 - 0 \in \mathbb{Z}$, $1 + \mathbb{Z} = 0 + \mathbb{Z}$.

Therefore, we must have

$$(\sqrt{2} + \mathbb{Z}). (1 + \mathbb{Z}) = (\sqrt{2} + \mathbb{Z}). (0 + \mathbb{Z}), \text{ i.e., } \sqrt{2} + \mathbb{Z} = 0 + \mathbb{Z}, \text{ i.e. } \sqrt{2} \in \mathbb{Z}.$$

But this is a contradiction. Thus, our definition of multiplication is not valid for the set \mathbb{R}/\mathbb{Z} .

But, it is valid for \mathbb{R}/I if we add some conditions on I . What should these conditions be? To answer this, assume that the multiplication in (2) is well defined.

Then, $(r + I) \cdot (0 + I) = r \cdot 0 + I = 0 + I = I$ for $r \in \mathbb{R}$.

Now, you know that if $x \in I$, then $x + I = 0 + I = I$. As we have assumed that \cdot is well defined, we get $(r + I) \cdot (x + I) = (r + I) \cdot (0 + I) = 0 + I$ whenever $r \in \mathbb{R}$, $x \in I$. That is, $rx + I = I$ whenever $r \in \mathbb{R}$, $x \in I$. Thus, $rx \in I$, whenever $r \in \mathbb{R}$, $x \in I$.

So, if \cdot is well defined we see that the subring I must satisfy the additional condition that $rx \in I$ whenever $r \in \mathbb{R}$ and $x \in I$.

We will prove that this extra condition on I is enough to make the operation \cdot a well defined one and $(\mathbb{R}/I, +, \cdot)$ a ring. In this section we will consider the subrings I of \mathbb{R} on which we impose the condition $rx \in I$ whenever $r \in \mathbb{R}$ and $x \in I$.

Definition: We call a non-empty subset I of a ring $(\mathbb{R}, +, \cdot)$ an ideal of \mathbb{R} if

- i) $a - b \in I \forall a, b \in I$, and
- ii) $ra \in I$ for all $r \in \mathbb{R}$ and $a \in I$.

Over here we would like to remark that we are always assuming that our rings are commutative. In the case of non-commutative rings the definition of an ideal is partially modified as follows.

A non-empty subset I of a non-commutative ring \mathbb{R} is an ideal if

- i) $a - b \in I \forall a, b \in I$, and
- ii) $ra \in I$ and $ar \in I \forall a \in I, r \in \mathbb{R}$.

Now let us consider some examples. In E 4 you saw that for any ring \mathbb{R} , the set $\{0\}$ is a subring. In fact, it is an ideal of \mathbb{R} called the trivial ideal of \mathbb{R} . Other ideals, if they exist, are known as non-trivial ideals of \mathbb{R} .

You can also verify that every ring is an ideal of itself. If an ideal I of a ring \mathbb{R} is such that $I \neq \mathbb{R}$, then I is called a **proper ideal of \mathbb{R}** .

For example, if $n \neq 0, 1$, then the subring $n\mathbb{Z} = \{nm \mid m \in \mathbb{Z}\}$ is a proper non-trivial ideal of \mathbb{Z} . This is because for any $z \in \mathbb{Z}$, $z(nm) = n(zm) \in n\mathbb{Z}$.

Try this exercise now

SELF ASSESSMENT EXERCISE 9

Show that $\{\bar{0}, \bar{3}\}$ and $\{\bar{0}, \bar{2}, \bar{4}\}$ are proper ideals of \mathbb{Z}_6 .

Now let us consider some more examples of ideals.

Example 5: Let X be an infinite set. Consider I to be, the class of all finite subsets of X . Show that I is an ideal of $\wp(X)$.

Solution: $I = \{A \mid A \text{ is a finite subset of } X\}$. Note that

- i) $\emptyset \in I$, i.e., the zero element of $\wp(X)$ is in I .
- ii) $A - B = A + (-B) = A + B$, as $B = -B$ in $\wp(X)$. $A - B = A \Delta B$.

Thus, if $A, B \in I$, then $A - B$ is again a finite subset of X , and hence $A - B \in I$.

- iii) $AB - A \cap B$. Now, whenever A is a finite subset of X and B is any element of $\wp(X)$, $A \cap B$ is a finite subset of X . Thus, $A \in I$ and $B \in \wp(X) \Rightarrow AB \in I$.

Hence, I is an ideal of $\wp(X)$.

Example 6: Let X be a set and Y be a non-empty of X . Show that $I = \{A \in \wp(X) \mid A \cap Y = \emptyset\}$ is an ideal of $\wp(X)$.

In particular, if we take $Y = \{x_0\}$, where x_0 is a fixed element of X , then $I = \{A \in \wp(X) \mid x_0 \notin A\}$ is an ideal of $\wp(X)$.

Solution: Firstly, $\emptyset \in I$.

Secondly, $\forall A, B \in I$, $(A - B) \cap Y = (A \Delta B) \cap Y = (A \cap Y) \cup (B \cap Y) = \emptyset \cup \emptyset = \emptyset$, so that $A - B \in I$

Finally, for $A \in I$ and $B \in \wp(X)$,

$(AB) \cap Y = (A \cap B) \cap Y = (A \cap Y) \cap B = \emptyset \cap B = \emptyset$, so that $AB \in I$.

Thus, I is an ideal of $\wp(X)$.

Example 7: Consider the ring $C[0, 1]$ given in Example 6 of Unit 9.

Let $M = \{f \in C[0, 1] \mid f(1/2) = 0\}$. Show that M is an ideal of $C[0, 1]$.

Solution: The zero element $\mathbf{0}$ is defined by $\mathbf{0}(x) = 0$ for all $x \in [0, 1]$. Sinc $\mathbf{0}(1/2) = 0$, $\mathbf{0} \in M$.

Also, if $f, \in M$ and $g \in C[0, 1]$ then $(fg)(1/2) = f(1/2)g(1/2) = 0g(1/2) = 0$, so $fg \in M$. Thus, M is an ideal of $C[0, 1]$. When you study Unit 11, you will see that M is the kernel of the homomorphism $\emptyset: C[0, 1] \rightarrow R: \emptyset(f) = f(1/2)$.

Now you can try an exercise that is a generalization of example 7.

SELF ASSESSMENT EXERCISE 10

Let $a \in [0, 1]$. Show that the set $I_a = \{ f \in C[0, 1] \mid f(a) = 0 \}$ is an ideal of $C[0, 1]$.

In the next exercise we ask you to look at the subring in Example 4.

SELF ASSESSMENT EXERCISE 11

Let R be a ring and $a \in R$. Show that Ra is an ideal of R .

Now that you've solved E 11, solving E 9 is a matter of seconds! Let us see if E 11 can be generalized.

Example 8: For any ring R and $a_1, a_2 \in R$, show that $Ra_1 + Ra_2 = \{ x_1a_1 + x_2a_2 \mid x_1, x_2 \in R \}$ is an ideal of R .

Solution: Firstly, $0 = 0a_1 + 0a_2$. Therefore $0 \in Ra_1 + Ra_2$. Next, $(x_1a_1 + x_2a_2) - (y_1a_1 + y_2a_2) = (x_1 - y_1)a_1 + (x_2 - y_2)a_2 \in Ra_1 + Ra_2 \quad \forall x_1, x_1, y_1, y_2 \in R$.

Finally, for $r \in R$ and $x_1a_1 + x_2a_2 \in Ra_1 + Ra_2$, $r(x_1a_1 + x_2a_2) = rx_1a_1 + rx_2a_2 \in Ra_1 + Ra_2$. Thus, $Ra_1 + Ra_2$ is an ideal of R .

This method of obtaining ideals can be extended to give ideals of the form $\{ x_1a_1 + x_2a_2 + \dots + x_na_n \mid x_i \in R \}$ for fixed elements a_1, \dots, a_n of R . Such ideals crop up again and again in ring theory. We give them a special name.

Definition: Let a_1, \dots, a_n be given elements of a ring R . Then the ideal generated by a_1, \dots, a_n is $Ra_1 + Ra_2 + \dots + Ra_n = \{ x_1a_1 + x_2a_2 + \dots + x_na_n \mid x_i \in R \}$. The elements a_1, \dots, a_n are called the generators of this ideal.

We also denote this ideal by $\langle a_1, a_2, \dots, a_n \rangle$ when $n = 1$, the ideal we get is called a **principal ideal**. Thus, if $a \in R$, then Ra is a principal ideal of R generated by a . In the next block you will be using principal ideals quite a lot.

Now an exercise on principal ideals.

SELF ASSESSMENT EXERCISE 12

Let R be a ring with identity 1. Show that $\langle 1 \rangle = R$.

SELF ASSESSMENT EXERCISE 13

Find the principal ideals of Z_{10} generated by $\bar{3}$ and $\bar{5}$.

Now we look at a special ideal of a ring. But, to do so we need to give a definition.

Definition: An element a of a ring R is called **nilpotent** if there exists a positive integer n such that $a^n = 0$.

For Example, $\bar{3}$ and $\bar{6}$ are nilpotent elements of Z_9 , since $\bar{3}^2 = \bar{9} = \bar{0}$ and $\bar{6}^2 = \bar{36} = \bar{0}$. Also, in any ring R , 0 is a nilpotent element.

Now consider the following example.

Example 9: Let R be a ring. Show that the set of nilpotent element of R is an ideal of R . This ideal is called the **nil radical of R** .

Solution: Let $N = \{a \in R \mid a^n = 0 \text{ for some positive integers } n\}$. Then $0 \in N$.

Also, if $a, b \in N$, then $a^m = 0$ and $b^n = 0$ for some positive integers m and n .

Now, $(a - b)^{m+n} = \sum_{r=0}^{m+n} \binom{m+n}{r} a^r (-b)^{m+n-r}$ (see E 11 of Unit 9).

For each $r = 0, 1, \dots, m+n$, neither $r \geq n$ or $m+n-r \geq m$, and hence, either $a^r = 0$ or $b^{m+n-r} = 0$. Thus, the term $a^r b^{m+n-r} = 0$. So $(a - b)^{m+n} = 0$ and $a - b \in N$.

Finally, if $a \in N$, $a^n = 0$ for some positive integer n , and hence, for any $r \in R$, $(ar)^n = a^n r^n = 0$, i.e., $ar \in N$. So, N is an ideal of R .

Let us see what the nil radicals of some familiar rings are. For the rings Z, Q, R or C , $N = \{0\}$, since the power of any non-zero element of these rings is non-zero. For Z_4 , $N = \{\bar{0}, \bar{2}\}$.

Try the following exercises now.

SELF ASSESSMENT EXERCISE 14

Find the nil radicals of Z_8 and $\wp(X)$.

SELF ASSESSMENT EXERCISE 15

Let R be a ring and $a \in R$. Show that $I = \{r \in R \mid ra = 0\}$ is an ideal of R . (This ideal is called the annihilator of a).

By now you must be familiar with the concept of ideals. Let us now obtain some results about ideals.

Theorem 4: Let R be a ring with identity 1 . If I is an ideal of R and $1 \in I$, then $I = R$.

Proof: We know that $I \subseteq R$. We want to prove that $R \subseteq I$. Let $r \in R$. Since $1 \in I$ and I is an ideal of R , $r = r \cdot 1 \in I$. So, $R \subseteq I$. Hence $I = R$. Using this result we can

immediately say that Z is not an ideal of Q . Does this also tell us whether Q is an ideal of R or not? Certainly. Since $1 \in Q$ and $Q \neq R$, Q can't be an ideal of R .

Now let us shift our attention to the algebra of ideals. In the previous section we proved that the intersection of subrings is a subring. We will now show that the intersection of ideals is an ideal. We will also show that the sum of ideals is an ideal and a suitably defined product of ideals is an ideal.

Theorem 5: If I and J are ideals of a ring R , then

- $I \cap J$,
- $I + J = \{a + b \mid a \in I \text{ and } b \in J\}$, and
- $IJ = \{x \in R \mid x \text{ is a finite sum } a_1b_1 + \dots + a_nb_n, \text{ where } a_i \in I \text{ and } b_i \in J\}$ and ideals of R .

Proof: a) From Theorem 2 you know that $I \cap J$ is a subring of R . Now, if $a \in I \cap J$, then $a \in I$ and $a \in J$. Therefore, $ax \in I$ and $ax \in J$ for all x in R . So $ax \in I \cap J$ for all $a \in I \cap J$ and $x \in R$. Thus $I \cap J$ is an ideal of R .

b) Firstly, $0 = 0 + 0 \in I + J$, therefore $I + J \neq \emptyset$. Secondly, if $x, y \in I + J$, then $x = a_1 + b_1$ and $y = a_2 + b_2$ for some $a_1, a_2 \in I$ and $b_1, b_2 \in J$. So $x - y = (a_1 + b_1) - (a_2 + b_2) = (a_1 - a_2) + (b_1 - b_2) \in I + J$. Finally, let $x \in I + J$ and $r \in R$. then $x = a + b$ for some $a \in I$ and $b \in J$. now $xr = (a + b)r = ar + br \in I + J$, as $a \in I$ implies $ar \in I$ and $b \in J$ implies $br \in J$ for all $r \in R$. Thus $I + J$ is an ideal of R .

c) Firstly, $IJ \neq \emptyset$ and $J \neq \emptyset$. Next, let $x, y \in IJ$. Then $x = a_1b_1 + \dots + a_nb_n$ and $y = a'_1b'_1 + \dots + a'_nb'_n$ for some $a_1, \dots, a_n, a'_1, \dots, a'_n \in I$ and $b_1, \dots, b_n, b'_1, \dots, b'_n \in J$. Therefore $x - y = (a_1b_1 + \dots + a_nb_n) - (a'_1b'_1 + \dots + a'_nb'_n) = a_1b_1 + \dots + a_nb_n + (-a'_1b'_1 - \dots - a'_nb'_n)$. Which is a finite sum of elements of the form ab with $a \in I$ and $b \in J$. So, $x - y \in IJ$.

Finally, let $x \in IJ$ say $x = a_1b_1 + \dots + a_nb_n$ with $a_i \in I$ and $b_i \in J$. Then, for any $r \in R$, $xr = (a_1b_1 + \dots + a_nb_n)r = a_1(b_1r) + \dots + a_n(b_nr)$, which is a finite sum of elements of the form ab with $a \in I$ and $b \in J$ (Note that $b_i \in J \Rightarrow b_1r \in J$ for all r in R). Thus, IJ is an ideal of R .

Over here, we would like to remark that if we define $IJ = \{ab \mid a \in I, b \in J\}$, then IJ need not even be a subring, leave alone being an ideal. This is because if $x, y \in IJ$, then with this definition of IJ it is not necessary that $x - y \in IJ$.

Let us now look at the relationship between the ideals obtained in Theorem 5. Let us first look at the following particular situation: $R = \mathbb{Z}$, $I = 2\mathbb{Z}$ and $J = 10\mathbb{Z}$. Then $I \cap J = J$, since $J \subseteq I$. Also, any element of $I + J$ is of the form $x = 2n + 10m$, where $n, m \in \mathbb{Z}$. Thus, $x = 2(n + 5m) \in 2\mathbb{Z}$. On the other hand $2\mathbb{Z} = I \subseteq I + J$. Thus, $I + J = \langle 2, 10 \rangle =$

$\langle 2 \rangle$. Similarly, you can see that $IJ = \langle 20 \rangle$. Note that $IJ \subseteq I \cap J \subseteq I \subseteq I + J$. In fact, these inclusions are true for any I and J (see E 16). We show the relationship in Fig. 1

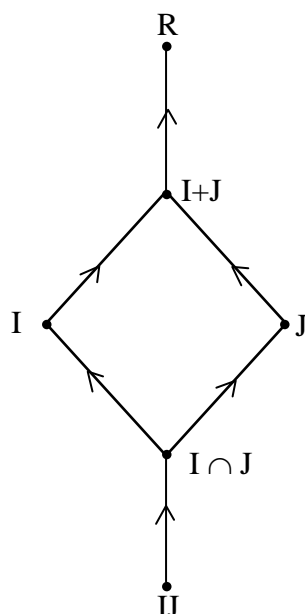


Fig. 1: The ideal hierarchy!

ACTIVITY 9

If I and J are ideals of a ring R , then show that

- $IJ \subseteq I \cap J \subseteq I \subseteq I + J$
and $IJ \subseteq I \cap J \subseteq J \subseteq I + J$;
- $I + J$ is the smallest ideal containing both the ideals I and J , i.e., if A is an ideal of R containing both I and J , then A must contain $I + J$;
- $I \cap J$ is the largest ideal that is contained in both I and J ;
- If $I \in R$ and $I + J = R$, then $IJ = I \cap J$, i.e., if top two of fig. 1 are equal, then so are the lowest two.

Let us now go back to what we said at the beginning of this section – the importance of ideal.

3.3 Quotient Rings

In Unit 5 you have studied quotient groups. You know that given a normal subgroup N of a group G , the set of all cosets of N is a group and is called the quotient group associated with the normal subgroup N . Using ideals, we will define a similar concept for rings. At the beginning we said that if $(R, +, \cdot)$ is a ring and I is a subring of R such that $(R/I, +, \cdot)$ is a ring, where $+$ and \cdot are defined by $(x + I) + (y + I) = (x + y) + I$ and $(x + I) \cdot (y + I) = xy + I \forall x + I, y + I \in R/I$, then the subring I should satisfy the extra condition that $rx \in I$ whenever $x \in R$ and $x \in I$, i.e., I should be an ideal. We now show that if I satisfies this extra condition then the operations that we have defined on R/I are well defined.

From group theory we know that $(R/I, +)$ is an abelian group. So we only need to check that \cdot is well defined, i.e., if $a + I = a' + I$, $b + I = b' + I$, then $ab + I = a'b' + I$. Now, since $a + I = a' + I$, $a - a' \in I$. Let $a - a' = x$ similarly, $b - b' \in I$, say $b - b' = y$. Then $ab - a'b' = (a' + x)(b' + y) - a'b' = a'b' + (xb' + a'y + xy) - a'b' = xb' + a'y + xy$. Therefore $ab - a'b' \in I$, since $x \in I$, $y \in I$ and I is an ideal of R . therefore $ab + I = a'b' + I$. Thus, \cdot is well defined on R/I .

Now our aim is to prove the following result.

Theorem 6: Let R be a ring and I be an ideal in R . Then R/I is a ring with respect to addition and multiplication defined by

$$(x + I) + (y + I) = (x + y) + I, \text{ and}$$

$$(x + I) \cdot (y + I) = xy + I \quad \forall x, y \in R.$$

Proof: As we have noted earlier, $(R/I, +)$ is an abelian group. So to prove that R/I is a ring we only need to check that \cdot is commutative, associative and distributive over $+$.

Now,

i) \cdot is commutative: $(a + I) \cdot (b + I) = ab + I = ba + I = (b + I) \cdot (a + I)$ for all $a + I, b + I \in R/I$.

ii) \cdot is associative: $\forall a, b, c \in R$

$$\begin{aligned} ((a + I) \cdot (b + I)) \cdot (c + I) &= (ab + I) \cdot (c + I) \\ &= (ab)c + I \\ &= a(bc) + I \\ &= (a + I) \cdot ((b + I) \cdot (c + I)) \end{aligned}$$

iv) Distributive law: let $a + I, b + I, c + I \in R/I$. then

$$\begin{aligned} (a + I) \cdot ((b + I) + (c + I)) &= (a + I) \cdot [(b + c) + I] \\ &= a(b + c) + I \\ &= (ab + ac) + I \\ &= (ab + I) + (ac + I) \\ &= (a + I) \cdot (b + I) + (a + I) \cdot (c + I) \end{aligned}$$

Thus, R/I is a ring.

This ring is called the quotient ring of R by the ideal I .

Let us look at some examples. We start with the example that gave rise to the terminology 'R and I'.

Example 10: Let $R = \mathbb{Z}$ and $I = n\mathbb{Z}$. What is R/I ?

Solution: You have seen that $n\mathbb{Z}$ is an ideal of \mathbb{Z} . From Unit 2 you know that

$\mathbb{Z}/n\mathbb{Z} = \{n\mathbb{Z}, 1 + n\mathbb{Z}, 2 + n\mathbb{Z}, \dots, (n - 1) + n\mathbb{Z}\} = \{\bar{0}, \bar{1}, \dots, \overline{n-1}\}$, the same as the set of equivalence classes modulo n . So, \mathbb{R}/I is the ring \mathbb{Z}_n .

Now let us look at an ideal of \mathbb{Z}_n , where $n = 8$.

Example 11: Let $R = \mathbb{Z}_8$ show that $I = \{\bar{0}, \bar{4}\}$ is an ideal of R . Construct the Cayley tables for $+$ and \cdot in R/I .

Solution: $I = 4\mathbb{R}$, and hence is an ideal of R . From group theory you know that the number of elements in $R/I = o(R/I) = \frac{o(R)}{o(I)} = \frac{8}{2} = 4$.

You can see that these elements are

$$0 + I = \{\bar{0}, \bar{4}\}, 1 + I = \{\bar{1}, \bar{5}\}, 2 + I = \{\bar{2}, \bar{6}\}, 3 + I = \{\bar{3}, \bar{7}\}.$$

The Cayley tables for $+$ and \cdot in R/I are

$+$	$\bar{0}+1$	$\bar{1}+1$	$\bar{2}+1$	$\bar{3}+1$
$\bar{0}+1$	$\bar{0}+1$	$\bar{1}+1$	$\bar{2}+1$	$\bar{3}+1$
$\bar{1}+1$	$\bar{1}+1$	$\bar{2}+1$	$\bar{3}+1$	$\bar{0}+1$
$\bar{2}+1$	$\bar{2}+1$	$\bar{3}+1$	$\bar{0}+1$	$\bar{1}+1$
$\bar{3}+1$	$\bar{3}+1$	$\bar{0}+1$	$\bar{1}+1$	$\bar{2}+1$

\cdot	$\bar{0}+1$	$\bar{1}+1$	$\bar{2}+1$	$\bar{3}+1$
$\bar{0}+1$	$\bar{0}+1$	$\bar{0}+1$	$\bar{0}+1$	$\bar{0}+1$
$\bar{1}+1$	$\bar{0}+1$	$\bar{1}+1$	$\bar{2}+1$	$\bar{3}+1$
$\bar{2}+1$	$\bar{0}+1$	$\bar{2}+1$	$\bar{0}+1$	$\bar{2}+1$
$\bar{3}+1$	$\bar{0}+1$	$\bar{3}+1$	$\bar{2}+1$	$\bar{1}+1$

Try this exercise now.

SELF ASSESSMENT EXERCISE 17

Show that if R is a ring with identity, then R/I is a ring with identity for any ideal I of R .

SELF ASSESSMENT EXERCISE 18

If R is a ring with identity 1 and I is an ideal of R containing 1 , then what does R/I look like?

ACTIVITY 10

Let N be the nil radical of R . Show that R/N has non-zero nilpotent elements.

You will realize the utility and importance of quotient rings after we

discuss homomorphisms in the next unit and when we discuss polynomial rings (Block 4).

Now let us briefly summarize what we have done in this unit.

4.0 SUMMARY

In this unit we have discussed the following points, with the assumption that all rings are commutative.

1. The definition and examples of a subring.
2. The proof and use of the fact that a non-empty subset S of a ring R is subring of R iff $x - y \in S$ and $xy \in S \forall x, y \in S$.
3. The intersection of subring of a ring is a subring of the ring.
4. The Cartesian product of subrings is a subring of the Cartesian product of the corresponding rings.
5. The definition and examples of an ideal.
6. The definition of an ideal generated by n elements.
7. The set of nilpotent elements in a ring is an ideal of the ring.
8. If I is an ideal of a ring R with identity and $1 \in I$, then $I = R$.
9. If I and J are ideal of a ring R , then $I \cap J$, $I + J$ and IJ are also ideals of R .
10. The definition and examples of a quotient ring.

5.0 SOLUTIONS/ANSWERS

SELF ASSESSMENT EXERCISE 1

$\forall x, y \in R, x - y \in R$ and $xy \in R$. Thus, R is a subring of C . Similarly, you can check the other cases.

SELF ASSESSMENT EXERCISE 2

Clearly, S is non-empty. Also, for any $x, y \in S, x - y = x \Delta y$ (As pointed out in Example 2). You can check that $x \Delta y \in S \forall x, y \in S$. Also, for any $x, y \in S, xy = x \cap y \in S$, as you can check. Thus, S is a subring of $\wp(X)$.

SELF ASSESSMENT EXERCISE 3

Firstly, $S \neq \emptyset$. Secondly, for any $A = \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix}$ and $C = \begin{bmatrix} c & 0 \\ 0 & d \end{bmatrix}$ in S ,

$$A - C = \begin{bmatrix} a-c & 0 \\ 0 & b-d \end{bmatrix} \in S \text{ and } AC = \begin{bmatrix} ac & 0 \\ 0 & bd \end{bmatrix} \in S.$$

Thus, S is a subring of R . The unit element of $S = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ = the unit element of R .

SELF ASSESSMENT EXERCISE 4

Both $\{0\}$ and R are non-empty and satisfy (a) and (b) of Theorem 1.

SELF ASSESSMENT EXERCISE 5

Since A is a subring of B , $A \neq \emptyset$ and $\forall x, y \in A$, $x - y \in A$ and $xy \in A$. here the addition and multiplication are those defined on B . but these are the same as those defined on C since B is a subring of C . Thus, A satisfies Theorem 1, and hence is a subring of C .

SELF ASSESSMENT EXERCISE 6

There are several examples. We take $\{I\}$. in fact, any finite subset of Z , apart from $\{0\}$, will do.

SELF ASSESSMENT EXERCISE 7

$1 + i$ and $\frac{1}{2}$ are elements of the union.

But $1 + i - \frac{1}{2} = \frac{1}{2} + i \notin Z + iZ \cup Q$ is not a subring of C .

SELF ASSESSMENT EXERCISE 8

$2Z \times R$, $3Z \times \{0\}$ are two among infinitely many examples.

SELF ASSESSMENT EXERCISE 9

note that the two sets are $\bar{3}Z_6$ and $\bar{2}Z_6$. From Example 4 you know that they are subrings of Z_6 . Now, by element wise multiplication you can check that $rx \in \bar{3}Z_6 \forall r$

$\in \bar{Z}_6$ and $x \in \bar{3Z}_6$. (for instance, $\bar{5}\bar{3} = \bar{15} = \bar{3} \in \bar{3Z}_6$). You can similarly see that $rx \in \bar{2Z}_6 \forall r \in \bar{Z}_6, x \in \bar{2Z}_6$. Thus, $\bar{3Z}_6$ and $\bar{2Z}_6$ are ideals of Z_6 .

SELF ASSESSMENT EXERCISE 10

$1_a \neq \emptyset$, since $0 \in 1_a, f, g \in 1_a \Rightarrow (f - g)(a) = f(a) - g(a) = 0 \Rightarrow f - g \in 1_a$.
 $f \in 1_a, g \in C[0, 1] \Rightarrow (fg)(a) = f(a)g(a) \neq 0, g(a) = 0 \Rightarrow fg \in 1_a$.
 $\therefore 1_a$ is an ideal of $C[0, 1]$.

SELF ASSESSMENT EXERCISE 11

Ra is a subring of R (see Example 4). Also for $r \in R$ and $xa \in Ra$,
 $R(xa) = (rx)a \in Ra$. $\therefore Ra$ is an ideal of R .

SELF ASSESSMENT EXERCISE 12

We know that $\langle 1 \rangle \subseteq R$. we need to show that $R \subseteq \langle 1 \rangle$. Now, for any $r \in R, r = r \cdot 1 \in \langle 1 \rangle$. Thus, $R \subseteq \langle 1 \rangle$. $\therefore R = \langle 1 \rangle$.

SELF ASSESSMENT EXERCISE 13

$$\begin{aligned} \bar{3}Z_{10} &= \{\bar{3}x \mid x \in Z_{10}\} = \{\bar{0}, \bar{3}, \bar{6}, \bar{9}, \bar{12}, \bar{15}, \bar{18}, \bar{21}, \bar{24}, \bar{27}\} \\ &= \{\bar{0}, \bar{3}, \bar{6}, \bar{9}, \bar{2}, \bar{5}, \bar{8}, \bar{1}, \bar{4}, \bar{7}\} \\ &= Z_{10}. \\ \bar{5}Z_{10} &= \{\bar{0}, \bar{5}\}. \end{aligned}$$

SELF ASSESSMENT EXERCISE 14

Let the nil radical of Z_8 be N . then $\bar{0} \in N$.

$\bar{1} \notin N$ since $\bar{1}^n = \bar{1} \neq \bar{0}$ for all n .

$$\bar{2}^3 = \bar{0} \Rightarrow \bar{2} \in N.$$

$$\bar{3}^n \neq \bar{0} \forall n. \therefore \bar{3} \notin N.$$

Similarly, you can check that $\bar{4}, \bar{6} \in N$ and $\bar{5}, \bar{7} \notin N$.

$$\therefore N = \{\bar{0}, \bar{2}, \bar{4}, \bar{6}\}.$$

For any $A \in \wp(X), A^n = A \cap A \cap \dots \cap A = A \forall n$.

Thus, $A^n = \emptyset$ iff $A = \emptyset$. Thus, the nil radical of $\wp(X)$ is $\{\emptyset\}$.

SELF ASSESSMENT EXERCISE 15

Firstly, $1 \neq \emptyset$ since $0 \in 1$. Secondly, $r, s \in 1 \Rightarrow ra = 0 = sa \Rightarrow (r - s)a = 0 \Rightarrow r - s \in 1$.

Finally, $r \in 1$ and $x \in R \Rightarrow (rx)a = x(ra) = x0 = 0 \Rightarrow rx \in 1$.

Thus, 1 is an ideal of R .

ACTIVITY 10

- a) For any $a \in I$ and $b \in J$, $ab \in I$ and $ab \in J$.
Thus, $ab \in I \cap J$. since $I \cap J$ is an ideal, any finite sum of such elements will also be in $I \cap J$. Thus, $IJ \subseteq I \cap J$.
Clearly, $I \cap J \subseteq I$ and $I \cap J \subseteq J$.
Also, $I \subseteq I + J$, $J \subseteq I + J$ is obvious.
- c) Let A be an ideal of R containing I as well as J . Then certainly $I + J \subseteq A$. Thus, (b) is proved.
- c) Let B be an ideal of R such that $B \subseteq I$ and $B \subseteq J$. Then certainly, $B \subseteq I \cap J$. Thus, (c) is proved.
- d) We want to show that $I \cap J \subseteq IJ$.
Let $x \in I$ and $x \in J$.
Since $I \subseteq R = I + J$, $x = i + j$, for some $i \in I$ and $j \in J$.
 $\therefore x = xi + xj = ix + xj \in IJ$
Thus, $I \cap J \subseteq IJ$.

SELF ASSESSMENT EXERCISE 17

$1 + I$ is the identity of R/I .

SELF ASSESSMENT EXERCISE 18

from Theorem 4, you know that $I = R$. $\therefore R/I = \{\bar{0}\}$.

ACTIVITY 11

Let $x + N \in R/N$ be a nilpotent element.
Then $(x + N)^n = N$ for some positive integer n .
 $\Rightarrow x^n \in N$ for some positive integer n .
 $\Rightarrow (x^n)^m = 0$ for some positive integer m .
 $\Rightarrow x^{nm} = 0$ for some positive integer nm .
 $\Rightarrow x \in N$
 $\Rightarrow x + N = 0 + N$, the zero element of R/N .
Thus, R/N has no non-zero nilpotent elements.

6.0 REFERENCES

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UNIT 3 RING HOMOMORPHISMS

CONTENTS

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1.0 INTRODUCTION

In Unit 2 you studied about functions between groups that preserved the binary operation. You also saw how useful they were for studying the structure of a group. In this unit we will discuss functions between rings which preserve the two binary operations. Such functions are called ring homomorphisms. You will see how homomorphisms allow us to investigate the algebraic nature of a ring.

If a homomorphism is a bijection, it is called an isomorphism. The role of isomorphisms in ring theory, as in group theory, is to identify algebraically identical systems. That is why they are important. We will discuss them also.

Finally, we will show you the interrelationship between ring homomorphisms, ideals and quotient rings.

2.0 OBJECTIVES

After reading this unit, you should be able to:

- check whether a function is a ring homomorphism or not;
- obtain the kernel and image of any homomorphism;
- give examples of ring homomorphisms and isomorphisms;
- prove and use some properties of a ring homomorphism; and
- state, prove and apply the Fundamental Theorem of Homomorphism for rings.

3.0 MAIN CONTENT

3.1 Homomorphisms

Analogous to the notion of a group homomorphism, we have the concept of a ring homomorphism. Recall that a group homomorphism preserves the group operation of

its domain. So it is natural to expect a ring homomorphism to preserve the ring structure of its domain. Consider the following definition.

Definition: Let $(R_1, +, \cdot)$ and $(R_2, +, \cdot)$ be two rings and $f: R_1 \rightarrow R_2$ be a map. We say that f is a ring homomorphism if $f(a + b) = f(a) + f(b)$, and $f(a \cdot b) = f(a) \cdot f(b)$ for all a, b in R_1 .

Note that the $+$ and \cdot occurring on the left hand sides of the equations in the definition above are defined on R_1 , while the $+$ and \cdot occurring on the right hand sides are defined on R_2 .

So, we can say that $f: R_1 \rightarrow R_2$ is a homomorphism if

- i) the image of a sum is the sum of the images, and
- ii) the image of a product is the product of the images.

Thus, the ring homomorphism f is also a group homomorphism from $(R_1, +)$ into $(R_2, +)$.

Just as we did in unit 6, before giving some examples of homomorphisms let us define the kernel and image of a homomorphism. As is to be expected, these definitions are analogous to the corresponding ones in unit 6.

Definition: Let R_1 and R_2 be two ring and $f: R_1 \rightarrow R_2$ be a ring homomorphism. Then we define

- i) the image of f to be the set $\text{Im } f = \{f(x) \mid x \in R_1\}$,
- ii) the kernel of f to be the set $\text{Ker } f = \{x \in R_1 \mid f(x) = 0\}$.

Note that $\text{Im } f \subseteq R_2$ and $\text{Ker } f \subseteq R_1$. If $\text{Im } f = R_2$, f is called an epimorphism or an onto homomorphism, and then R_2 is called the homomorphic image of R_1 .

Now let us look at some examples.

Example 1: Let R be a ring. Show that the identity map 1_R is a ring homomorphism. What are $\text{Ker } 1_R$ and $\text{Im } 1_R$?

Solution: Let $x, y \in R$. Then $1_R(x + y) = x + y = 1_R(x) + 1_R(y)$, and $1_R(xy) = xy = 1_R(x) 1_R(y)$. Thus, 1_R is a ring homomorphism.

$$\begin{aligned} \text{Ker } 1_R &= \{x \in R \mid 1_R(x) = 0\} \\ &= \{x \in R \mid x = 0\} \\ &= \{0\}, \end{aligned}$$

$$\begin{aligned}\text{Im } 1_{\mathbf{R}} &= \{1_{\mathbf{R}}(x) \mid x \in \mathbf{R}\} \\ &= \{x \mid x \in \mathbf{R}\} \\ &= \mathbf{R}.\end{aligned}$$

Thus, $1_{\mathbf{R}}$ is a surjection, and hence an epimorphism.

Example 2: Let $s \in \mathbf{N}$, show that the map $f: \mathbf{Z} \rightarrow \mathbf{Z}_s$ given by $f(m) = \overline{m}$ for all $m \in \mathbf{Z}$ is a homomorphism. Obtain $\text{Ker } f$ and $\text{Im } f$ also.

Solution: For any $m, n \in \mathbf{Z}$,
 $f(m + n) = \overline{m + n} = \overline{m} + \overline{n} = f(m) + f(n)$, and
 $f(mn) = \overline{mn} = \overline{m} \overline{n} = f(m) f(n)$. Therefore, f is a ring homomorphism. Now,

$$\begin{aligned}\text{Ker } f &= \{m \in \mathbf{Z} \mid f(m) = \overline{0}\} \\ &= \{m \in \mathbf{Z} \mid \overline{m} = \overline{0}\} \\ &= \{m \in \mathbf{Z} \mid m = 0 \pmod{s}\} \\ &= s\mathbf{Z},\end{aligned}$$

$$\begin{aligned}\text{Im } f &= \{f(m) \mid m \in \mathbf{Z}\} \\ &= \{\overline{m} \mid m \in \mathbf{Z}\} \\ &= \mathbf{Z}_s.\end{aligned}$$

Showing that f is an epimorphism.

Example 3: Consider the map $f: \mathbf{Z}_6 \rightarrow \mathbf{Z}_3$ given by $f(n \pmod{6}) = n \pmod{3}$. Show that f is a ring homomorphism. What is $\text{Ker } f$?

Solution: Firstly, for any $n, m \in \mathbf{Z}$,

$$\begin{aligned}f(n \pmod{6} + m \pmod{6}) &= f((n + m) \pmod{6}) = (n + m) \pmod{3} \\ &= n \pmod{3} + m \pmod{3} \\ &= f(n \pmod{6}) + f(m \pmod{6})\end{aligned}$$

you can similarly show that $f(n \pmod{6} \cdot m \pmod{6}) = f(n \pmod{6}) \cdot f(m \pmod{6})$. Thus, f is a ring homomorphism. $\text{Ker } f = \{n \pmod{6} \mid n \equiv 0 \pmod{3}\} = \{n \pmod{6} \mid n \in 3\mathbf{Z}\} = \{\overline{0}, \overline{3}\}$, bar denoting ‘mod 6’.

Before discussing any more examples, we would like to make a remark about terminology. In future we will use the term ‘homomorphism’ for ‘ring homomorphism’. You may remember that we also did this in the case of group homomorphisms.

Here are some exercises.

SELF ASSESSMENT EXERCISE 1

If S is a subring of a ring R , then S itself is a ring with the same $+$ and \cdot of R . Show that the inclusion map $i: S \rightarrow R$ given by $i(x) = x$ is a homomorphism. What are $\text{Ker } i$ and $\text{Im } i$?

SELF ASSESSMENT EXERCISE 2

Let R_1 and R_2 be two rings. Define $f: R_1 \rightarrow R_2$ given by $f(x) = 0$. Show that f is a homomorphism. Also obtain $\text{Ker } f$ and $\text{Im } f$. (This function is called the **trivial homomorphism**.)

SELF ASSESSMENT EXERCISE 3

Is $f: \mathbb{Z} \rightarrow 2\mathbb{Z}$ given by $f(x) = 2x$ a homomorphism? Why?

Note that using E1 we know that $f: \mathbb{Z} \rightarrow \mathbb{Q}$ (or \mathbb{R} , or \mathbb{C} or $\mathbb{Z} + i\mathbb{Z}$) given by $f(n) = n$ is a homomorphism.

Now let us look at some more examples

Example 4: Consider the ring $C[0, 1]$ of all real valued continuous functions defined on the closed interval $[0, 1]$.

Define $\phi: C[0, 1] \rightarrow \mathbb{R}$ by $\phi(f) = f(1/2)$. Show that ϕ is a homomorphism.

Solution: Let f and $g \in C[0, 1]$. Then $(f + g)(x) = f(x) + g(x)$ and $(fg)(x) = f(x)g(x)$ for all $x \in C[0, 1]$. Now, $\phi(f + g) = (f + g)(1/2) = f(1/2) + g(1/2) = \phi(f) + \phi(g)$, and $\phi(fg) = (fg)(1/2) = f(1/2)g(1/2) = \phi(f)\phi(g)$. Thus, ϕ is a homomorphism.

Example 5: Consider the ring $R = \left\{ \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix} \mid a, b \in \mathbb{R} \right\}$ under matrix addition and

multiplication. Show that the map $f: \mathbb{Z} \rightarrow R$ given by $f(n) = \begin{bmatrix} n & 0 \\ 0 & n \end{bmatrix}$ is a homomorphism.

Solution: Note that $f(n) = nI$, where I is the identity matrix of order 2. Now you can check that $f(n + m) = f(n) + f(m)$ and $f(nm) = f(n)f(m) \forall n, m \in \mathbb{Z}$. Thus, f is a homomorphism.

Example 6: Consider the ring $\wp(X)$ of Example 4 of unit 9.

Let Y be a non-empty subset of X .

Define $f: \wp(X) \rightarrow \wp(Y)$ by $f(A) = A \cap Y$ for all A in $\wp(X)$. Show that f is a homomorphism. Does $Y^c \in \text{Ker } f$? What is $\text{Im } f$?

Solution: For any A and B in $\wp(X)$,

$$\begin{aligned} f(A \Delta B) &= f((A \setminus B) \cup (B \setminus A)) \\ &= ((A \setminus B) \cup (B \setminus A) \cap Y) \\ &= ((A \setminus B) \cap Y) \cup ((B \setminus A) \cap Y) \\ &= ((A \cap Y) \setminus (B \cap Y)) \cup ((B \cap Y) \setminus (A \cap Y)) \\ &= (f(A) \setminus f(B)) \cup (f(B) \setminus f(A)) \\ &= f(A) \Delta f(B), \text{ and} \end{aligned}$$

$$\begin{aligned} f(A \cap B) &= (A \cap B) \cap Y \\ &= (A \cap B) \cap (Y \cap Y) \\ &= (A \cap Y) \cap (B \cap Y), \text{ since } \cap \text{ is associative and commutative} \\ &= f(A) \cap f(B). \end{aligned}$$

So, f is a ring homomorphism from $\wp(X)$ into $\wp(Y)$.

Now, the zero element of $\wp(Y)$ is \emptyset . Therefore,

$$\text{Ker } f = \{A \in \wp(X) \mid A \cap Y = \emptyset\} \therefore Y^c \in \text{Ker } f.$$

We will show that f is surjective.

$$\text{Now, Im } f = \{A \cap Y \mid A \in \wp(X)\}$$

Thus, $\text{Im } f \subseteq \wp(Y)$. To show that $\wp(Y) \subseteq \text{Im } f$, take any $B \in \wp(Y)$.

Then $B \in \wp(X)$ and $f(B) = B \cap Y = B$. Thus, $B \in \text{Im } f$.

Therefore, $\text{Im } f = \wp(Y)$.

Thus, f is an onto homomorphism.

The following exercises will give you some more examples of homomorphisms.

SELF ASSESSMENT EXERCISE 4

Let A and B be two rings. Show that the projection map $p : A \times B \rightarrow A$ given by $p(x, y) = x$ is a homomorphism. What are $\text{Ker } p$ and $\text{Im } p$?

SELF ASSESSMENT EXERCISE 5

Is $f: \mathbb{Z} + \sqrt{2}\mathbb{Z} \rightarrow \mathbb{Z} + \sqrt{2}\mathbb{Z}$ given by $f(a + \sqrt{2}b) = a - \sqrt{2}b$ a homomorphism?

SELF ASSESSMENT EXERCISE 6

Show that the map $\phi : C[0, 1] \rightarrow \mathbb{R} \times \mathbb{R}$ given by $\phi(f) = (f(0), f(1))$ is a homomorphism.

Having discussed many examples. Let us obtain some basic results about ring homomorphisms.

3.2 Properties of Homomorphisms

Let us start by listing some properties that show how a homomorphism preserves the structure of its domain. The following result is only a restatement of Theorem 1 of unit 6.

Theorem 1: Let $f: R_1 \rightarrow R_2$ be a homomorphism from a ring R_1 into a ring R_2 . Then

- a) $f(0) = 0$,
- b) $f(-x) = -f(x) \forall x \in R_1$ and
- c) $f(x - y) = f(x) - f(y) \forall x, y \in R_1$.

Proof: Since f is a group homomorphism from $(R_1, +)$ to $(R_2, +)$, we can apply Theorem 1 of unit 6 to get the result.

In the following exercise we ask you to prove another property of homomorphisms.

SELF ASSESSMENT EXERCISE 7

Let: $R_1 \rightarrow R_2$ be an onto ring homomorphism. If R_1 is with identity 1, show that R_2 is with identity $f(1)$.

Now, let us look at direct and inverse images of subrings under homomorphisms.

Theorem 2: Let $f: R_1 \rightarrow R_2$ be a ring homomorphism. Then

- a) if S is a subring of R_1 , $f(S)$ is a subring of R_2 ;
- b) if T is a subring of R_2 , $f^{-1}(T)$ is a subring of R_1 .

Proof: We will prove (b) and leave the proof of (a) to you (see E 8). Let us use Theorem 1 of unit 10.

Firstly, since $T \neq \emptyset$. Next, let $a, b \in f^{-1}(T)$. Then $f(a), f(b) \in T$.

- $\Rightarrow f(a) - f(b) \in T$ and $f(a)f(b) \in T$
- $\Rightarrow f(a - b) \in T$ and $f(ab) \in T$
- $\Rightarrow a - b \in f^{-1}(T)$ and $ab \in f^{-1}(T)$
- $\Rightarrow f^{-1}(T)$ is a subring.

To complete the proof of Theorem 2, try E 8.

SELF ASSESSMENT EXERCISE 8

Prove (a) of Theorem 2.

Now, it is natural to expect an analogue of Theorem 2 for ideals. But consider the inclusion $i: Z \rightarrow R$ given by $i(x) = x$. you know that $2Z$ is an ideal of Z . but is $i(2Z)$ an ideal of R ? No. For example, $2 \in 2Z$, but $2 \cdot \frac{1}{4} = \frac{1}{2} \notin 2Z$. Thus, the homomorphic image of an ideal need not be an ideal. But, all is not lost. We have the following result.

Theorem 3: Let $f: R_1 \rightarrow R_2$, be a ring homomorphism.

- If f is surjective and I is an ideal of R_1 , then $f(I)$ is an ideal of R_2 .
- If I is an ideal of R_2 , then $f^{-1}(I)$ is an ideal of R_1 and $\text{Ker } f \subseteq f^{-1}(I)$.

Proof: Here we will prove (a) and leave (b) to you (see E 9)!

Firstly, since I is a subring of R_1 , $f(I)$ is a subring of R_2 . Secondly, take any $f(x) \in f(I)$ and $r \in R_2$. since f is surjective, $\exists s \in R_1$, such that $f(s) = r$. Then $rf(x) = f(s)f(x) = f(sx) \in f(I)$, since $sx \in I$. Thus, $f(I)$ is an ideal of R_2 . To finish the proof try E 9.

SELF ASSESSMENT EXERCISE 9

Prove (b) of Theorem 3.

Now, consider an epimorphism $f: R \rightarrow S$ and an ideal I in R . By Theorem 3 you know that $f(I)$ is an ideal of S and $f^{-1}(f(I))$ is an ideal of R . How are I and $f^{-1}(f(I))$ related? clearly, $I \subseteq f^{-1}(f(I))$. Can $f^{-1}(f(I))$ contain elements of $R \setminus I$? Remember that $\text{Ker } f \subseteq f^{-1}(f(I))$ also. Thus, $I + \text{Ker } f \subseteq f^{-1}(f(I))$. In fact, $I + \text{Ker } f = f^{-1}(f(I))$. Let us see why.

Let $x \in f^{-1}(f(I))$. Then $f(x) \in f(I)$. Therefore, $f(x) = f(y)$ for some $y \in I$. Then $f(x - y) = 0$. Therefore $x - y \in \text{Ker } f$, i.e., $x \in y + \text{Ker } f \subseteq I + \text{Ker } f$.

Therefore $f^{-1}(f(I)) \subseteq I + \text{Ker } f$. Thus, $f^{-1}(f(I)) = I + \text{Ker } f$. This tell us that if $\text{Ker } f \subseteq I$, then $f^{-1}(f(I)) = I$ (since $\text{Ker } f \subseteq I \Rightarrow I + \text{Ker } f = I$).

Now you may like to do an easy exercise.

SELF ASSESSMENT EXERCISE 10

Let $f: R \rightarrow S$ be an onto ring homomorphism. Show that if J is an ideal of S , then $f^{-1}(J) = J$.

Our discussion so far is leading us to the following theorem.

Theorem 4: Let $f: R \rightarrow S$ be an onto ring homomorphism. Then

- if I is an ideal in R containing $\text{Ker } f$, $I = f^{-1}(f(I))$
- the mapping $I \rightarrow f(I)$ defines a one-to-one correspondence between the set of ideals of R containing $\text{Ker } f$ and the set of ideals of S .

Proof: We have proved (a) in the discussion above. Let us prove (b) now.

Let A be the set of ideals of R containing $\text{Ker } f$, and B the set of ideals of S . Define $\phi: A \rightarrow B$ by $\phi(I) = f(I)$. We want to show that ϕ is one-to-one and onto.

ϕ is onto: If $J \in B$ then $f^{-1}(J) \in A$ and $\text{Ker } f \subseteq f^{-1}(J)$ by Theorem 3. Now $\phi(f^{-1}(J)) = f(f^{-1}(J)) = J$, using E 10.

ϕ is one-on-one: If I_1 and I_2 are ideals in R containing $\text{Ker } f$, then

$$\begin{aligned}\phi(I_1) = \phi(I_2) &\Rightarrow f(I_1) = f(I_2) \\ &\Rightarrow f^{-1}(f(I_1)) = f^{-1}(f(I_2)) \\ &\Rightarrow I_1 = I_2, \text{ by (a).}\end{aligned}$$

Thus, ϕ is bijective.

Use this result for solving the following exercises.

SELF ASSESSMENT EXERCISE 11

Find the kernel of the homomorphism $f: Z \rightarrow Z_{12}$ given by $f(z) = z$. Also find the ideals of Z_{12} .

SELF ASSESSMENT EXERCISE 12

Show that the homomorphism $f: Z \rightarrow Z \times Z$ given by $f(n) = (n, n)$ is not onto. Find an ideal in $Z \times Z$ which is not of the form $f(I)$, where I is an ideal of Z .

And now let us look closely at the sets $\text{Ker } f$ and $\text{Im } f$, where f is a ring homomorphism then $\text{Ker } f$ is a normal subgroup of G_1 and $\text{Im } f$ is a subgroup of G_2 . We have an analogous result for ring homomorphisms, which you may have already realize from the examples you have studied so far.

Theorem 5: Let $f: R_1 \rightarrow R_2$ be a ring homomorphism. Then

- $\text{Ker } f$ is an ideal of R_1 .
- $\text{Im } f$ is a subring of R_2 .

Proof: a) Since $\{0\}$ is an ideal of R_2 , by Theorem 3(b) we know that $f^{-1}(\{0\})$ is an ideal of R_1 . But $f^{-1}(\{0\}) = \text{Ker } f$. Thus, we have shown that $\text{Ker } f$ is an ideal of R_1 .

b) Since R_1 is a subring of R_1 , $f(R_1)$ is a subring of R_2 , by Theorem 2(a). Thus, $\text{Im } f$ is a subring of R_2 .

This result is very useful for showing that certain sets are ideals. For example, from Theorem 5 and Example 3 you can immediately say that $\{\bar{0}, \bar{3}\}$ is an ideal of Z_6 . As we go along you will see examples of this use of Theorem 5.

Let us look a little more closely at the kernel of a homomorphism. In fact, let us prove a result analogous to Theorem 4 of unit 6.

Theorem 6: Let $f: R_1 \rightarrow R_2$ be a homomorphism. Then f is injective iff $\text{Ker } f = \{0\}$.

Proof: f is injective iff f is an injective group homomorphism from $(R_1, +)$ into $(R_2, +)$. This is true iff $\text{Ker } f = \{0\}$, by Theorem 4 of Unit 6. So, our result is proved.

Using Theorem 6, solve the following exercise.

SELF ASSESSMENT EXERCISE 13

Which of the homomorphisms in Examples 1-6 are 1-1?

So far we have seen that given a ring homomorphism $f: R \rightarrow S$, we can obtain an ideal of R , namely, $\text{Ker } f$. Now, given an ideal I of a ring R can we define a homomorphism f so that $\text{Ker } f = I$?

The following theorem answers this question. Before going to the theorem recall the definition of quotient rings from unit 10.

Theorem 7: If I is an ideal of a ring R , then there exists a ring homomorphism $f: R \rightarrow R/I$ whose kernel is I .

Proof: Let us define $f: R \rightarrow R/I$ by $f(a) = a + I$ for all $a \in R$. Let us see if f is a homomorphism. For this take any $a, b \in R$. then $f(a + b) = (a + b) + I = (a + I) + (b + I) = f(a) + f(b)$, and $f(ab) = ab + I = (a + I)(b + I) = f(a)f(b)$.

Thus, f is a homomorphism. Further, $\text{Ker } f = \{a \in R \mid f(a) = 0 + I\} = \{a \in R \mid a + I = I\} = \{a \in R \mid a \in I\} = I$.

Thus, the theorem is proved.

Also note that the homomorphism f is onto.

We call the homomorphism defined in the proof above the canonical (or natural) homomorphism from R onto R/I .

Try this simple exercise now.

SELF ASSESSMENT EXERCISE 14

Let S be a subring of a ring R . Can we always define a ring homomorphism whose domain is R and Kernel is S ? why?

Now let us look at the behaviour of the composition of homomorphisms. We are sure you find the following result quite unsurprising.

Theorem 8: Let R_1 , R_2 and R_3 be ring and $f: R_1 \rightarrow R_2$, and $g: R_2 \rightarrow R_3$ be ring homomorphisms. Then their composition $g \circ f: R_1 \rightarrow R_3$ given by $(g \circ f)(x) = g(f(x))$ for all $x \in R_1$ is a ring homomorphism.

The proof of this result is on the same lines as the proof of the corresponding result in unit 6. We leave it to you (see the following exercise).

SELF ASSESSMENT EXERCISE 15

Prove Theorem 8.

SELF ASSESSMENT EXERCISE 16

In the situation of Theorem 8 prove that

- a) if $g \circ f$ is $1 - 1$, then so is f .
- b) if $g \circ f$ is onto, then so is g .

SELF ASSESSMENT EXERCISE 17

Use Theorem 8 to show that the function $h: \mathbb{Z} \times \mathbb{Z} \rightarrow \mathbb{Z}_2$ defined by $h(n, m) = \overline{m}$ is a homomorphism.

Now let us see what the ring analogue of a group isomorphism is.

3.3 The Isomorphism Theorems

In Unit 6 we discuss group isomorphisms and various results involving them. In this section we will do the same thing for rings. So, let us start by defining a ring isomorphism.

Definition: Let R_1 and R_2 be two rings. A function $f: R_1 \rightarrow R_2$ is called a ring isomorphism (or simply an isomorphism) if

- i) f is a ring homomorphism,
- ii) f is $1 - 1$, and
- iii) f is onto.

Thus, a homomorphism that is bijective is an isomorphism.

If $f: R_1 \rightarrow R_2$ is an isomorphism, we say that R_1 is isomorphism to R_2 , and denote it by $R_1 \simeq R_2$.

Over here we would like to make the following remark.

Remark: Two rings are isomorphic if and only if they are algebraically identical. That is, isomorphic rings must have exactly the same algebraic properties. Thus, if R_1 is a ring with identity then it cannot be isomorphic to a ring without identity. Similarly, if the only ideals of R_1 are $\{0\}$ and itself, then any ring isomorphic to R_1 must have this property too.

Try the following exercise now. They will help you in becoming more familiar with isomorphisms.

SELF ASSESSMENT EXERCISE 18

Which of the following functions are ring isomorphisms?

- a) $f: \mathbb{Z} \rightarrow \mathbb{R}$ given by $f(n) = n$
- b) $f: \mathbb{Z} \rightarrow 5\mathbb{Z}$ given by $f(n) = 5n$
- c) $f: \mathbb{C} \rightarrow \mathbb{C}$ given by $f(z) = \bar{z}$, the complex conjugate of z

SELF ASSESSMENT EXERCISE 19

Let $\phi: R_1 \rightarrow R_2$ be a ring isomorphism. Then $\phi^{-1}: R_2 \rightarrow R_1$ is a well defined function since ϕ is bijective. Show that ϕ^{-1} is also an isomorphism.

SELF ASSESSMENT EXERCISE 20

Show that the composition of isomorphism is an isomorphism.

And now, let us go back to Unit 6 for a moment. Over there we proved the Fundamental Theorem of Homomorphism for groups, according to which the homomorphic image of a group G is isomorphic to the quotient of G by kernel of the homomorphism. The corresponding result in rings is the isomorphism theorem or the Fundamental Theorem of Homomorphism for rings.

Theorem 9 (The Fundamental of Homomorphism): Let $f: R \rightarrow S$ be a ring homomorphism. Then $R/\text{Ker } f \simeq \text{Im } f$. In particular, if f is surjective, then $R/\text{Ker } f \simeq S$.

Proof: Firstly, note that $R/\text{Ker } f$ is a well-defined quotient ring since $\text{Ker } f$ is an ideal of R . For convenience, let us put $\text{Ker } f = I$. let us define.

$$\psi: R/I \rightarrow S \text{ by } \psi(x+I) = f(x).$$

As in the case of Theorem 8 of unit 6, we need to check that ψ is well defined, i.e., if $x+I = y+I$ then $\psi(x+I) = \psi(y+I)$. Now, $x+I = y+I \Rightarrow x-y \in I = \text{Ker } f \Rightarrow f(x-y) = 0 \Rightarrow f(x) = f(y) \Rightarrow \psi(x+I) = \psi(y+I)$. Thus ψ is well defined.

Now let us see whether ψ is an isomorphism or not.

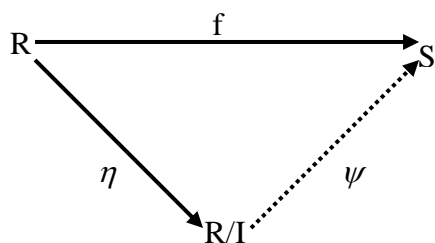
i) **ψ is a homomorphism:** Let $x, y \in R$. Then $\psi((x + I) + (y + I)) = \psi(x + y + I) = f(x + y) = f(x) + f(y) = \psi(x + I) + \psi(y + I)$, and $\psi((x + I)(y + I)) = \psi(xy + I) = f(xy) = f(x)f(y) = \psi(x + I)\psi(y + I)$. Thus, ψ is a ring homomorphism.

ii) **Im $\psi = \text{Im } f$:** since $\psi(x + I) = f(x) \in \text{Im } f \forall x \in R$. $\text{Im } \psi \subseteq \text{Im } f$. Also any element of $\text{Im } f$ is of the form $f(x) = \psi(x + I)$ for some $x \in R$. Thus, $\text{Im } f \subseteq \text{Im } \psi$. $\text{Im } \psi \equiv \text{Im } f$.

iii) **ψ is 1-1:** To show this let $x, y \in R$ such that $\psi(x + I) = \psi(y + I)$. Then $f(x) = f(y)$, so that $f(x - y) = 0$, i.e., $x - y \in \text{Ker } f = I$. That is, $x + I = y + I$. Thus, ψ is 1-1.

So, we have shown that $R/\text{Ker } f \simeq \text{Im } f$. Thus, if f is onto, then $\text{Im } f = S$ and $R/\text{Ker } f \simeq S$.

Note that this result says that f is the composition $\psi \circ \eta$, where η is the canonical homomorphism $\eta: R \rightarrow R/I$ given by $\eta(a) = a + I$. This can be diagrammatically shown as



Let us look at some examples of the use of the Fundamental Theorem of homomorphism.

Consider $p: Z \rightarrow Z_m$ given by $p(n) = \bar{n}$. p is an epimorphism and $\text{Ker } p = \{n \mid \bar{n} = \bar{0}\} = mZ$. Therefore, $Z/mZ \simeq Z_m$ (Note that we have often used the fact that Z/mZ and Z_m are the same).

As another example, consider the projection map

$p: R_1 \times R_2 \rightarrow R_1$ given by $p(a, b) = a$, where R_1 and R_2 are rings. Then p is onto and its kernel is

Therefore, $(R_1 \times R_2)/R_2 \simeq R_1$.

Try this exercise now.

SELF ASSESSMENT EXERCISE 21

What does the Fundamental Theorem of Homomorphism say in each of the Examples 1 to 6?

Let us now apply Theorem 9 to prove that any ring homomorphism from a ring R onto Z is uniquely determined by its Kernel. That is, we can't have two different ring homomorphisms from R onto Z with the same kernel (note that this is not true for group homomorphism from Z onto itself with the same kernel, $\{0\}$.) To prove this statement we need the following result.

Theorem 10: The only non-trivial ring homomorphism from Z into itself is I_Z .

Proof: Let $f: Z \rightarrow Z$ be a non-trivial homomorphism. Let n be positive integer. Then $n = 1 + 1 + \dots + 1$ (n times). Therefore, $f(n) = f(1) + f(1) + \dots + f(1)$ (n times) $= n f(1)$. On the other hand, if n is a negative integer, then $-n$ is a positive integer. Therefore, $f(-n) = (-n) f(1)$, i.e., $-f(n) = -nf(1)$, since f is a homomorphism. Thus, $f(n) = n f(1)$ in this case too. Also $f(0) = 0 = 0f(1)$. Thus, $f(n) = nf(1) \forall n \in Z$.

Now, since f is a non trivial homomorphism, $f(m) \neq 0$ for some $m \in Z$. Then, $f(m) = f(m \cdot 1) = f(m) f(1)$. Canceling $f(m)$ on both sides we get $f(1) = 1$. Therefore, from (1) we see that $f(n) = n \forall n \in Z$, i.e., $f = I_Z$. This theorem has an important corollary.

Corollary: Let R be a ring isomorphisc to Z . If f and g are two somorphisms from R onto Z , the $f = g$.

Proof: The composition $f \circ g^{-1}$ is an isomorphism from Z onto itself. Therefore, by Theorem 10, $f \circ g^{-1} = I_Z$, i.e., $f = g$.

We are now in a position to prove the following result.

Theorem 11: Let R be a ring and f and g be homomorphisms from R onto Z such that $\text{Ker } f = \text{Ker } g$. Then $f = g$.

Proof: By Theorem 9 we have isomorphisms.

$$\psi_f : R/\text{Ker } f \rightarrow Z \text{ and } \psi_g : R/\text{Ker } g \rightarrow Z.$$

Are isomorphisms of the same ring onto Z . Thus, by the corollary above, $\psi_f = \psi_g$. Also, the canonical maps $\eta_f : R \rightarrow R/\text{Ker } f$ and $\eta_g : R \rightarrow R/\text{Ker } g$ are the same since $\text{Ker } f = \text{Ker } g$. Therefore $f = \psi_f \circ \eta_f = \psi_g \circ \eta_g = g$.

We will now give you a chance to prove two applications of Theorem 9! They are analogous to Theorem 10 and 11 of unit 6

ACTIVITY 12

(Second isomorphism theorem) Let S b a subring and I be an ideal of a ring R . Show that $(S + I)/I \simeq S/(S \cap I)$.

ACTIVITY 13

(Third isomorphism theorem) Let I and J be ideal of a ring R such that $J \subseteq I$. Show that I/J is an ideal of the ring R/J and that

$$(R/J)/(I/J) \simeq R/I.$$

Let us halt our discussion of homomorphisms here and briefly recall what we have done in this unit. Of course, we have not finished with these functions. We will be going back to them again and again in the future units.

4.0 SUMMARY

In this unit we have covered the following points.

1. The definition of a ring homomorphism, its kernel and its image, along with several examples
2. The direct or inverse image of a subring under a homomorphism is a subring.
3. If $f: R \rightarrow S$ is a ring homomorphism, then
 - i) $\text{Im } f$ is a subring of S ,
 - ii) $\text{Ker } f$ is an ideal of R ,
 - iii) $f^{-1}(I)$ is an ideal of R for every ideal I of S .
 - iv) If f is surjective, then $f(I)$ is an ideal of S .
4. A homomorphism is injective iff its kernel is $\{0\}$,
5. The composition of homomorphisms is a homomorphism.
6. The definition and examples of a ring isomorphism.
7. The proof and applications of the Fundamental Theorem of Homomorphism which says that if $f: R \rightarrow S$ is a ring homomorphism, then $R/\text{Ker } f \simeq \text{Im } f$.

5.0 SOLUTIONS/ANSWERS**SELF ASSESSMENT EXERCISE 1**

For $x, y \in S$,

$$i(x + y) = x + y = i(x) + i(y), \text{ and}$$

$$i(xy) = xy = i(x) i(y)$$

$\therefore i$ is a homomorphism.

$$\text{Ker } i = \{x \in S \mid i(x) = 0\} = \{0\}$$

$$\text{Im } i = \{i(x) \mid x \in S\} = S.$$

SELF ASSESSMENT EXERCISE 2

For any $x, y \in R_1$, $f(x + y) = 0 = 0 + 0 = f(x) + f(y)$, and $f(xy) = 0 = f(x) \cdot f(y)$. $\therefore f$ is a homomorphism.

$$\text{Ker } f = \{ x \in R_1 \mid f(x) = 0 \} = R_1$$

$$\text{Im } f = \{0\}.$$

SELF ASSESSMENT EXERCISE 3

$$f(2 \cdot 3) \equiv f(6) \equiv 12. \text{ But } f(2) \cdot f(3) \equiv 4 \cdot 6 \equiv 24$$

Thus, $f(2 \cdot 3) \neq f(2) f(3)$

$\therefore f$ is not a homomorphism.

SELF ASSESSMENT EXERCISE 4

For any $(a, b), (c, d) \in A \times B$,

$$p((a, b) + (c, d)) = p(a + c, b + d) = a + c = p(a, b) + p(c, d),$$

$$p((a, b) \cdot (c, d)) = p(ac, bd) = ac = p(a, b) p(c, d).$$

$$\text{Ker } p = \{ (a, b) \in A \times B \mid a = 0 \} = \{0\} \times B.$$

$$\text{Im } p = \{ p(a, b) \mid (a, b) \in A \times B \} = \{ a \mid (a, b) \in A \times B \} = A.$$

SELF ASSESSMENT EXERCISE 5

Yes, you can check it.

SELF ASSESSMENT EXERCISE 6

For $f, g \in C[0, 1]$,

$$\begin{aligned} \phi(f + g) &= ((f + g)(0), (f + g)(1)) \\ &= (f(0), f(1)) + (g(0), g(1)) \\ &= \phi(f) + \phi(g), \text{ and} \end{aligned}$$

$$\begin{aligned} \phi(fg) &= (fg(0), fg(1)) = (f(0)g(0), f(1)g(1)) \\ &= \phi(f)\phi(g). \end{aligned}$$

$\therefore \phi$ is a homomorphism.

SELF ASSESSMENT EXERCISE 7

Let $x \in T_2$. Since f is surjective, $\exists r \in R_1$ such that $f(r) = x$. since $r \cdot 1 = r$, $f(r) f(1) = f(r)$.

Thus, $xf(1) = x$. This is true for any $x \in R_2$.

$\therefore f(1)$ is the identity of R_2 .

SELF ASSESSMENT EXERCISE 8

Again use Theore 1 of unit 10.

- i) $S \neq \emptyset \Rightarrow f(S) \neq \emptyset$
- ii) Let $a', b' \in f(S)$. Then $\exists a, b \in S$ such that $f(a) = a'$, $f(b) = b'$
 Now $a' - b' = f(a) - f(b) = f(a - b) \in f(S)$, since $a - b \in S$, and
 $a'b' = f(a)f(b) = f(ab) \in f(S)$, since $ab \in S$.
 $\therefore f(S)$ is a subring of R_2 .

SELF ASSESSMENT EXERCISE 9

Since 1 is a subring of R_2 , $f^{-1}(1)$ is a subring of R_1 . Now, let $a \in f^{-1}(1)$ and $r \in R_1$. We want to show that $ar \in f^{-1}(1)$.

Since $a \in f^{-1}(1)$, $f(a) \in 1$. $\therefore f(a)f(r) \in 1$, i.e.,

$f(ar) \in 1$. $\therefore ar \in f^{-1}(1)$.

Thus, $f^{-1}(1)$ is an ideal of R_1

Also, if $x \in \text{Ker } f$, then $f(x) = 0 \in 1$.

$\therefore x \in f^{-1}(1)$.

$\therefore \text{Ker } f \subseteq f^{-1}(1)$.

SELF ASSESSMENT EXERCISE 10

Let $x \in f(f^{-1}(J))$. Then $x = f(y)$, where $y \in f^{-1}(J)$, i.e. $f(y) \in J$, i.e., $x \in J$.

Thus, $f(f^{-1}(J)) \subseteq J$.

Now, Let $x \in J$. since f is surjective, $\exists y \in R$ such that $f(y) = x$

Then $y \in f^{-1}(x) \subseteq f^{-1}(J)$.

$\therefore x = f(y) \in f(f^{-1}(J))$

Thus, $J \subseteq f(f^{-1}(J))$.

Hence the result is proved.

SELF ASSESSMENT EXERCISE 11

$\text{Ker } f = \{ n \in \mathbb{Z} \mid n \equiv 0 \pmod{12} \} = 12\mathbb{Z}$.

Now, you know that any ideal of \mathbb{Z} is a subgroup of \mathbb{Z} , and hence must be of the form $n\mathbb{Z}$, $n \in \mathbb{N}$. Thus, the ideals of \mathbb{Z} containing $\text{Ker } f$ are all those $n\mathbb{Z}$ such that $n|12$, i.e., \mathbb{Z} , $2\mathbb{Z}$, $3\mathbb{Z}$, $4\mathbb{Z}$, $6\mathbb{Z}$, $12\mathbb{Z}$. Thus, by Theorem 4(b) the ideals of \mathbb{Z}_{12} are

$\mathbb{Z}_{12}, \bar{2}\mathbb{Z}_{12}, \bar{3}\mathbb{Z}_{12}, \bar{4}\mathbb{Z}_{12}, \bar{6}\mathbb{Z}_{12}$ and $\{0\}$.

SELF ASSESSMENT EXERCISE 12

For example, $(0, 1) \notin \text{Im } f$.

For any ideal I of \mathbb{Z} , $f(I) = I \times I$. Thus, the ideal $\mathbb{Z} \times \{0\}$ of $\mathbb{Z} \times \mathbb{Z}$ is not of the form $f(I)$, for any ideal I of \mathbb{Z} .

SELF ASSESSMENT EXERCISE 13

The Homomorphisms is Examples 1 and 5.

SELF ASSESSMENT EXERCISE 14

NO. For example, take the subring Z of Q . Since Z is not an ideal of Q , it can't be the kernel of any homomorphism from Q to another ring.

SELF ASSESSMENT EXERCISE 15

for any $x, y \in R_1$,

$$\begin{aligned} g \circ f(x + y) &= g(f(x + y)) = g(f(x) + f(y)) \\ &= g \circ f(x) + g \circ f(y), \text{ and} \end{aligned}$$

$$g \circ f(xy) = g \circ f(x) g \circ f(y).$$

Thus, $g \circ f$ is a homomorphism.

SELF ASSESSMENT EXERCISE 16

a) $x \in \text{Ker } f \Rightarrow f(x) = 0 \Rightarrow g \circ f(x) = 0 \Rightarrow x = 0$, since $g \circ f$ is 1-1.
 $\therefore \text{Ker } f = \{0\}$.
 $\therefore f$ is 1-1.

b) Let $x \in R_3$. Since $g \circ f$ is onto $\exists y \in R_1$ such that $g \circ f(y) = x$, i.e., $g(f(y)) = x$.
 Thus, g is onto.

SELF ASSESSMENT EXERCISE 17

h is the composition of the projection map $p: Z \times Z \rightarrow Z: p(n, m) = m$ and the map $f: Z \rightarrow Z_2: f(r) = \bar{r}$. Both p and f are ring homomorphisms.
 $\therefore h$ is a ring homomorphism.

SELF ASSESSMENT EXERCISE 18

- a) is not onto and hence, not an isomorphism.
- b) is not a homomorphism.
- c) see the appendix of unit 2 for properties of elements of C .

Then you can easily prove that f is an isomorphism.

SELF ASSESSMENT EXERCISE 19

Let $x, y \in R_2$ and $\phi^{-1}(x) = r$, $\phi^{-1}(y) = s$. Then $x = \phi(r)$ and $y = \phi(s)$. Therefore,
 $x + y = \phi(r) + \phi(s) = \phi(r + s)$ and $xy = \phi(rs)$.
 $\therefore \phi^{-1}(x + y) = r + s = \phi^{-1}(x) + \phi^{-1}(y)$, and
 $\phi^{-1}(xy) = rs = \phi^{-1}(x) \phi^{-1}(y)$.

Thus, ϕ^{-1} is a homomorphism.

You already know that it is bijective. Thus, ϕ^{-1} is an isomorphism.

SELF ASSESSMENT EXERCISE 20

Let $f_1: R_1 \rightarrow R_2$ and $g: R_2 \rightarrow R_3$ be ring isomorphisms. From Theorem 8 you know that $g \circ f$ is a homomorphism. For the rest, proceed as you did in E 12 of unit 6.

SELF ASSESSMENT EXERCISE 21

Example 1 : $R \simeq R$.

Example 2 : What we have just done above, namely, $Z/sZ \simeq Z$,

Example 3: $Z_6/\{\bar{0}, \bar{3}\} \simeq Z_3$.

Example 4: $\text{Ker } \phi = \{f \in C[0, 1] \mid f\left(\frac{1}{2}\right) = 0\}$.

$\text{Im } \phi = R$ (because given any $r \in R$ we can define the constant function

$f_r: [0, 1] \rightarrow R: f_r(x) = r$. Then $f_r\left(\frac{1}{2}\right) = r$. Thus, $r = \phi(f_r) \in \text{Im } \phi$).

Example 5: $Z \simeq \{nI \mid n \in Z\}$

Example 6: $\phi(X)/\text{Ker } f \simeq \phi(Y)$.

ACTIVITY 12

Since I is an ideal of R and $I \subseteq S + I$, it is an ideal of $S + I$

Thus, $(S + I)/I$ is a well-defined ring. Define $f: S \rightarrow (S + I)/I$ by $f(x) = x + I$. Then, you can check that $f(x + y) = f(x) + f(y)$, and

$f(xy) = f(x)f(y) \forall x, y \in S$.

As you did in Theorem 10 unit 6, you can check that f is surjective and $\text{Ker } f = S \cap I$.

Thus, $S/(S \cap I) \simeq (S + I)/I$.

ACTIVITY 13

Define $f: R/J \rightarrow R/I$ by $f(r + J) = r + I$.

As you did in Theorem 11 of unit 2 you can check that f is well defined, f is surjective and $\text{Ker } f = I/J$.

Thus, I/J is an ideal of R/J and $(R/J)/(I/J) \simeq R/I$.

6.0 REFERENCES

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NOTATION AND SYMBOLS

$a \equiv b \pmod{n}$	a is congruent to b modulo n .
\wp (\equiv)	set of all subsets of X .
$A \setminus B$	$(A \setminus B) \cup (B \setminus A)$
R/I	quotient ring of R by I .
$\langle a \rangle$	principal ideal generated by a .
$\langle a_1, \dots, a_n \rangle$	ideal generated by a_1, \dots, a_n .
$\text{Ker } f$	kernel of the homomorphism f .
\cong	is isomorphic to.